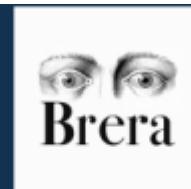


# Ingredienti per l'astronomia con neutrini

M. Spurio

Università e INFN - Bologna

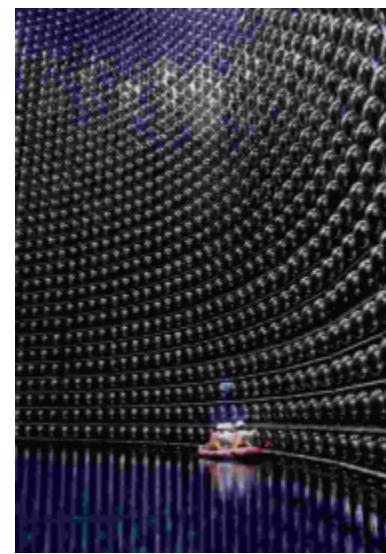
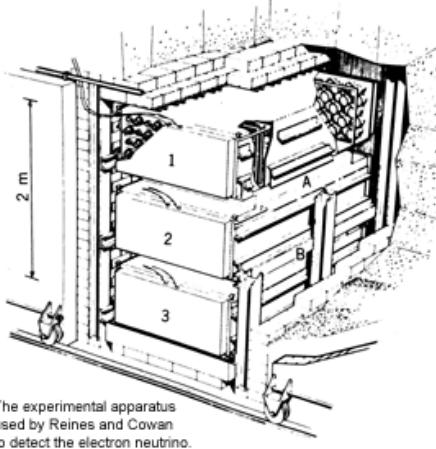


3 Novembre 2016

*A v-day*

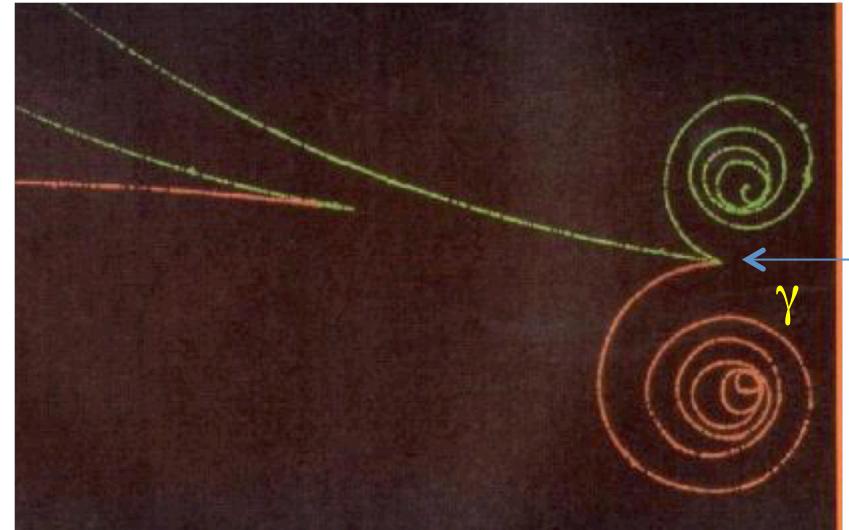
Una giornata di discussione sui neutrini cosmici

# 1. La fisica del neutrino (1954-2002) in tre slides

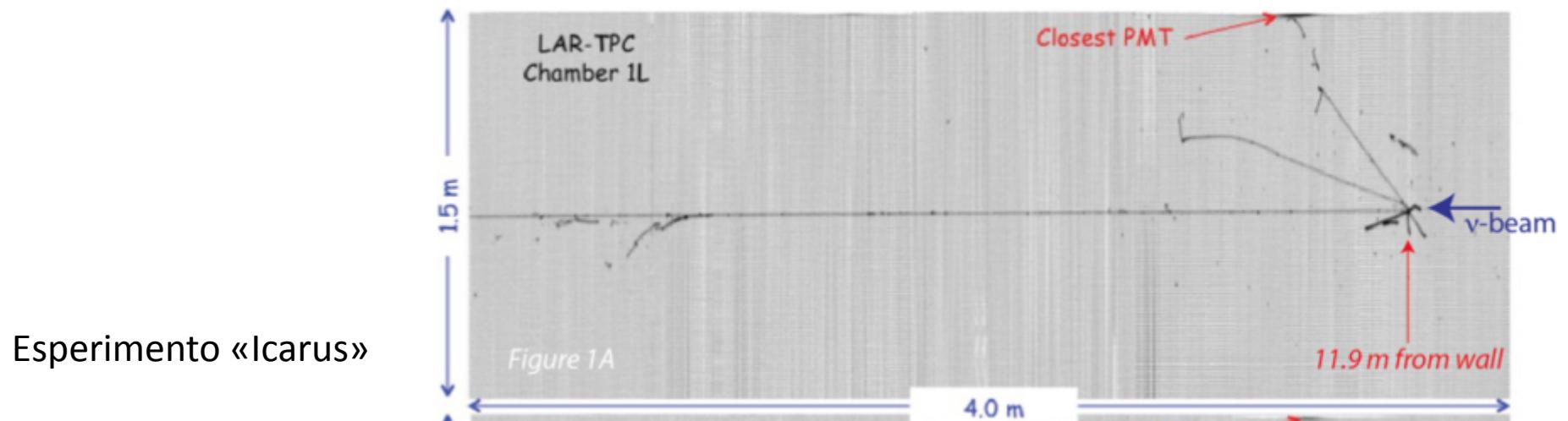


# Perché il $\nu$ è difficile da rivelare?

- Perché non ha carica elettrica
  - Non eccita/ionizza la materia attraversata e non si manifesta nei rivelatori di particelle
- Perché ha "accoppiamento" ridotto con particelle cariche rispetto al  $\gamma$ .



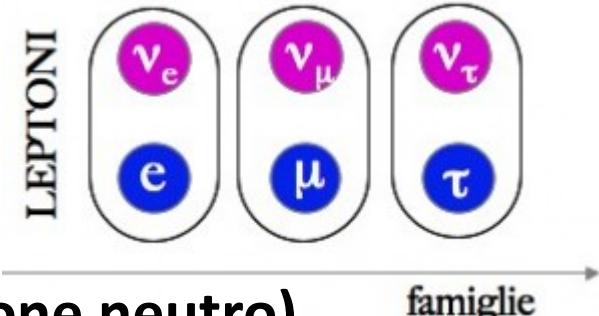
- Si manifesta solo attraverso le cosiddette "**interazioni deboli**", interazioni estremamente poco probabili con la materia



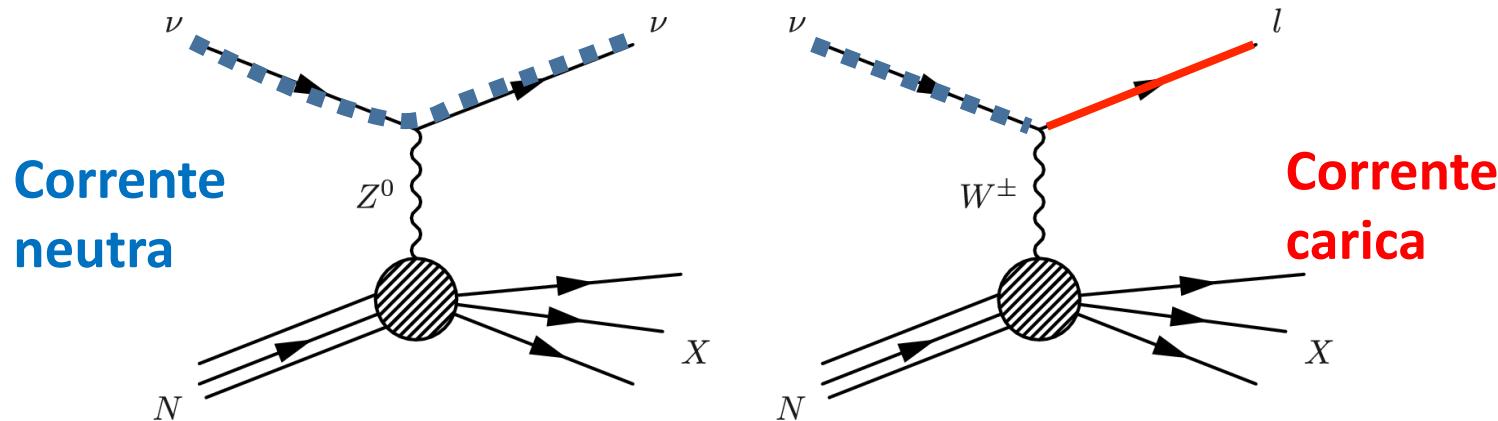
Esperimento «Icarus»



# Interazioni deboli: regolamento



- I leptoni esistono in tre famiglie ( $\nu$  = leptone neutro)
- Quando i neutrini interagiscono, possono
  - o rimanere neutrini, cedendo parte dell'energia (ad un  $e^-$ , oppure per la creazione di un sistema di adroni nel caso di interazioni su nucleoni)
  - o trasformarsi nel corrispondente leptone carico della famiglia

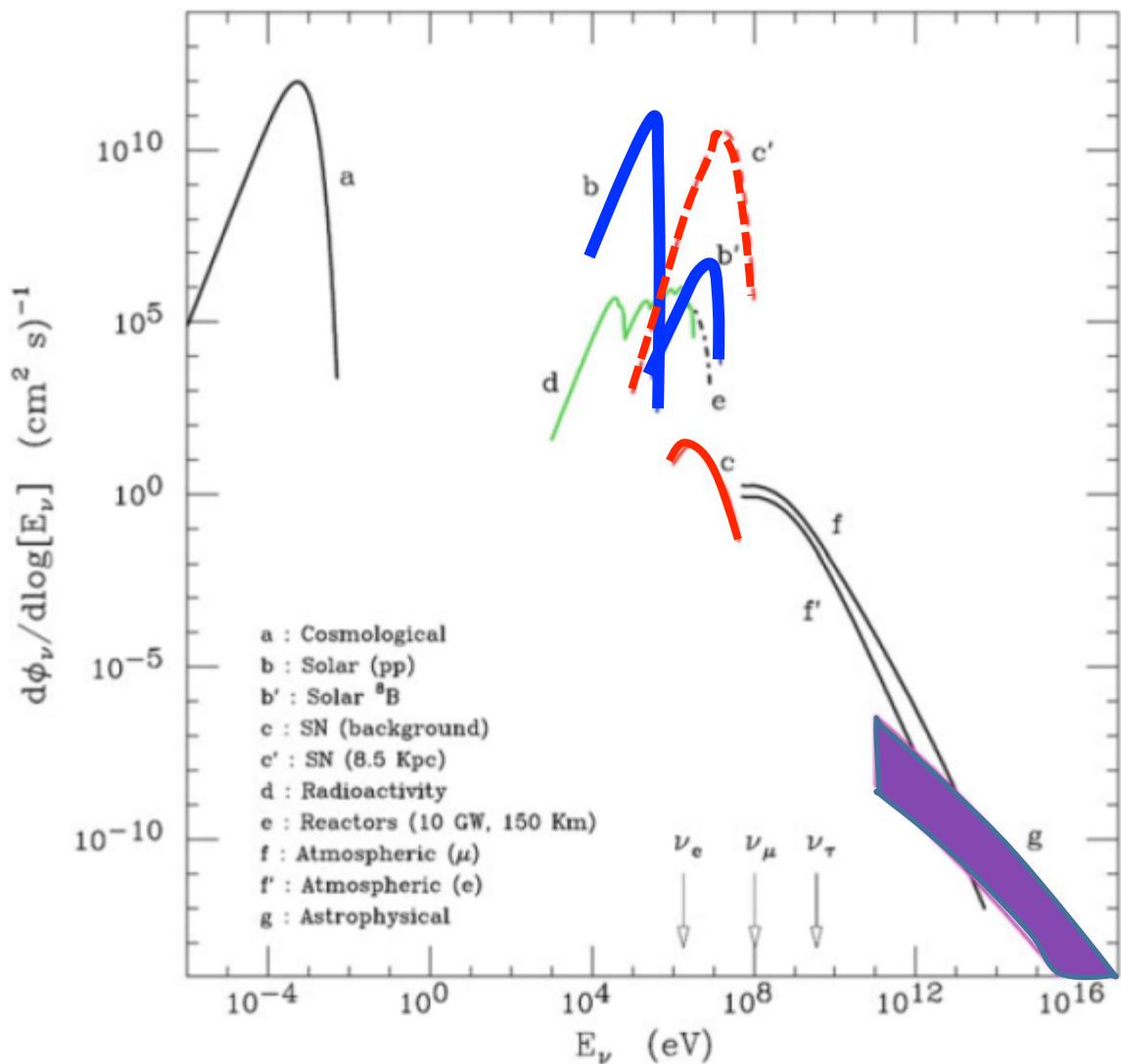


- E' principalmente il leptone carico prodotto che determina la «visibilità»

# Oscillazione dei neutrini

- Un « $\nu$ » è generato dalla **interazioni deboli** solo e soltanto con uno specifico «sapore»:  $\nu_e$ ,  $\nu_\mu$ ,  $\nu_\tau$  (autostato di sapore)
- Per molto tempo si è pensato che i  $\nu$  fossero di massa nulla
- In tutta generalità, durante la propagazione ciascun  $\nu$  ( $\nu_e$ ,  $\nu_\mu$ ,  $\nu_\tau$ ) può essere pensato come sovrapposizione di tre «funzioni» differenti (autostato di massa)
- Oggi sappiamo che i tre autovalori di massa sono differenti e ben definiti e inducono il fenomeno delle oscillazioni
- Le **oscillazioni** sono il fenomeno che altera il «sapore» dei neutrini durante la propagazione, non il loro numero totale
- Per quanto ci interessa, questo fa sì che in molte situazioni il flusso di neutrino astrofisici in arrivo sulla Terra sia distribuito secondo il rapporto  $\nu_e : \nu_\mu : \nu_\tau . = 1 : 1 : 1$

## 2. Neutrini dal cosmo



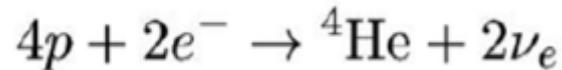
- Flux of neutrinos at the surface of the Earth.
- **Solar neutrinos**
- **Supernova**
- **Cosmic neutrinos**

The three *arrows* near the  $x$ -axis indicate the energy thresholds for CC production of the charged lepton

# Energia dal sole $\leftrightarrow$ Flusso di neutrini

Studio dettagliato della produzione di energia all'interno di una stella

- **Sorgente di energia**



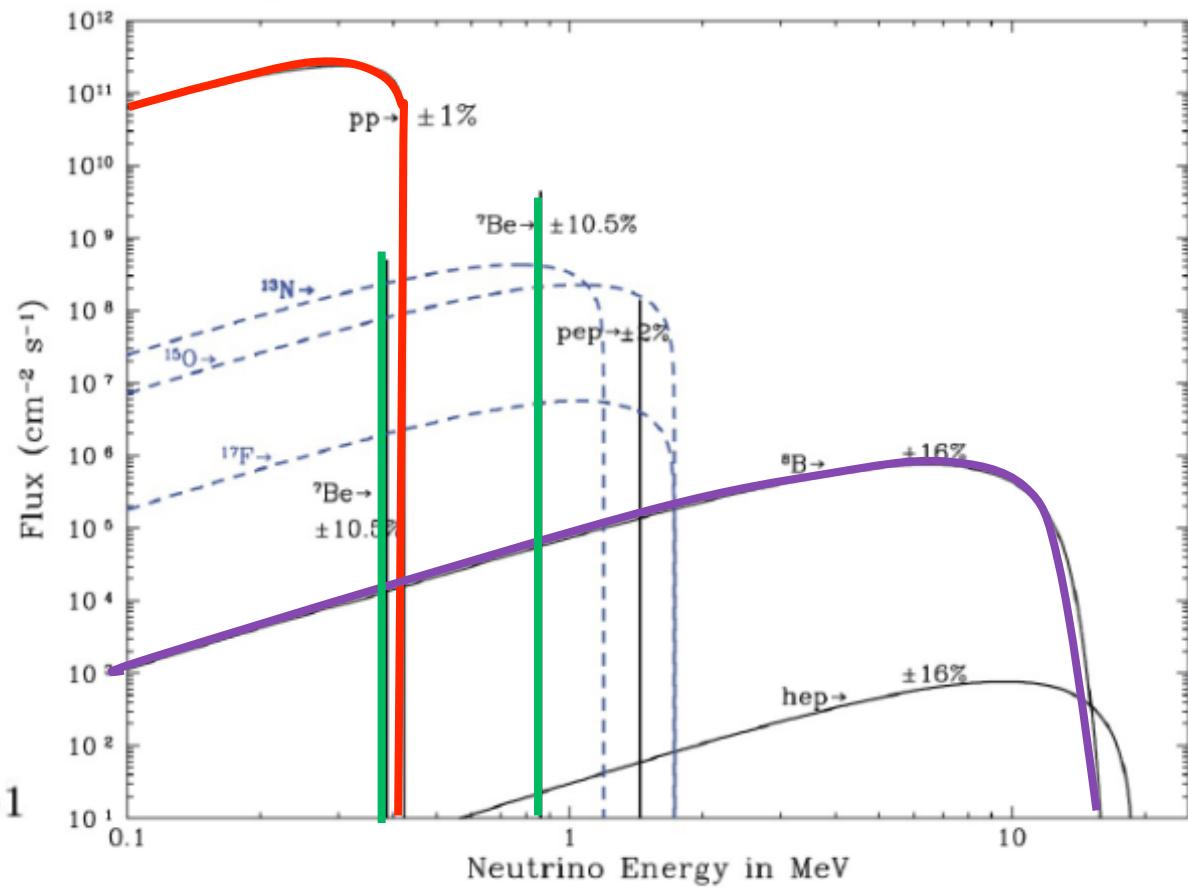
- **Energia per ciclo**

$$\begin{aligned} Q &= 4m_p + 2m_e - m_{He} \\ &= 26.73 \text{ MeV} \end{aligned}$$

- **Flusso di  $\nu_e$ :**

$$\Phi_{\nu_e} \simeq \frac{1}{4\pi d_\odot^2} \frac{2L_\odot}{(Q - \langle E_\nu \rangle)}$$

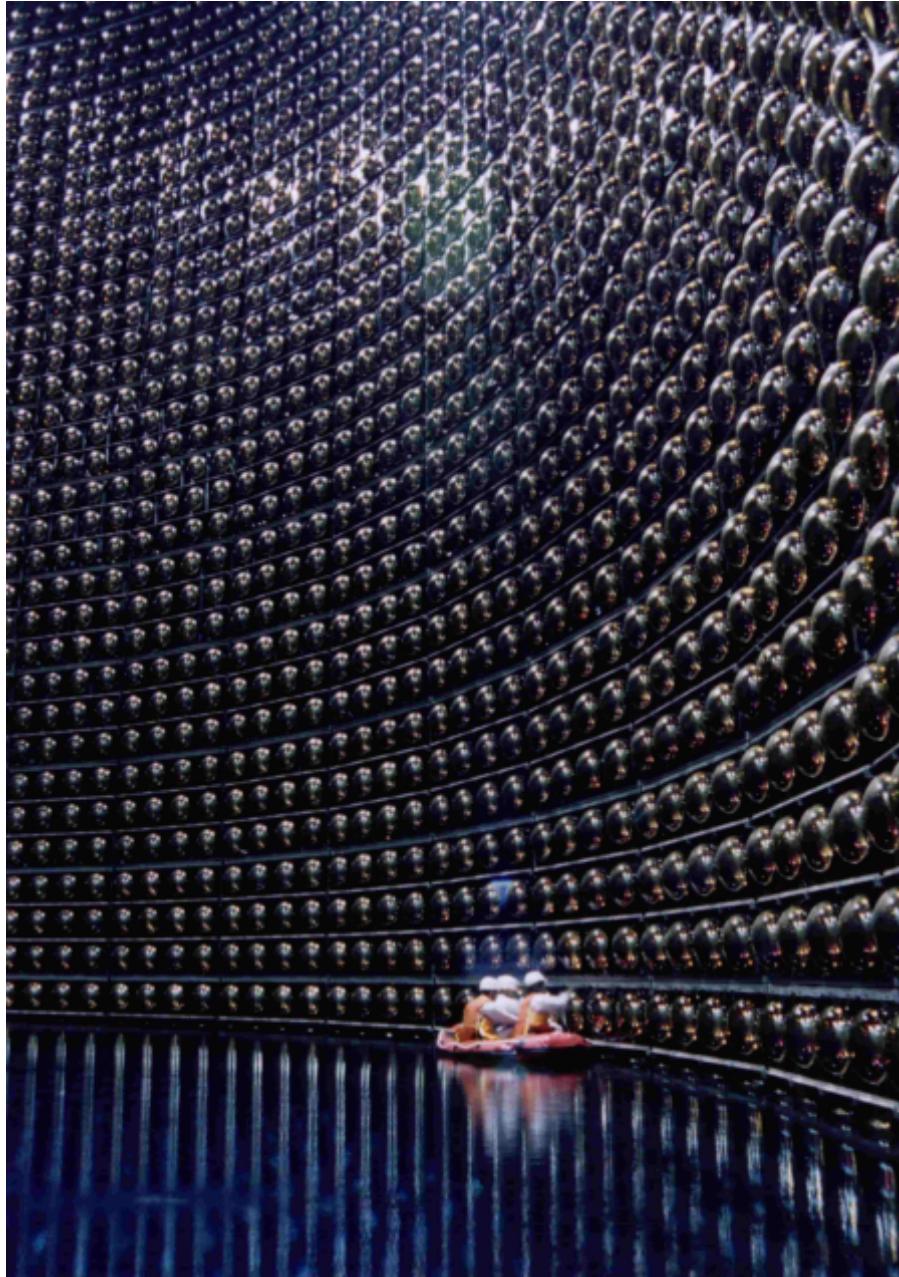
$$\phi_{\nu_\odot} \sim 6 \times 10^{10} \text{ (cm}^2 \text{ s)}^{-1}$$



# Rivelatori enormi!

Esempio: Super-Kamiokande  
in Giappone

- 1000 m underground
- 50.000 ton di acqua  
purificata
- 11000 +2000  
**fotomoltiplicatori (PMTs)**
- Attivo dal 1996

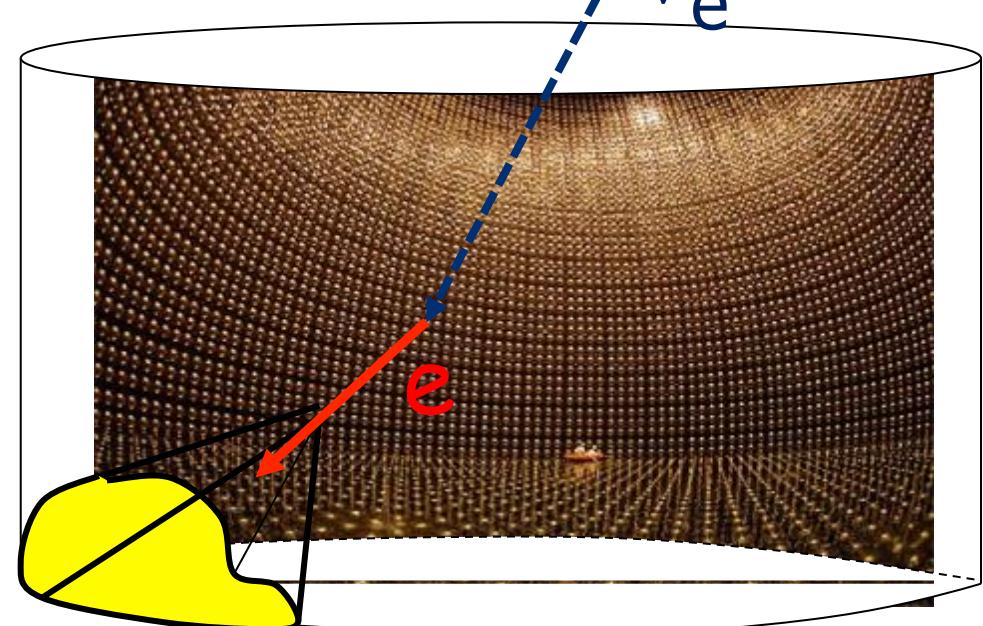
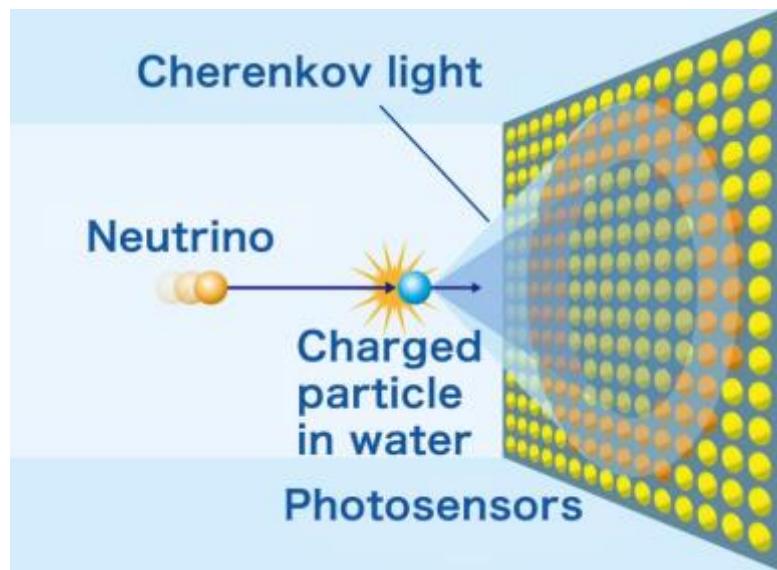


# SuperKamiokande: Neutrini dal sole

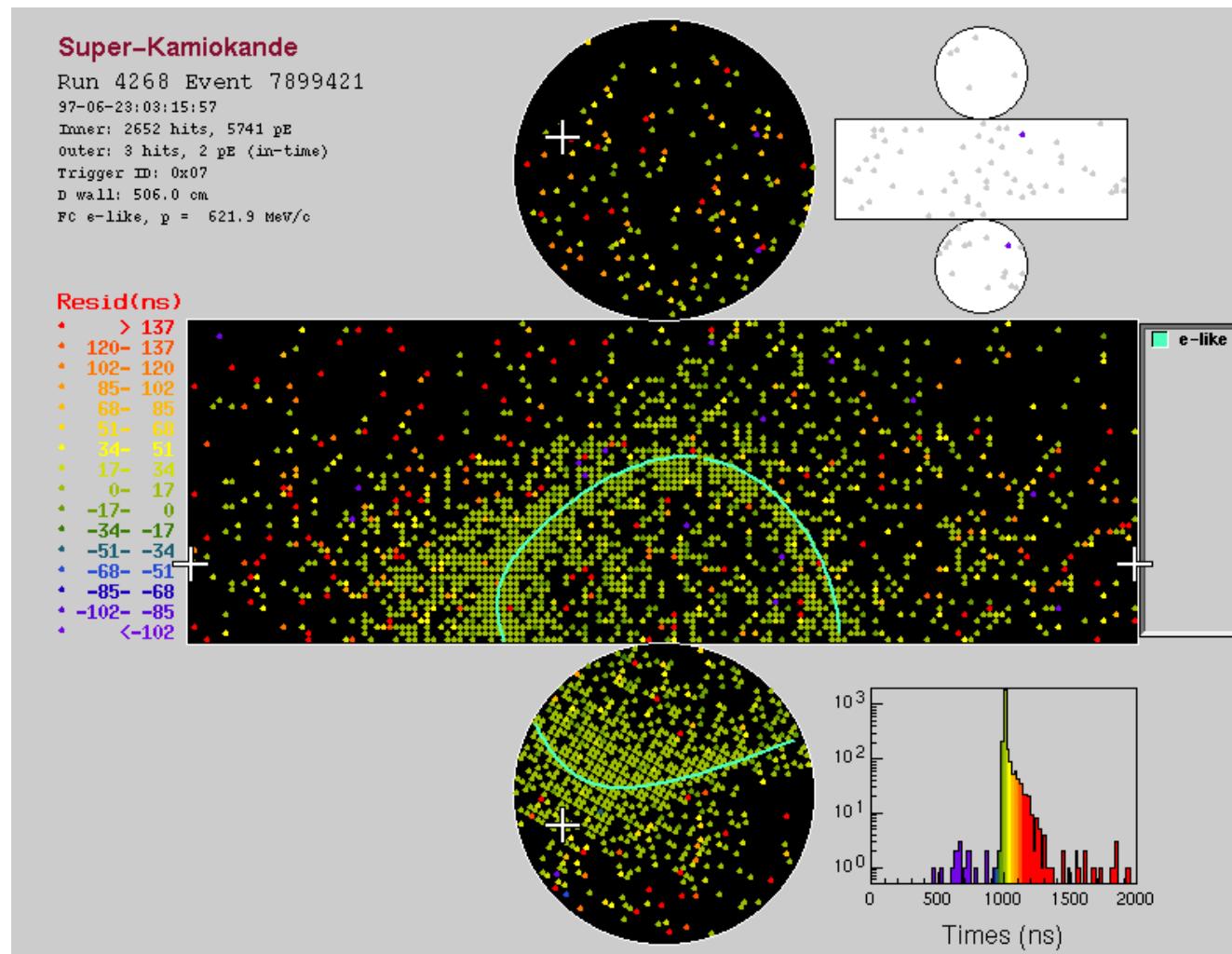
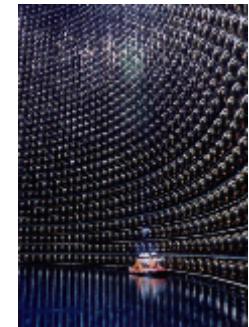
$$\nu_e e \rightarrow \nu_e e$$



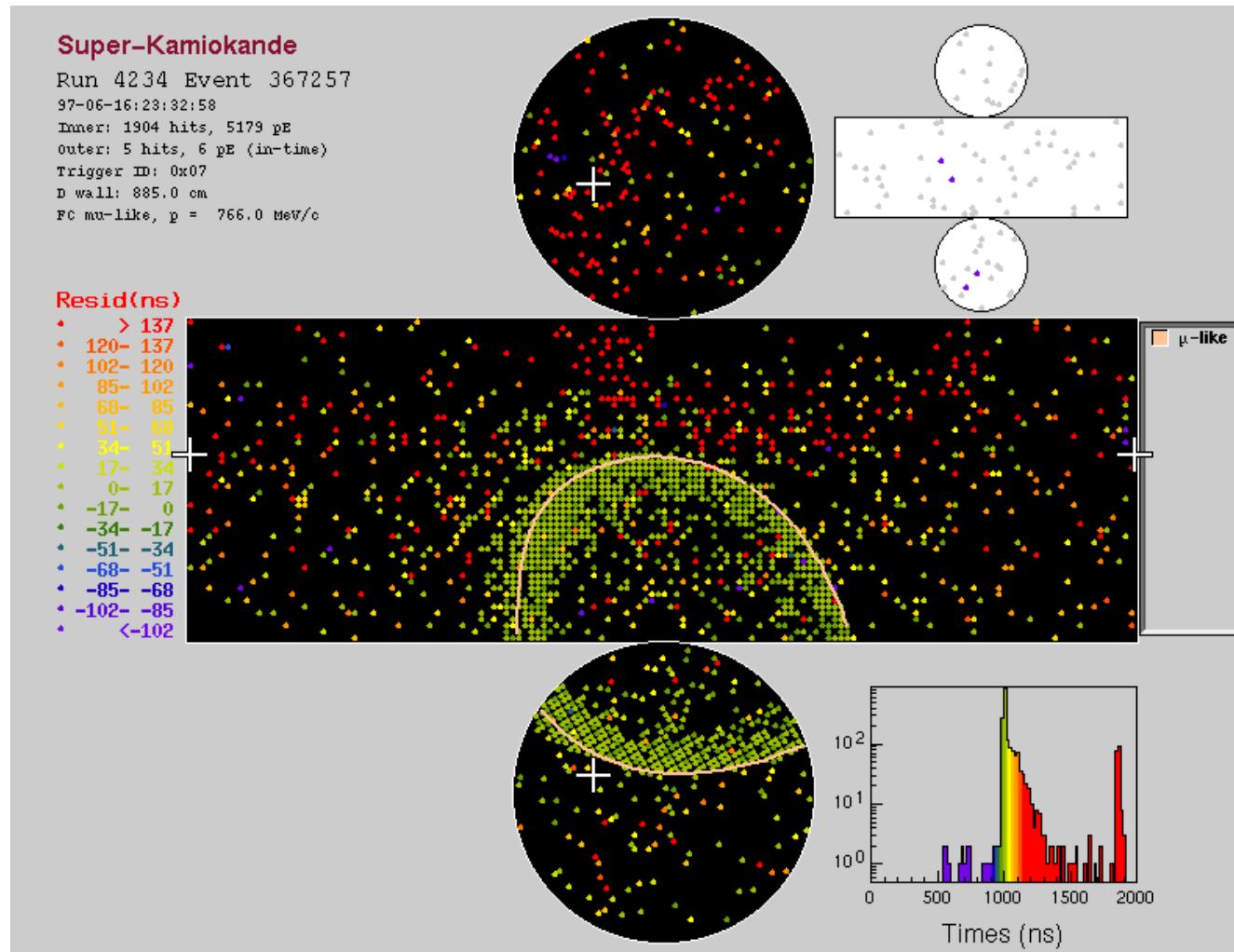
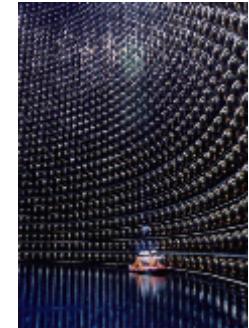
«effetto Cherenkov»



# SuperKamiokande: $\nu_e$



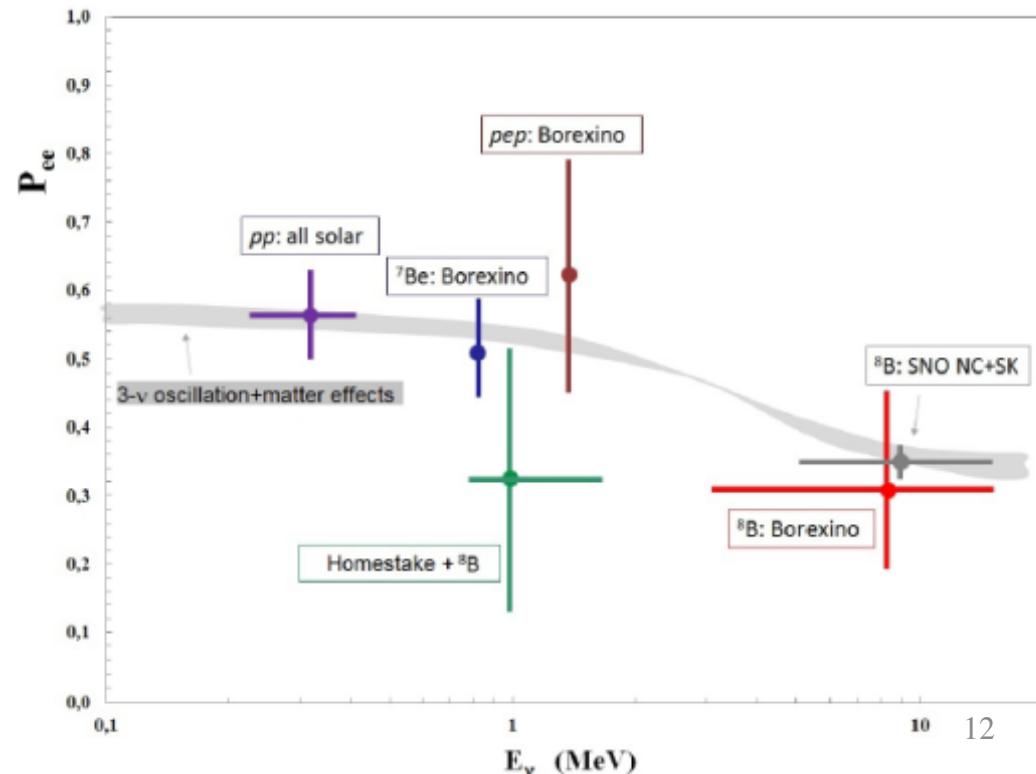
# SuperKamiokande: $\nu_\mu$



# Neutrino oscillations and the Sun

$\nu$ React.	Interaction rate counts (day 100 ton) $^{-1}$	$(\frac{Data}{SSM})$ ratio	$\Phi_{\nu_e}(E)$ ( $10^8 \text{ cm}^{-2} \text{ s}^{-1}$ )	$(\frac{Data}{SSM})/P_{ee}$ ratio
${}^7\text{Be}$	$46.0 \pm 1.5 \pm 1.6$	$0.51 \pm 0.07$	$48.4 \pm 2.4$	$0.97 \pm 0.09$
$pep$	$3.1 \pm 0.6 \pm 0.3$	$0.62 \pm 0.17$	$1.6 \pm 0.3$	$1.1 \pm 0.2$
CNO	$<7.9$	—	$<7.7$	$<1.5$
${}^8\text{B}$	$0.22 \pm 0.04 \pm 0.01$	$0.31 \pm 0.15$	$0.05 \pm 0.01$	$0.91 \pm 0.23$
pp	$  144 \pm 13 \pm 10$	$  0.64 \pm 0.12$	$  660 \pm 70$	$  1.18 \pm 0.22$

**Table:** Summary of the interaction rates of the different neutrino species measured by **Borexino (LNGS)** and the ratios with respect to SSM (column 3)



# Core-Collapse Supernovae (Type-II)

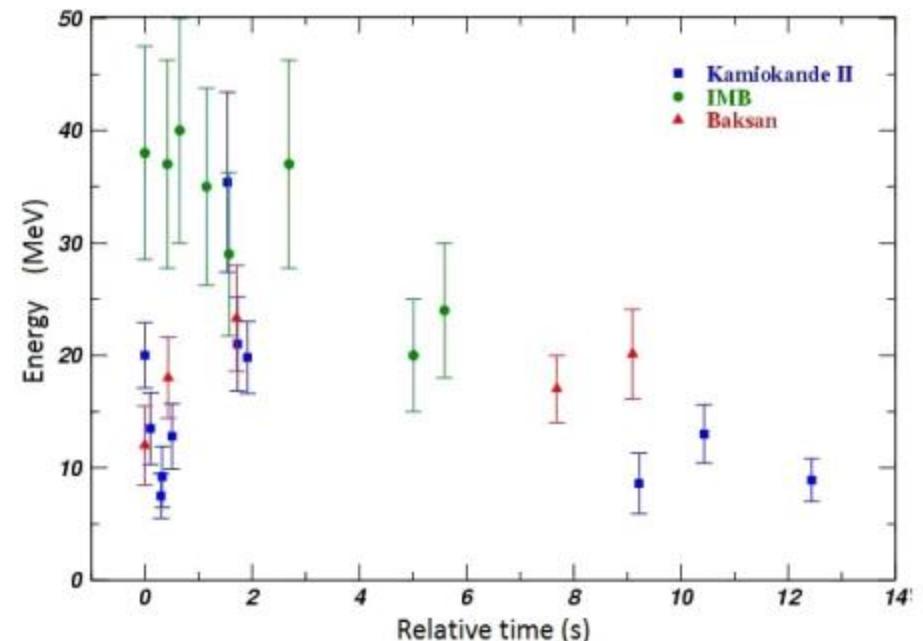
- The work  $U$  done by gravity in compressing a star of mass  $M$  and radius  $R$  in the core (neutron star) of radius  $R_{NS}$  and mass  $M_{NS}$  is:

$$U = \left| \frac{3GM^2}{5R} - \frac{3GM_{NS}^2}{5R_{NS}} \right| \simeq \frac{3GM_{NS}^2}{5R_{NS}} \simeq 3 \times 10^{53} \text{ erg}$$

- This shows up as
  - **99% Neutrinos**
  - **1% Kinetic energy of the explosion**  
(few % of this into Cosmic Rays)
  - **0.01% Photons (outshine host galaxy)**
- Neutrino luminosity (while it lasts) outshines the photon luminosity of the entire Universe
  - $L_\nu = 3 \times 10^{53} \text{ erg/3 sec} = 3 \times 10^{19} L_{\text{Sun}}$

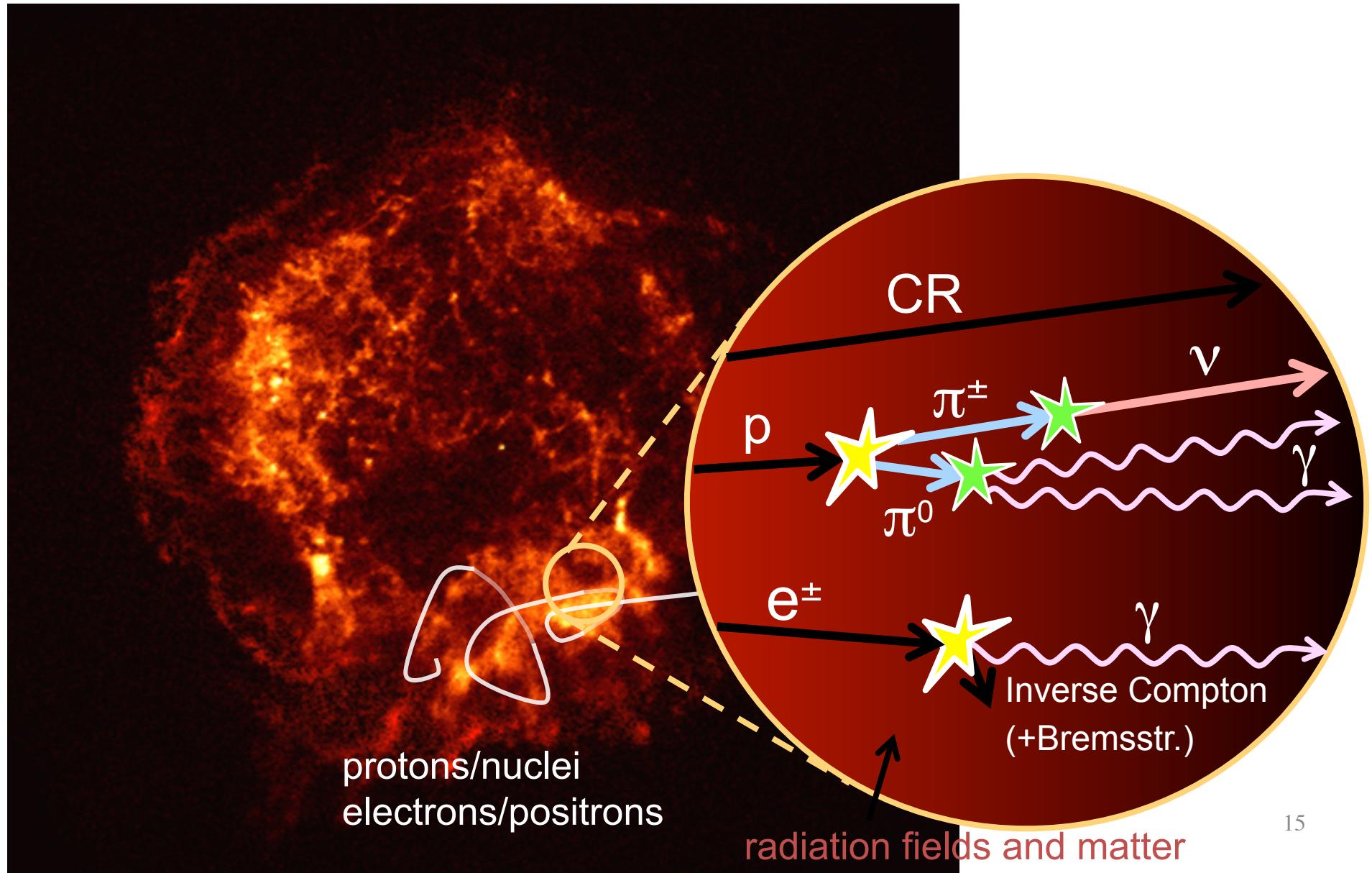
# The SN1987A

- Kamiokande, IMB and Baksan collected  $\sim 12$ ,  $8$  and  $5$   $\nu$  candidates
- The  $25$   $\nu$ 's were sufficient to give an exact time for the start of the explosion to which the light curve can be normalized and to confirm the baseline model of core-collapse:
- the observed events are in agreement with  $\sim 3 \times 10^{53}$  erg luminosity of a core-collapse;
- the **time distribution** in agreement with a  $\sim 10$  s burst;
- their **energy distribution** gives a measure  $T \sim 4.2$  MeV of the  $\nu$ -sphere



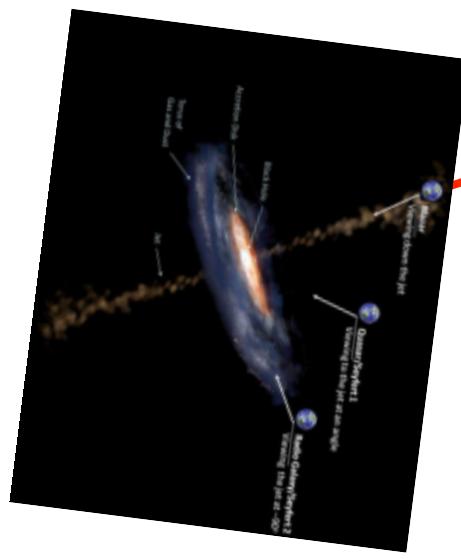
*Relative time and energy of SN1987A neutrinos observed by Kamiokande, IMB and Baksan.  
The time of the first event was arbitrarily set = 0*

# CRs, $\gamma$ and $\nu$ in cosmic accelerators



# Neutrino telescope =

Instrumented  
detector  $D < R_\mu$



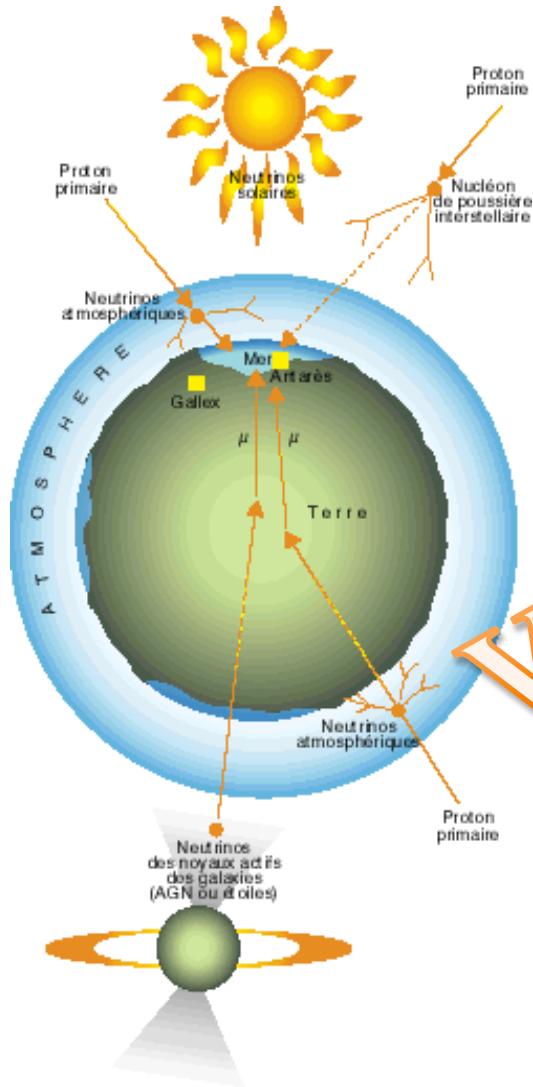
Event rate ( $s^{-1}$ )

neutrino energy spectrum at  
source ( $\nu/cm^2 s GeV$ )

$$\frac{N_\nu}{T} = \int dE_\nu \cdot \frac{d\Phi_\nu}{dE_\nu}(E_\nu) \cdot A_\nu^{\text{eff}}(E_\nu)$$

neutrino-induced muon detection probability

# Example: a Galactic source



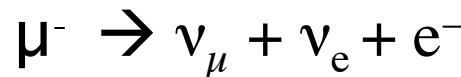
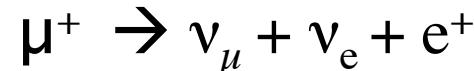
TeV  $\gamma$ -rays and neutrinos can be produced from **hadronic processes**:



Neutral mesons decay in photons:

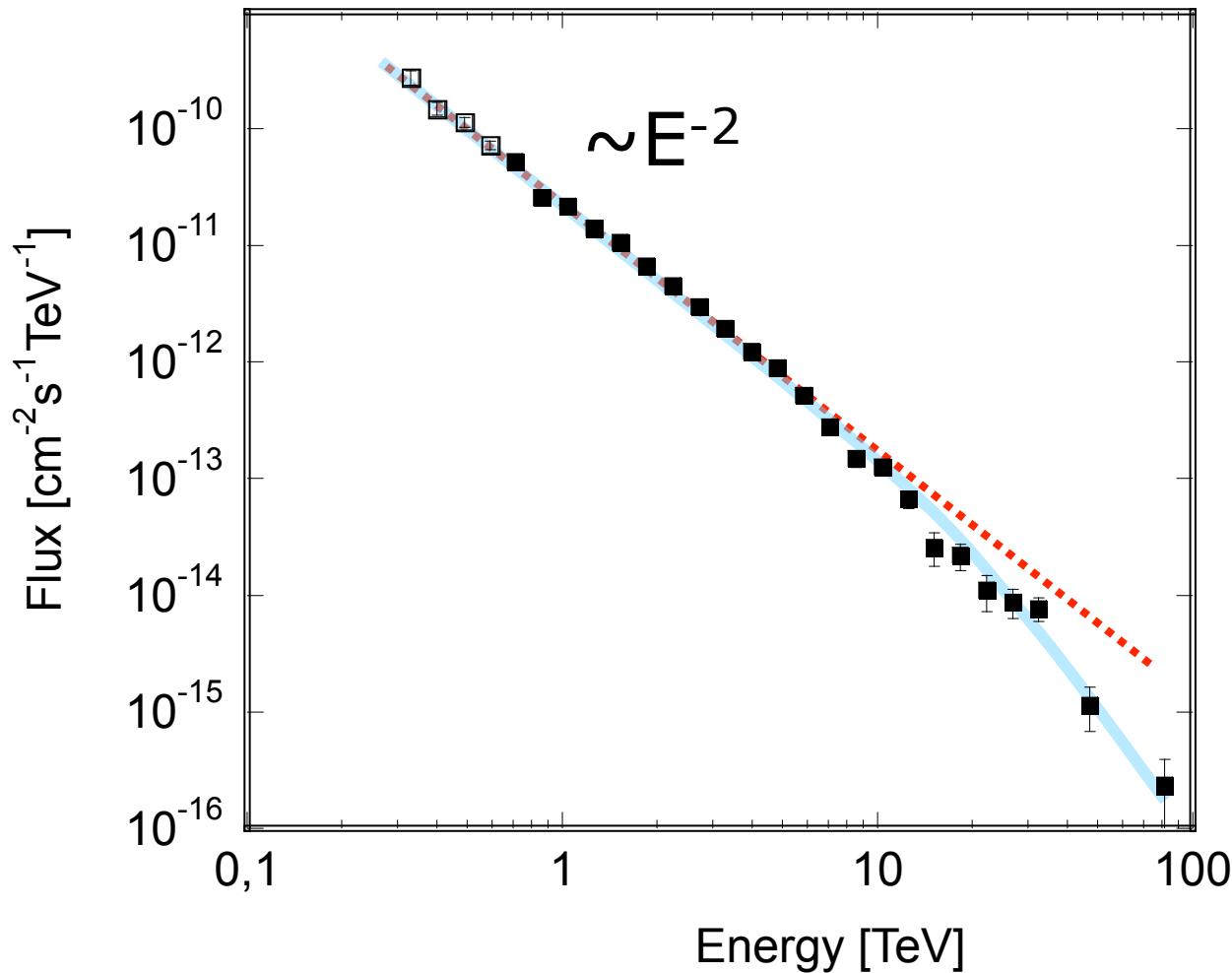


Charged mesons decay in neutrinos:



$\#\nu = \#\gamma$

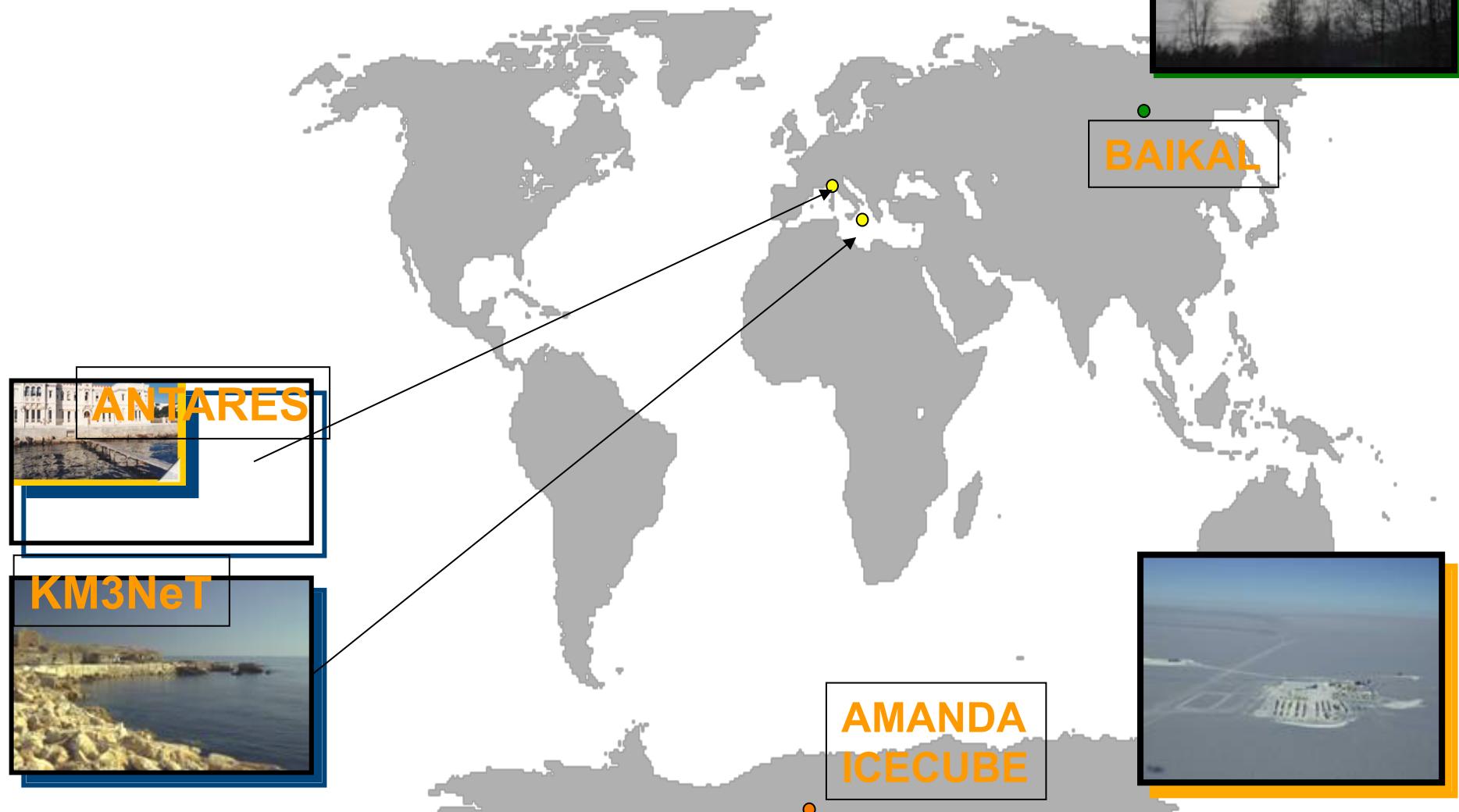
# Per l'esercizio seguente: # $\nu$ =# $\gamma$ da RX J1713.7-3946



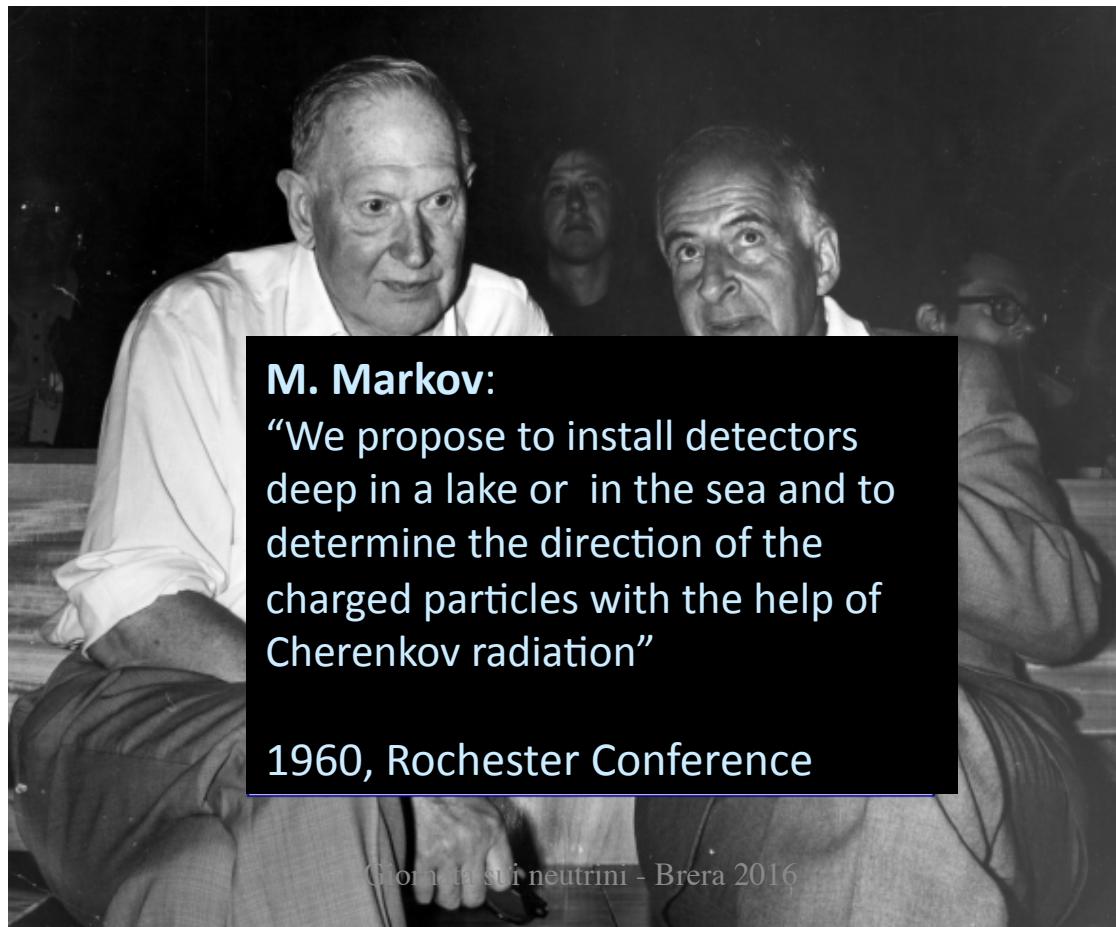
RX J1713.7-3946 seen  
by **HESS** ( $\gamma$ -rays)

$$E_{\nu,\gamma}^2 \frac{d\Phi_{\nu,\gamma}}{dE_{\nu,\gamma}} =$$
$$= 10^{-11} \text{ TeV cm}^{-2}\text{s}^{-1}$$

# Neutrino telescopes



### 3. Ingredienti per un “Neutrino Telescope”



### 3. Ingredienti per un “Neutrino Telescope”

#### 3.1 Depth

#### 3.2 The effective area

#### 3.3 Cherenkov radiation

#### 3.4 Medium properties

#### 3.5 Number of optical modules

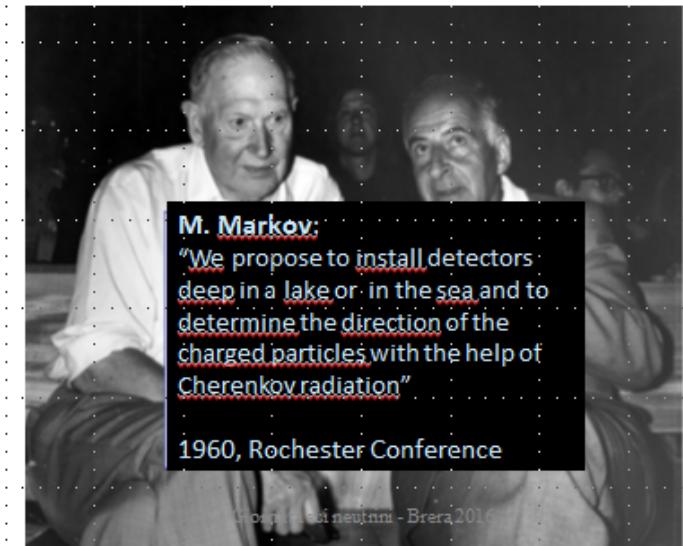
#### 3.6 Track/energy reconstruction

#### 3.7 Angular resolution

#### 3.8 Differences between water/ice

#### 3.9 Optical background in water

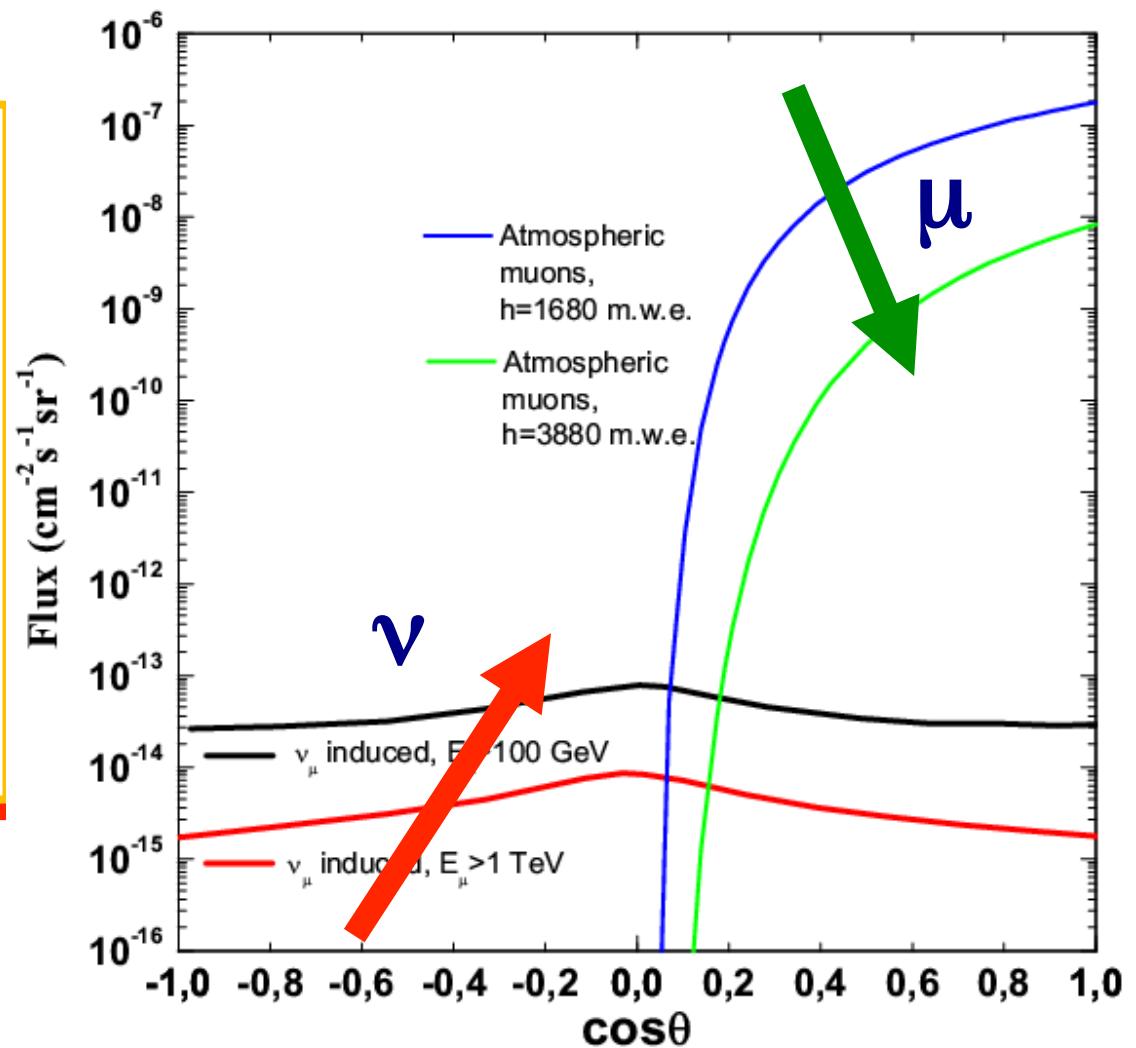
#### 3.10 Detector positioning



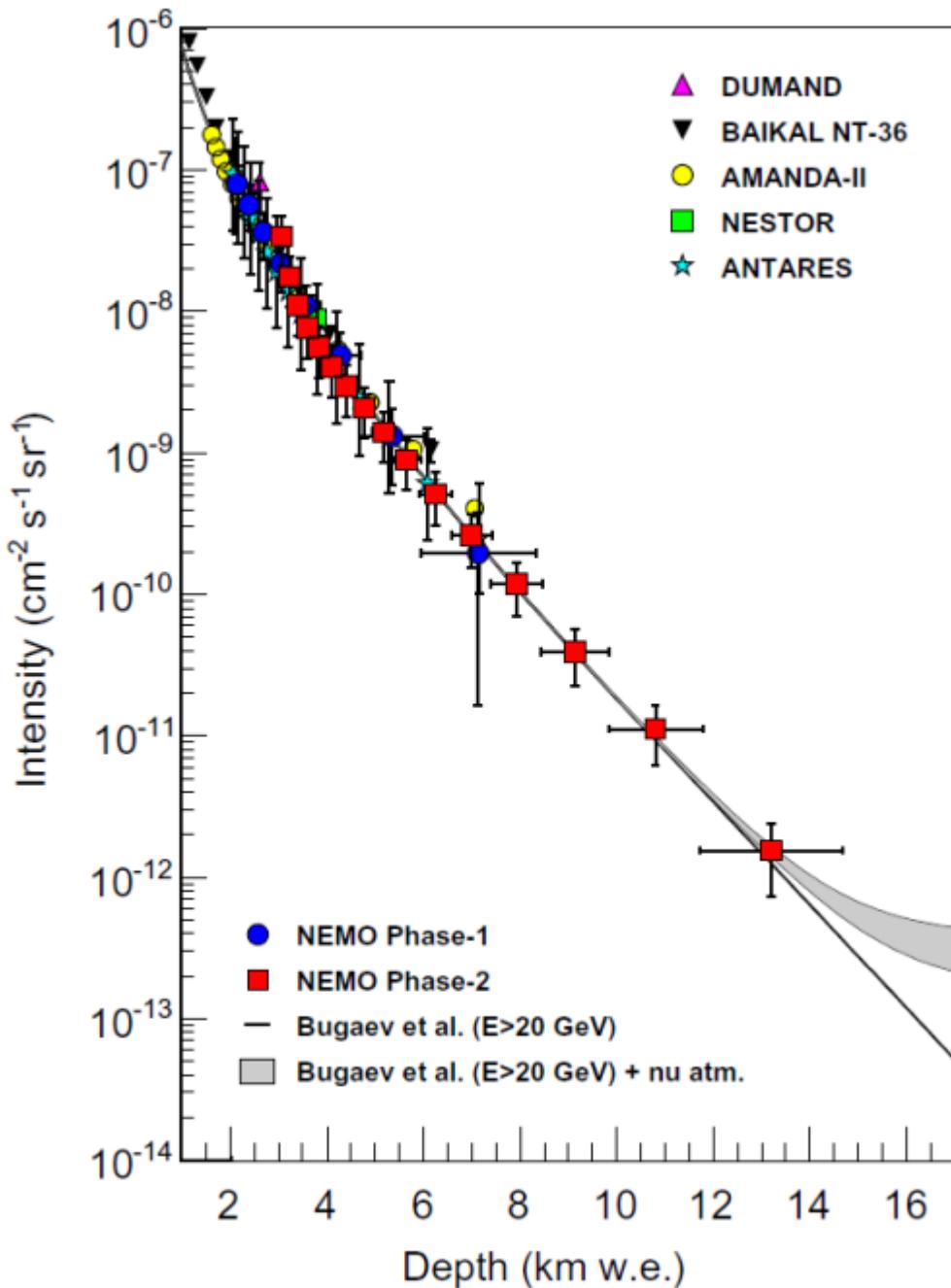
## 3.1 Deep in a transparent medium

### Water or Ice:

- large (and inexpensive) target for  $\nu$  interaction
- transparent radiators for Cherenkov light;
- large deep: protection against the cosmic-ray muon background



# Atmospheric muon flux



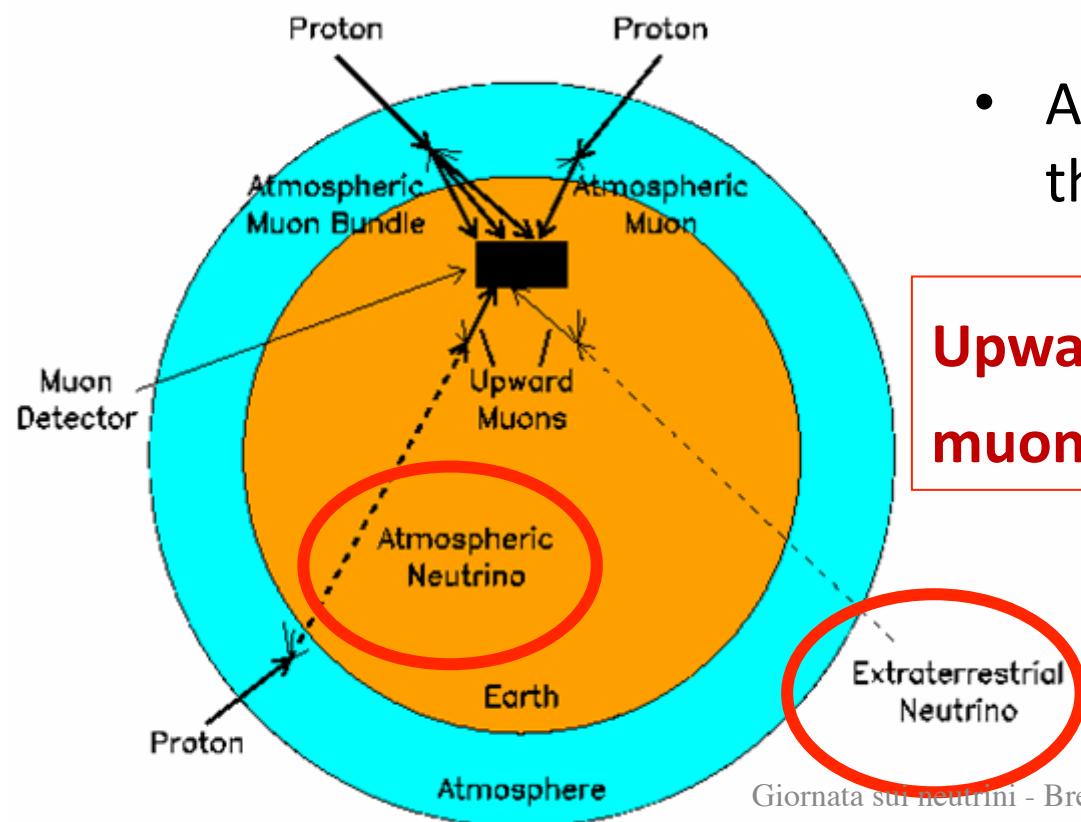
Muons cannot cross more than  $\approx$  15 km.w.e.

*Measurements of the underwater/ice muon flux vs. depth*



# NT: detectors looking to the bottom

- Atmospheric  $\mu$ 's dominate by many order of magnitude the muons induced by neutrinos
- Upward-going particles are candidate for extraterrestrial  $\nu$ .



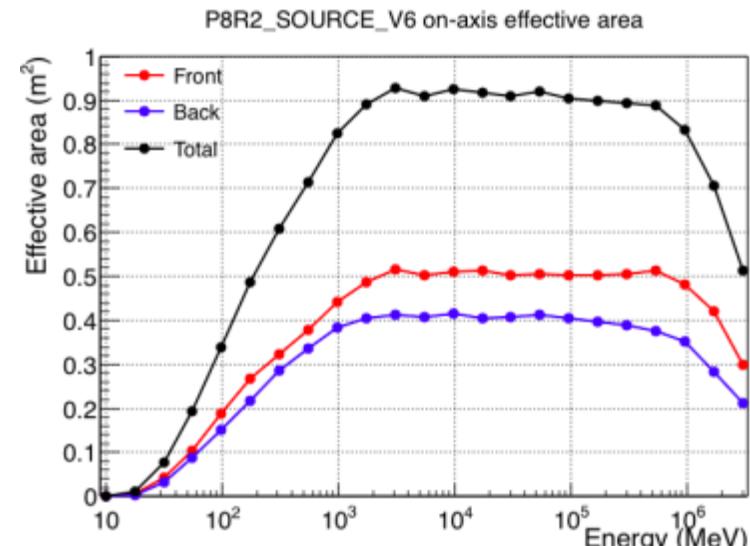
- Atmospheric neutrinos represent the irreducible background

**Upward-going muons (or horizontal muons) ARE neutrino-induced!**

## 3.2 Detector effective area

- The effective area  $A^{\text{eff}}$  is the **figure-of-merit** of one NT
- Quantity defined also for other experiments (cfr. **Fermi-LAT**)
- The NT effective area depends
  - *on the outgoing lepton*
  - *on the neutrino energy*
  - *(not strongly) on the direction*
  - *on the specific analysis (efficiency  $\epsilon$ )*
- For the muon channel, the  $A^{\text{eff}}$  can be written as:

$$A_{\nu}^{\text{eff}}(E_{\nu}) = A \cdot P_{\nu\mu}(E_{\nu}, E_{\text{thr}}^{\mu}) \cdot \epsilon \cdot e^{-\sigma(E_{\nu})\rho N_A Z(\theta)}$$



**Fermi-LAT** effective area vs.  $E$   
for normal  $\gamma$ -ray incidence

The quantity  $A$  ( $\text{m}^2$ ) is the geometrical area of a detector

# Detector effective area

$$A_{\nu}^{\text{eff}}(E_{\nu}) = A \cdot P_{\nu\mu}(E_{\nu}, E_{\text{thr}}^{\mu}) \cdot \epsilon \cdot e^{-\sigma(E_{\nu})\rho N_A Z(\theta)}$$

Probability that a  $\nu_{\mu}$  induces a muon with energy  $E > E_{\text{thr}}^{\mu}$  reaches the detector:

$$P_{\nu\mu} = \sigma_{\nu\mu}(\text{cm}^2) \times \rho(\text{cm}^{-3}) \times R(\text{cm})$$

$$\sigma_{\nu\mu} \cong 10^{-35} \left( \frac{E}{\text{TeV}} \right) (\text{cm}^2)$$

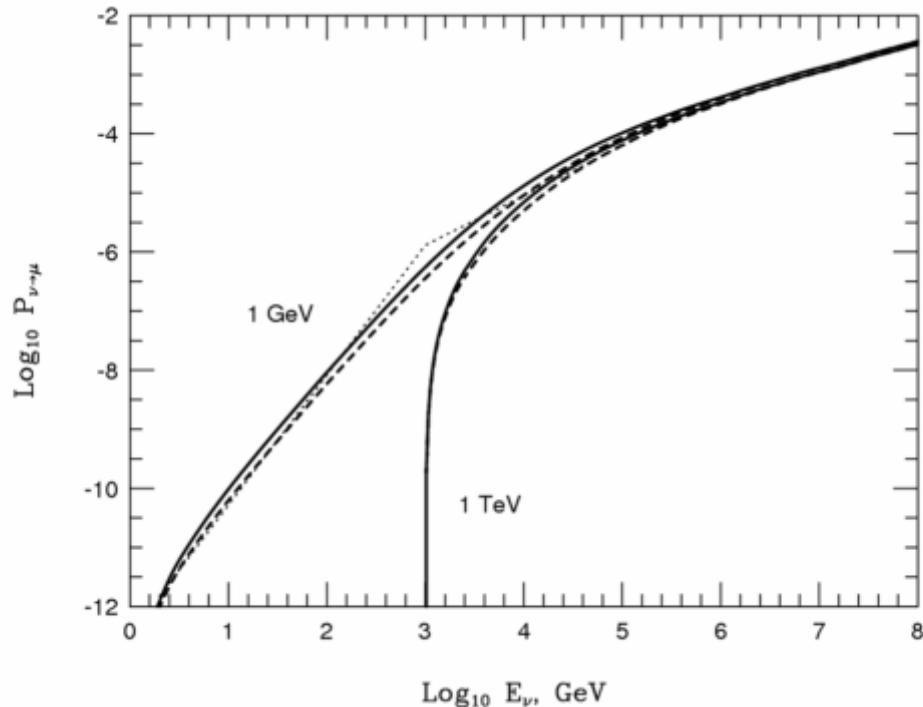


$$\rho \cong 10^{23} \text{ cm}^{-3};$$



$$R \cong 10^6 \text{ cm}$$

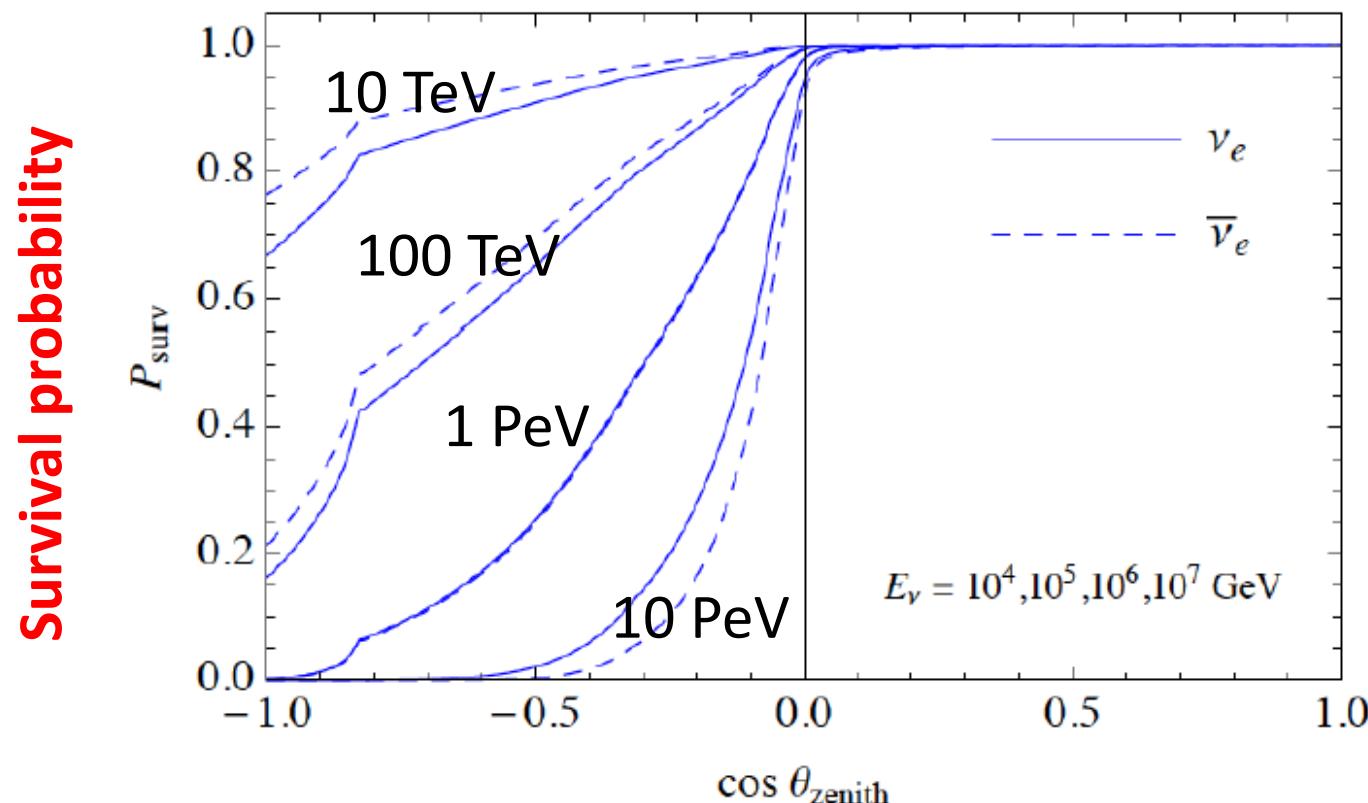
$$P_{\nu \rightarrow \mu} \cong 10^{-6} \left( \frac{E}{\text{TeV}} \right)$$



# Detector effective area

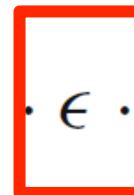
$$A_{\nu}^{\text{eff}}(E_{\nu}) = A \cdot P_{\nu\mu}(E_{\nu}, E_{\text{thr}}^{\mu}) \cdot \epsilon \cdot e^{-\sigma(E_{\nu})\rho N_A Z(\theta)}$$

- Neutrinos can interact in the Earth and get absorbed
- The absorption probability depends on E and zenith angle

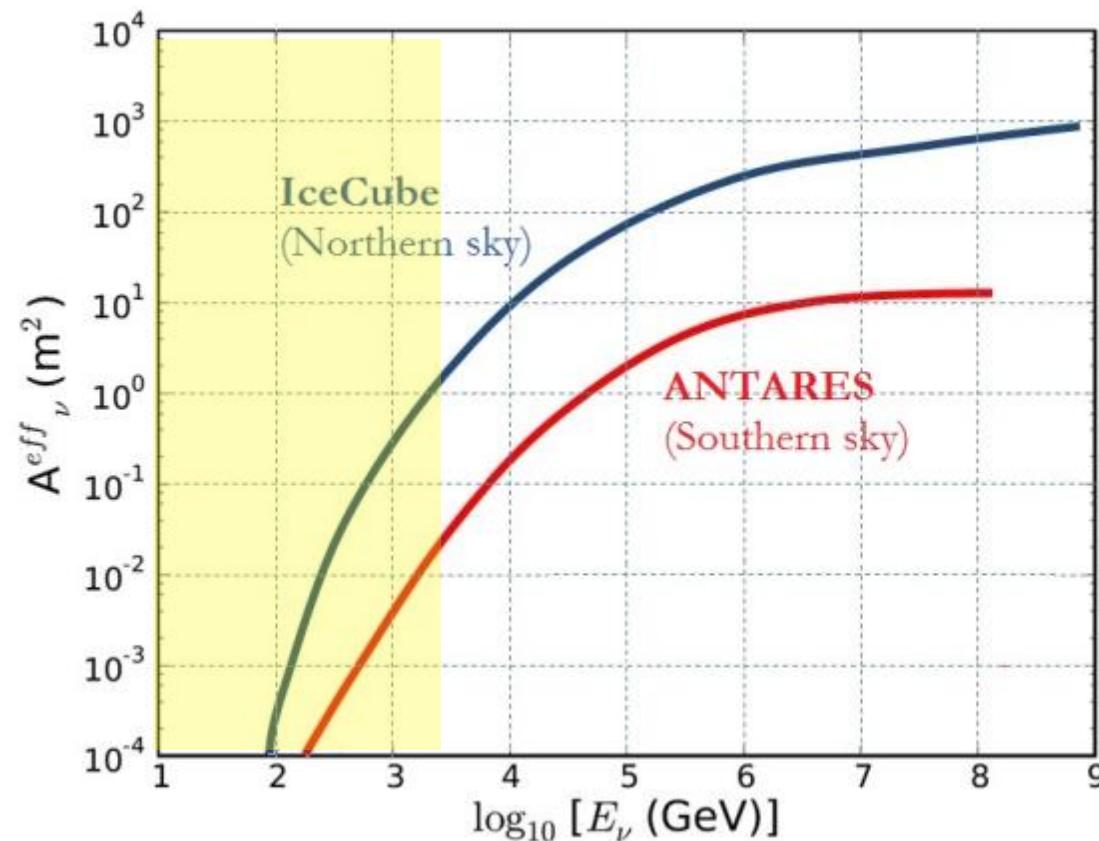
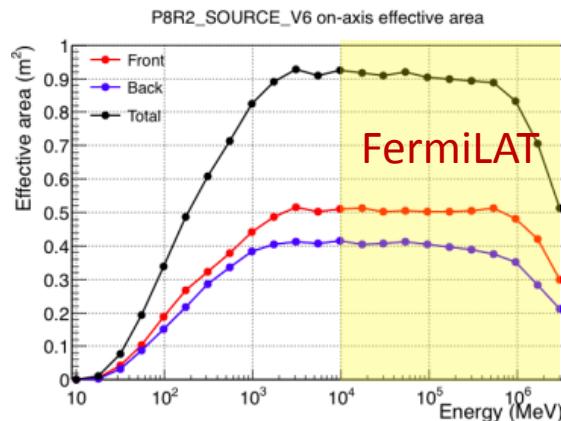


# Detector effective area

$$A_{\nu}^{\text{eff}}(E_{\nu}) = A \cdot P_{\nu\mu}(E_{\nu}, E_{\text{thr}}^{\mu}) \cdot \epsilon \cdot e^{-\sigma(E_{\nu})\rho N_A Z(\theta)}$$

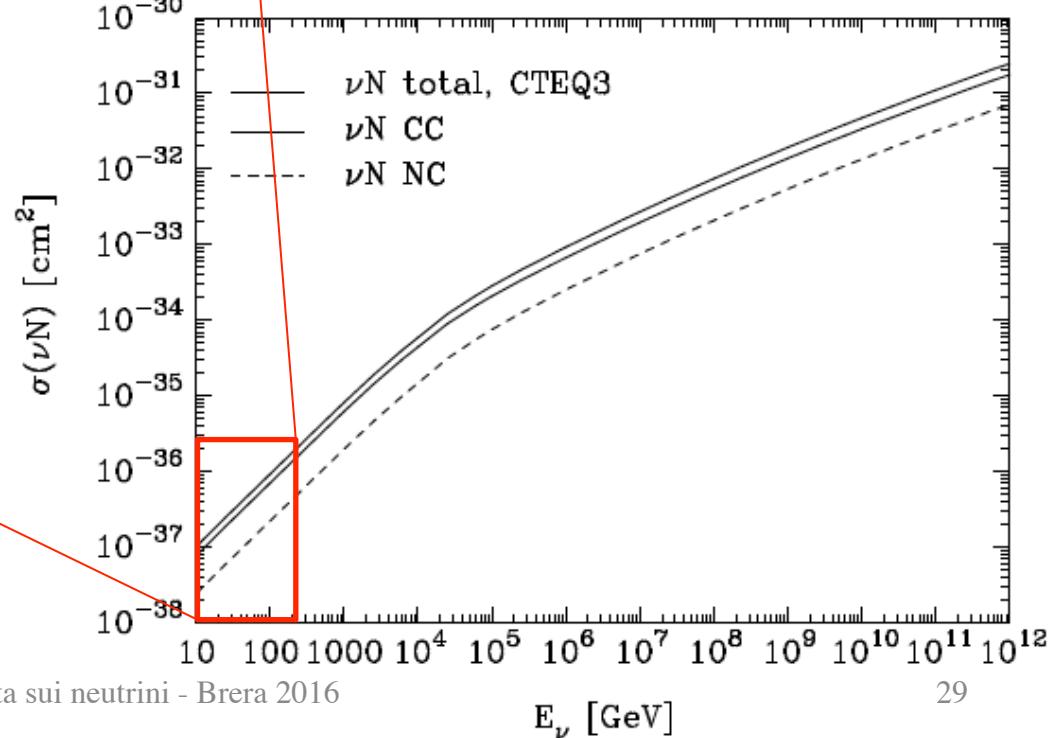
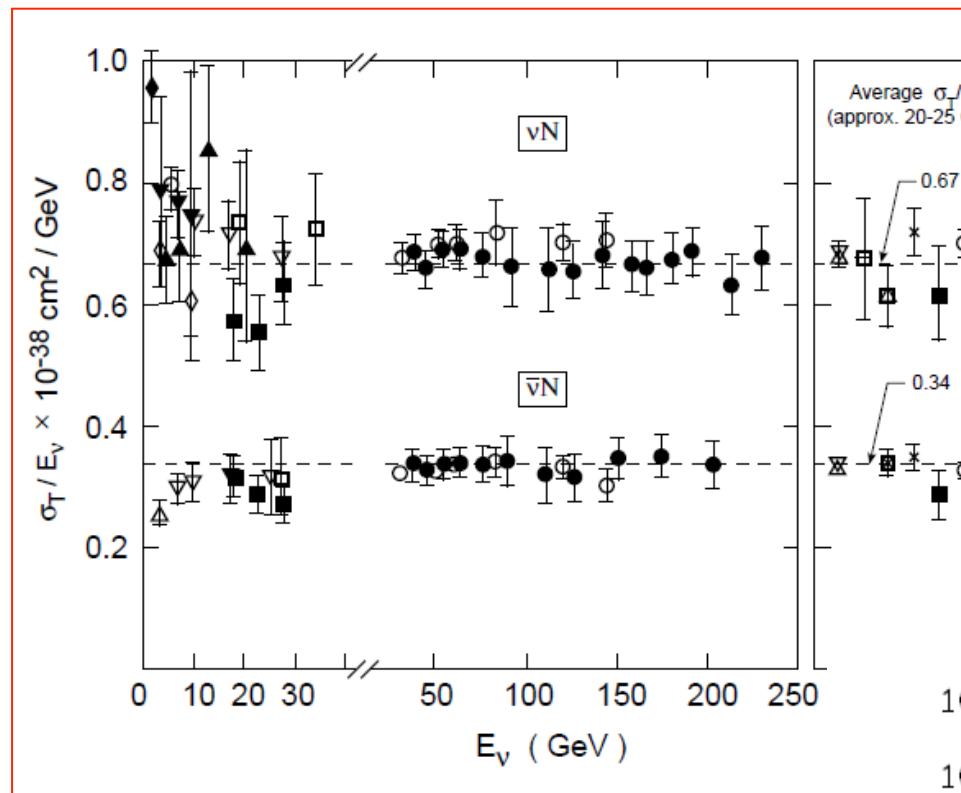


- Parameter dependent on the analysis
- ANTARES and IceCube  $A^{\text{eff}}$  for point-like sources (upgoing muons)



# Neutrino cross section

$$\frac{d\sigma}{dE} = 0.5 \times 10^{-35} \text{ cm}^2 \text{ TeV}^{-1}$$



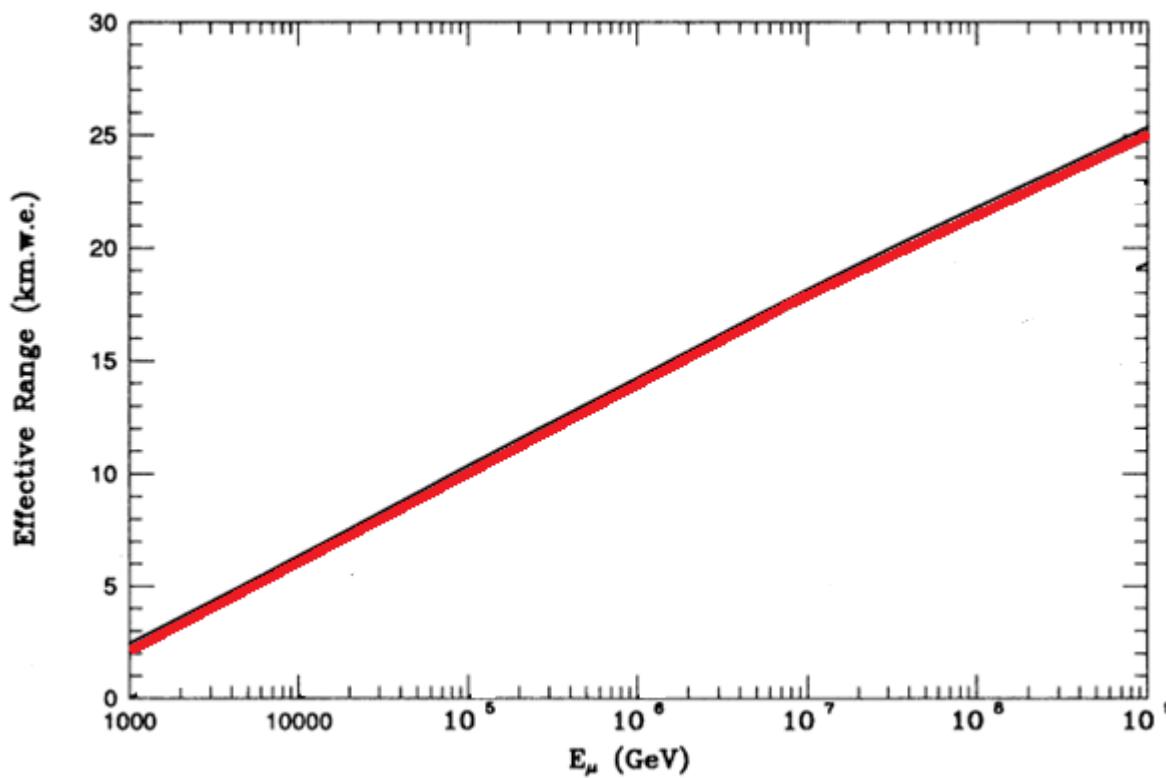
# Muon Range (cm)

$$-\frac{dE}{dx} = \alpha + \beta E$$

$$\alpha = 2 \text{ MeV g}^{-1} \text{ cm}^{-2}; \beta = 4 \times 10^{-6} \text{ g}^{-1} \text{ cm}^{-1}$$

$$\alpha/\beta = 500 \text{ GeV} = 0.5 \text{ TeV}$$

$$\Rightarrow x = -\frac{1}{\beta} \ln\left(1 + \frac{E}{\alpha/\beta}\right) = 2.5 \times 10^5 [\text{g cm}^{-2}] \ln\left(1 + 2E[\text{TeV}]\right)$$



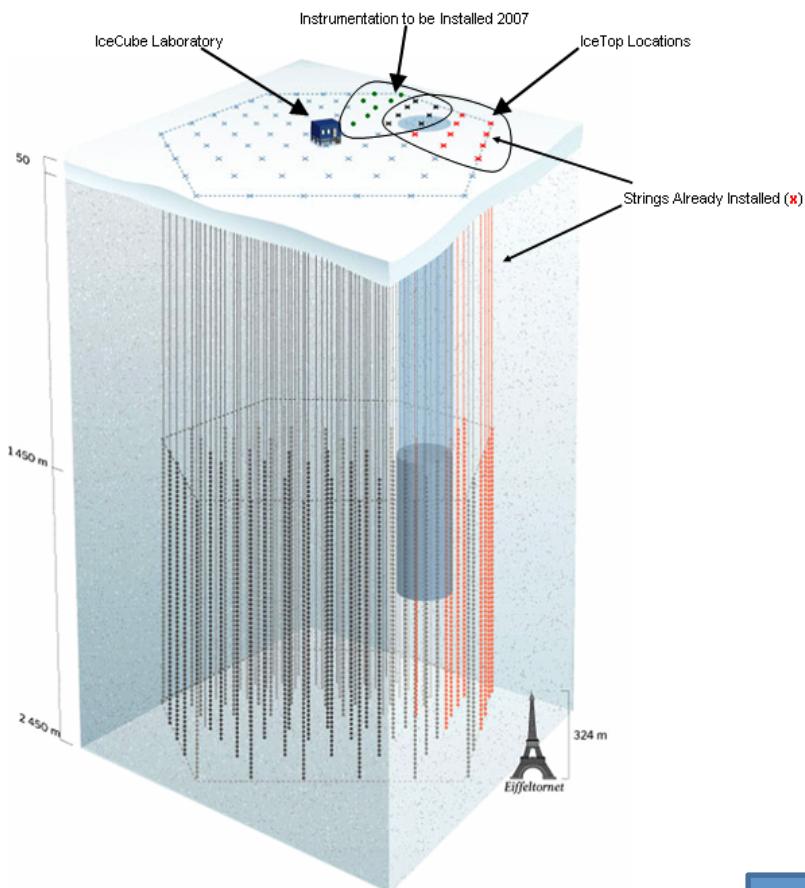
**Muon range**  
(km.w.e.) vs. initial  
energy E<sub>μ</sub> for E<sub>μ</sub><sup>thr</sup>  
= 100 GeV

# Number of events in a 1 km<sup>3</sup>

$$\frac{N_\nu}{T} = \int_{1 \text{ TeV}}^{10^3 \text{ TeV}} dE_\nu \cdot (\Phi_\nu^0 E_\nu^{-2}) \cdot A \cdot (P_o E_\nu^{0.8}) \cdot \epsilon = 0.5 \cdot 10^{-16} \cdot A \cdot \epsilon \text{ cm}^{-2} \text{ s}^{-1}$$

$$T = 3.15 \times 10^7 \text{ s} = 1 \text{ yr}$$
$$A = 10^{30} \text{ cm}^2 = 1 \text{ km}^2$$

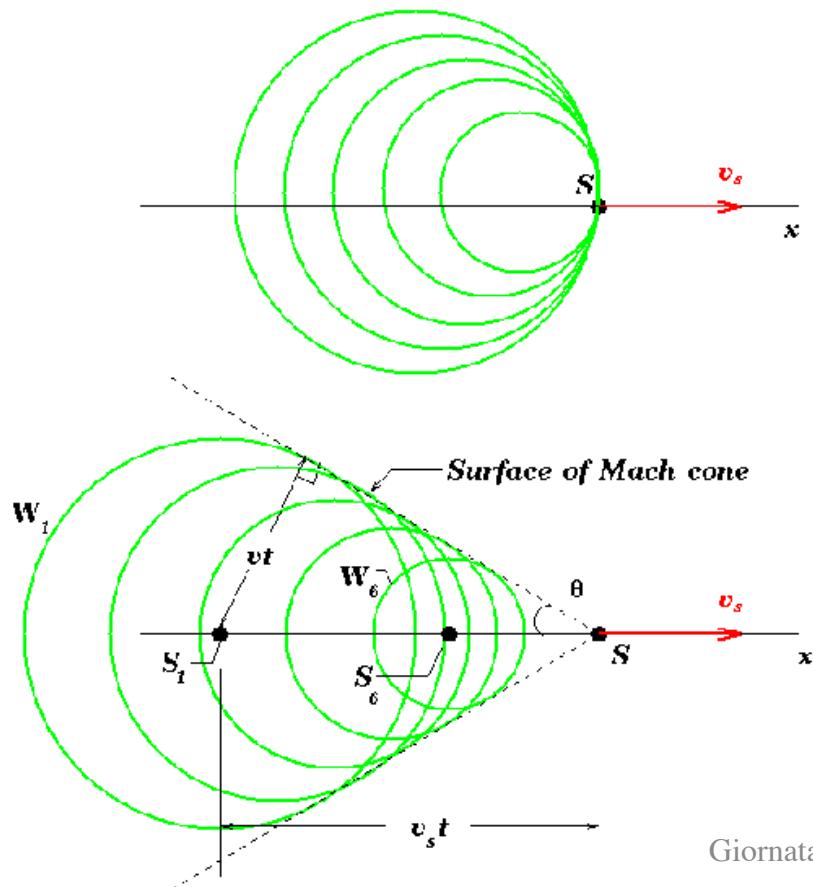
$$N_\mu \approx 1.5 \text{ events/yr}$$



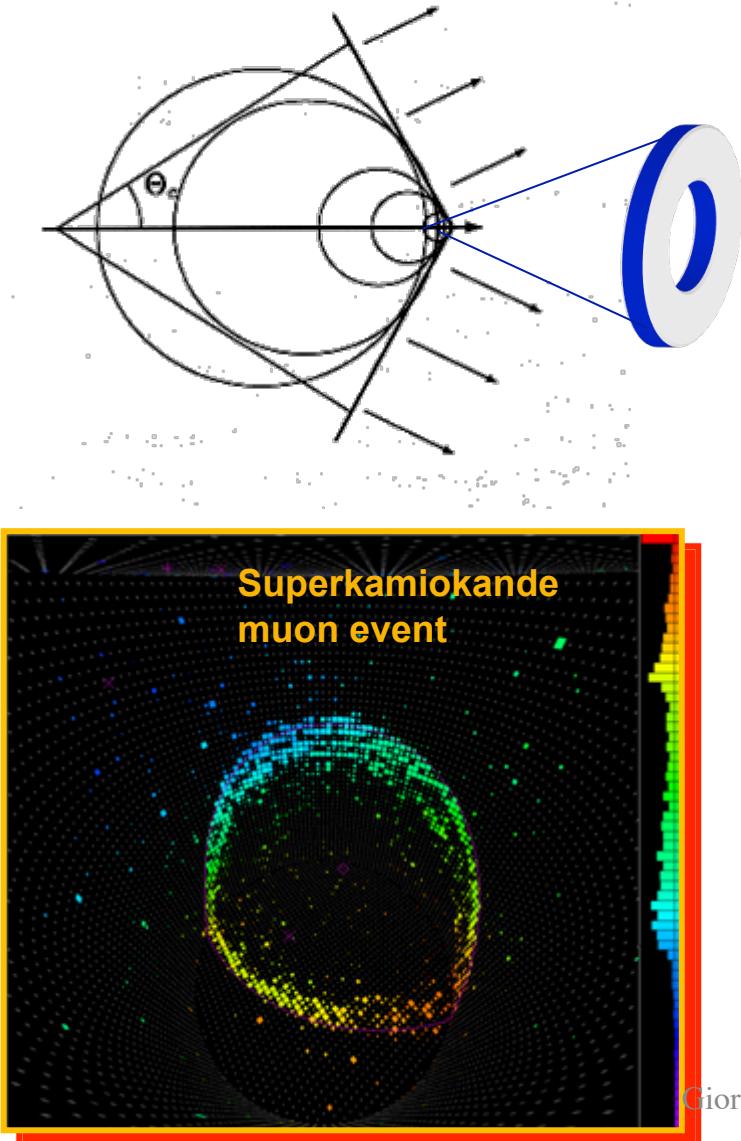


### 3.3 Cherenkov Radiation

- As a charged particle travels, it disrupts the local EM field.
- Radiation is emitted as insulator's electrons restore to equilibrium
- This radiation destructively interfere and no photons are detected.
- However, **when the disruption travels faster than light is propagating through the medium**, the radiation constructively interfere and intensify the observed Cerenkov photons.
- In water, **~300 Č photons/cm** in the range of 300-600 nm for a  $\beta=1$  particle



# Cherenkov light emission



- Cherenkov light emitted by relativistic particles in a transparent medium, with :  $\beta n(\lambda) > 1$
- Dominant photon emission in the blue-UV band (see cap. 7).
- In the range in which water/ice are most transparent:

$$\frac{d^2N_C}{dxd\lambda} = \frac{2\pi}{137\lambda^2} \left(1 - \frac{1}{n^2\beta^2}\right)$$

- In the range 300-600 nm and  $\beta=1$ :

$$N_C = 300/ \text{cm}$$

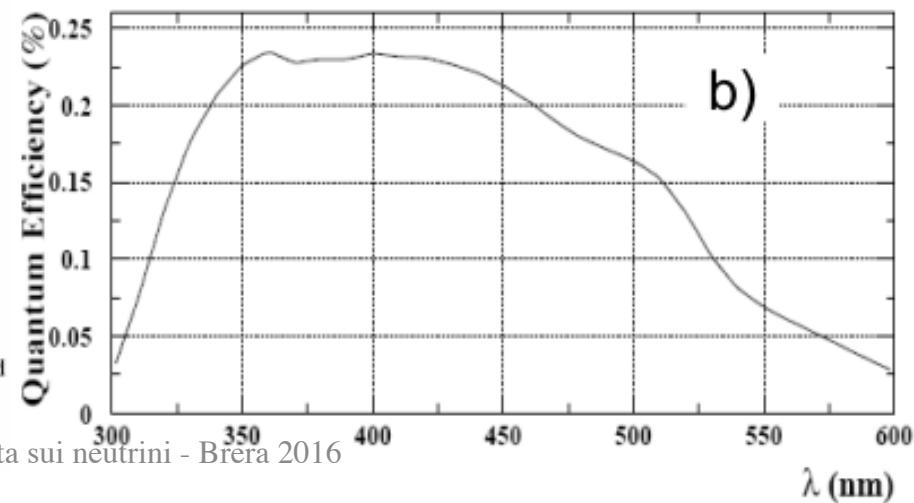
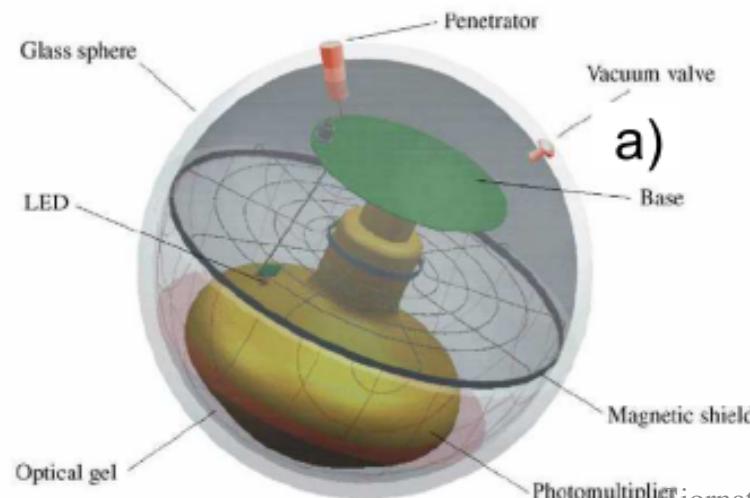
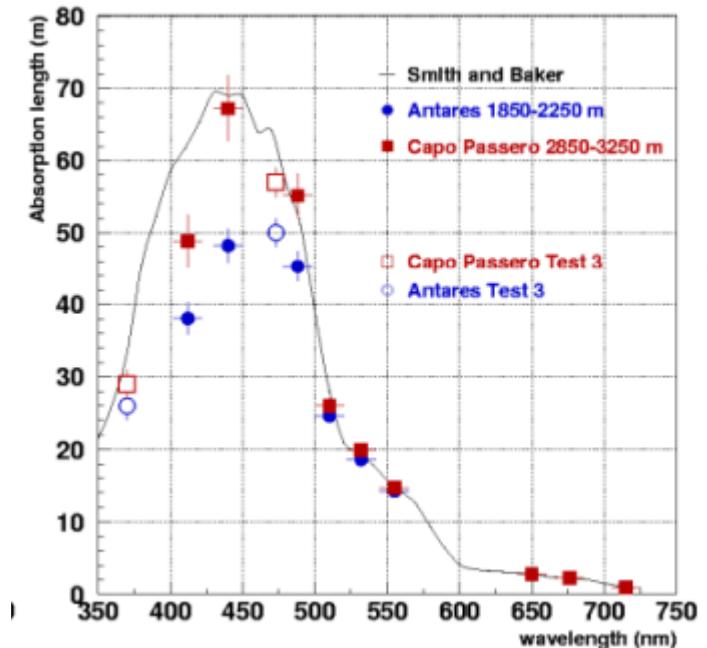
# 3.4 water/ice properties

Water/ice characterized by two quantities

- **absorption length**. It depends on  $\lambda$ , and it is order of 50 m (ice better than water)
- **scattering length** (water better than ice)

Cherenkov photons can reach a **PMT** in an **Optical Module (OM)** and produce a signal

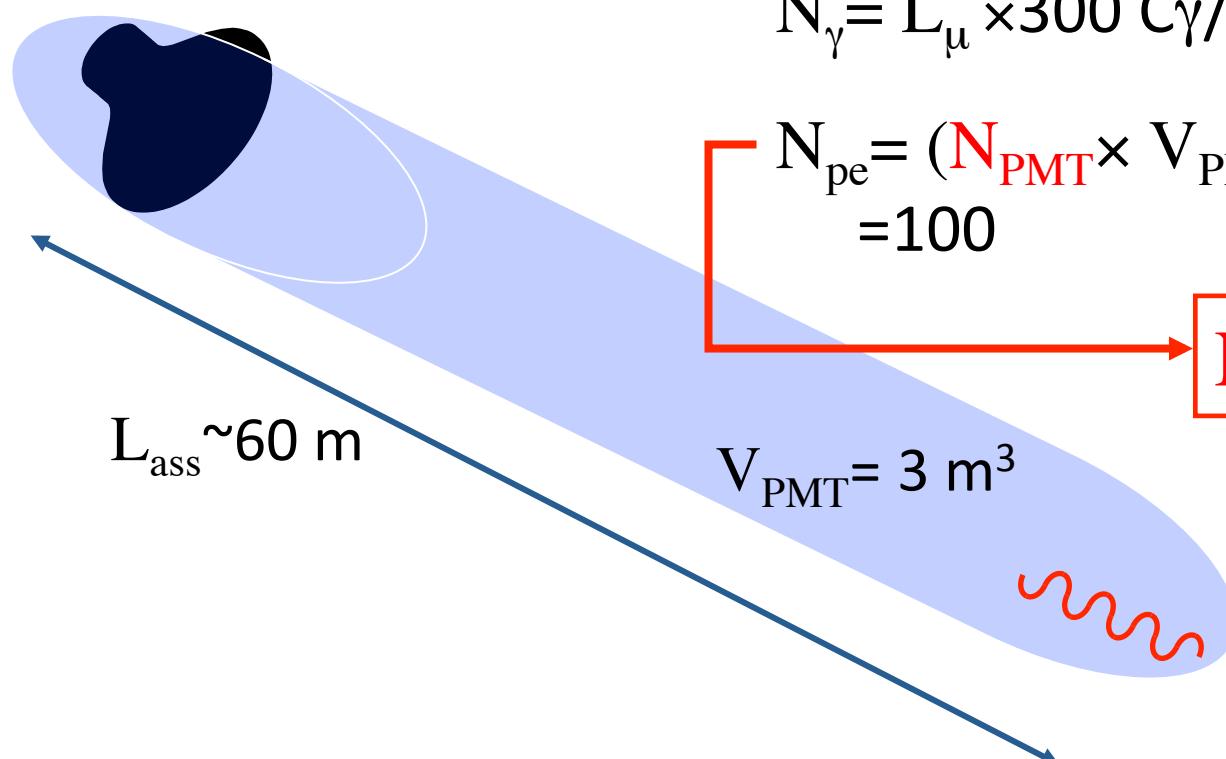
- Typical OM efficiency: 20%



## 3.5 How many light sensors → € ?

- **Problem:** How many PMTs ( $N_{PMT}$ ) are needed in 1 km<sup>3</sup> detector volume in order to detect ~100 p.e. ( $N_{pe}$ ) ?
- Assume a muon track of  $L_\mu = 1$  km.
- Assume 10" PMTs in one OM (as IceCube/ANTARES)
- O(100 p.e.) in O(10) PMTs are necessary for track reconstruction

10" PMT = 0.05 m<sup>2</sup>



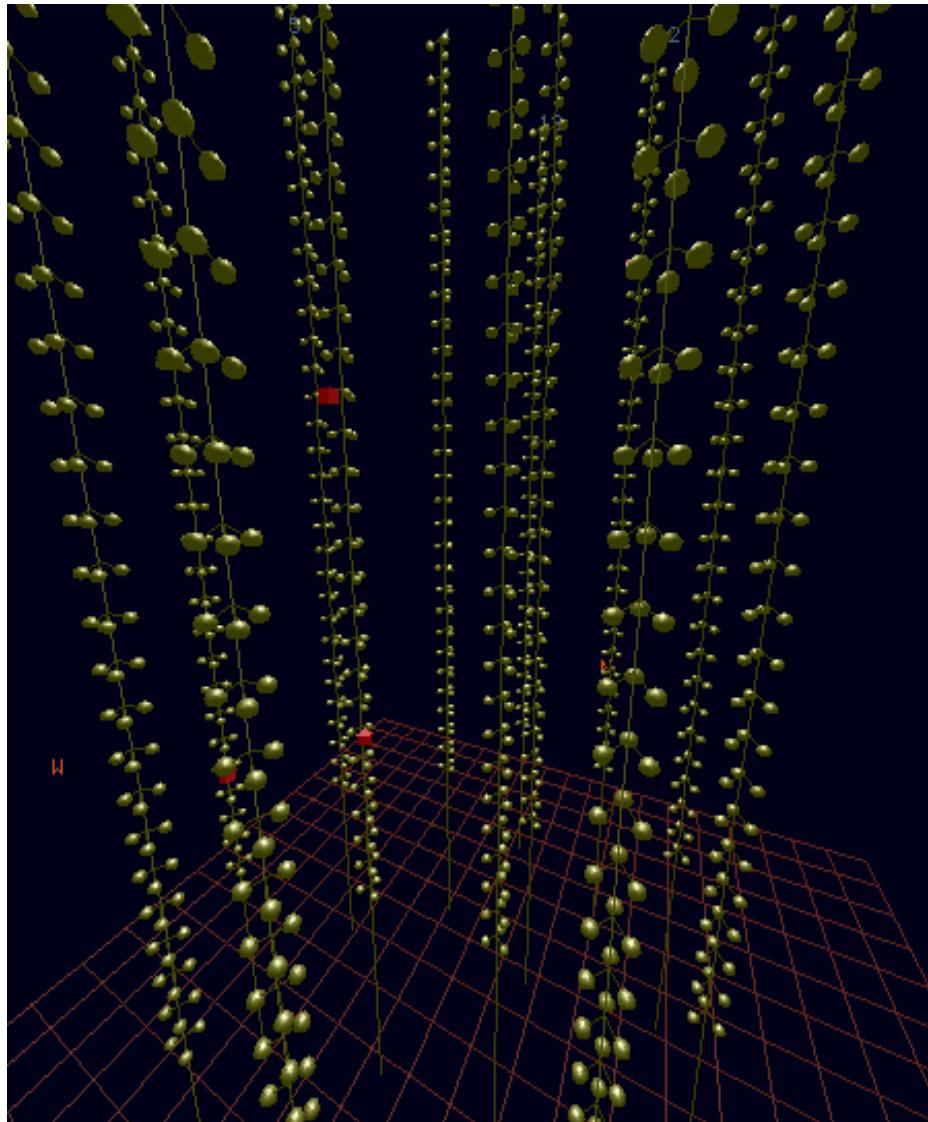
$$N_\gamma = L_\mu \times 300 \text{ Č}\gamma/\text{cm} = 3 \times 10^7 \text{ Č}\gamma$$

$$\boxed{N_{pe} = (N_{PMT} \times V_{PMT} \times N_\gamma \times \epsilon_{QE}) / 1 \text{ km}^3} \\ = 100$$

$$\boxed{N_{PMT} = 5000}$$



## 3.6 Track/cascades reconstruction



- Reconstructed from time-space correlation between *hits*.
- energy reconstructed from amplitudes

### Muon channel (CC $\nu_\mu$ )

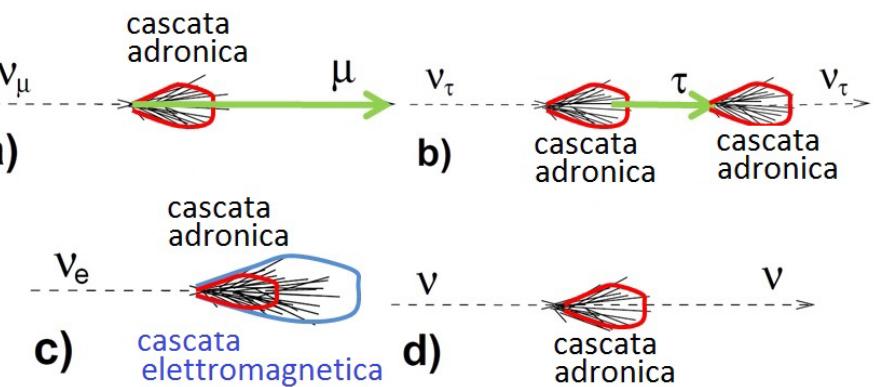
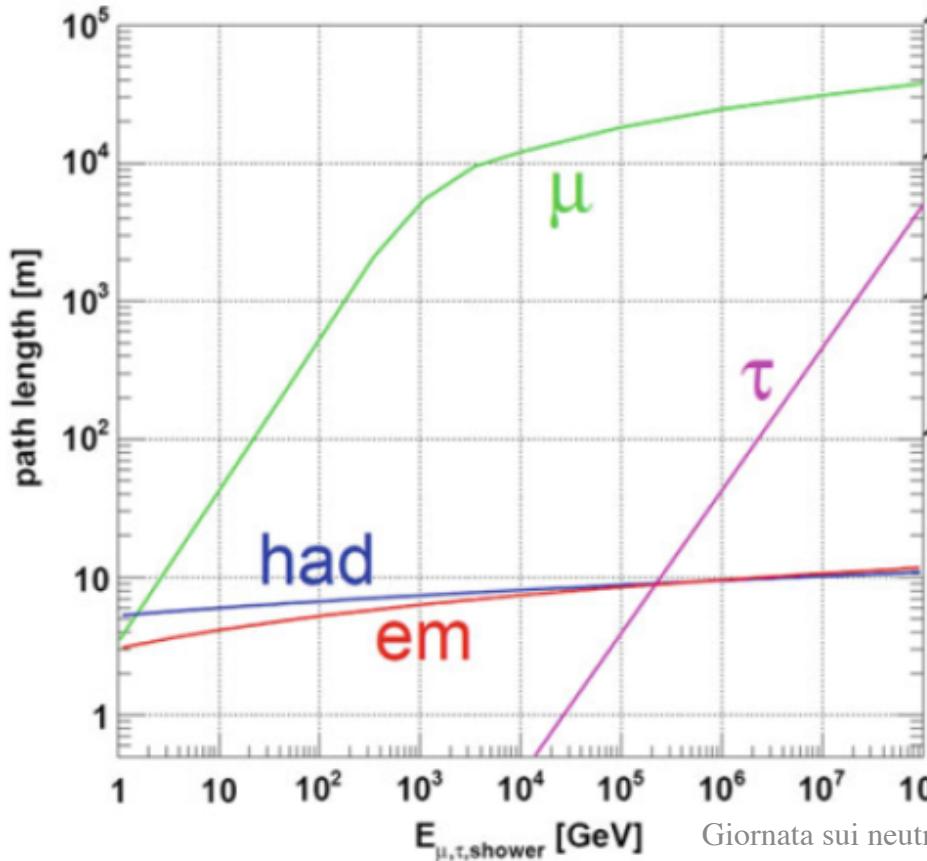
- Long pattern in the detector
- Cherenkov photons are correlated in space and time

### Cascades (CC $\nu_e + \text{NC}$ )

- Short pattern (point like)

# Track and showers

- $\nu_\mu$  yield **tracks** in the detector
  - Better direction estimate (the muon collinear with the neutrino)
- $\nu_e, \nu_\tau$ , neutral currents: yield **showers** (or **cascades**) in the
  - Better energy measurement (energy dissipated in the detector)



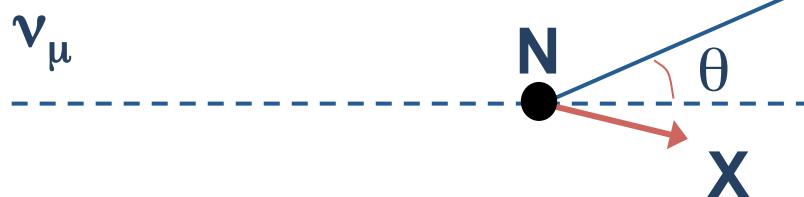
*Path length (m) of  
tracks/cascades in water*



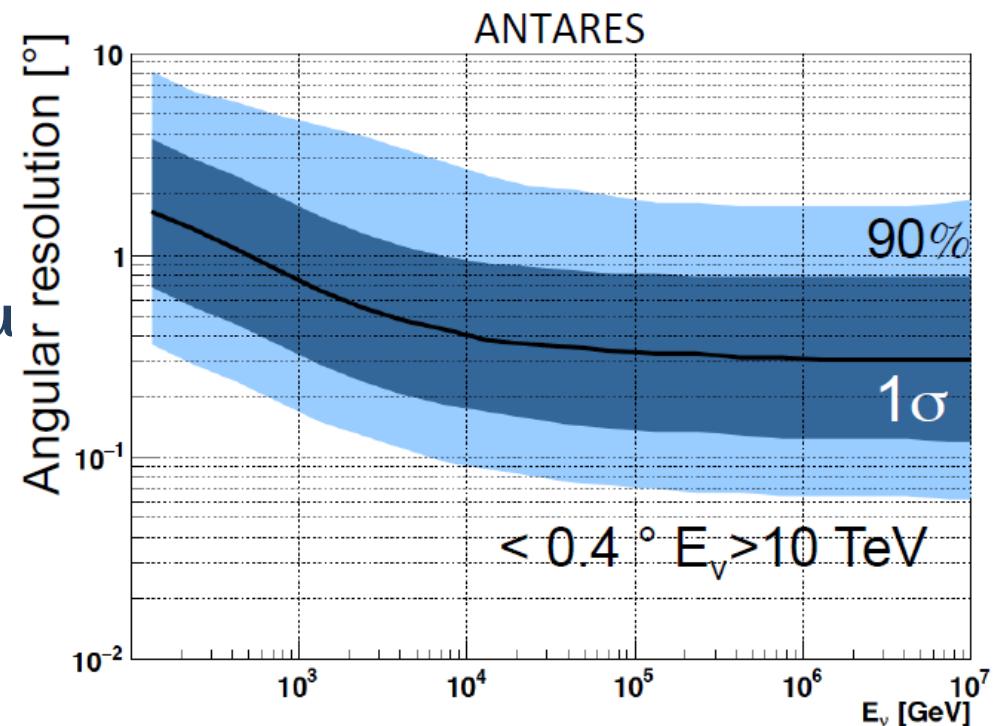
## 3.7 Angular/energy resolution for $\nu_\mu$

Muon = long track in the detector. The  $\mu$  reconstruction allows the measurement of the  $\nu$  direction (kinematics important for  $E < 1$  TeV)

- Neutrino ***direction*** much better than  $1^\circ$ . Water better than ice
- ***Energy resolution*** relatively poor (better for large detectors)

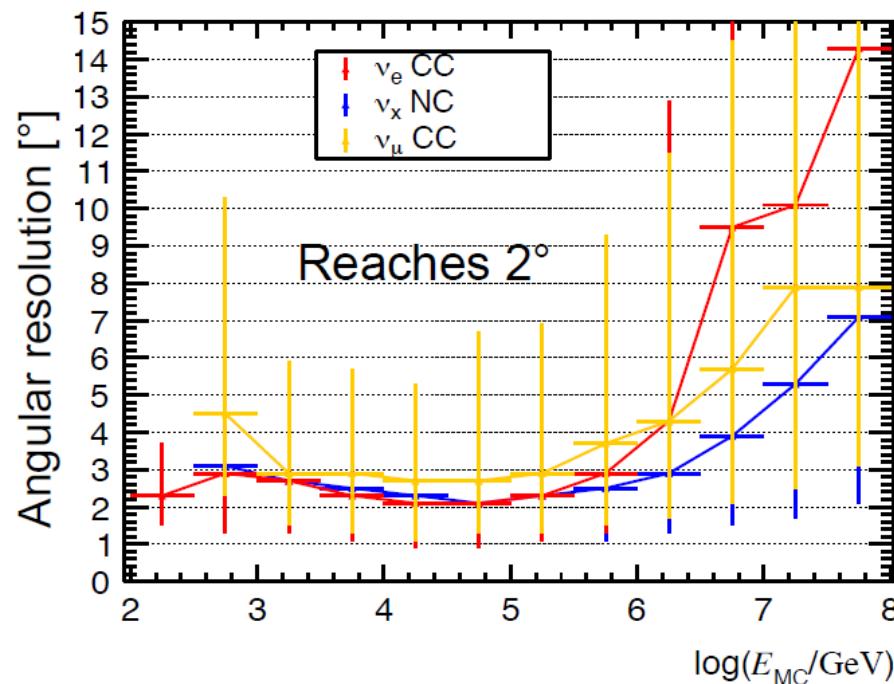


ANTARES angular resolution vs  $E$



# Angular/energy resolution for cascades

- Electromagnetic/hadronics cascades develop within  $\sim 10$  m
- Very short tracks, poor *angular resolution* (depends on the detector. Ranges from few degrees to  $15^\circ$ - $20^\circ$ )
- Energy resolution much better (large fraction of the  $\nu$  energy released in secondaries)



## 3.8 Difference between ice...



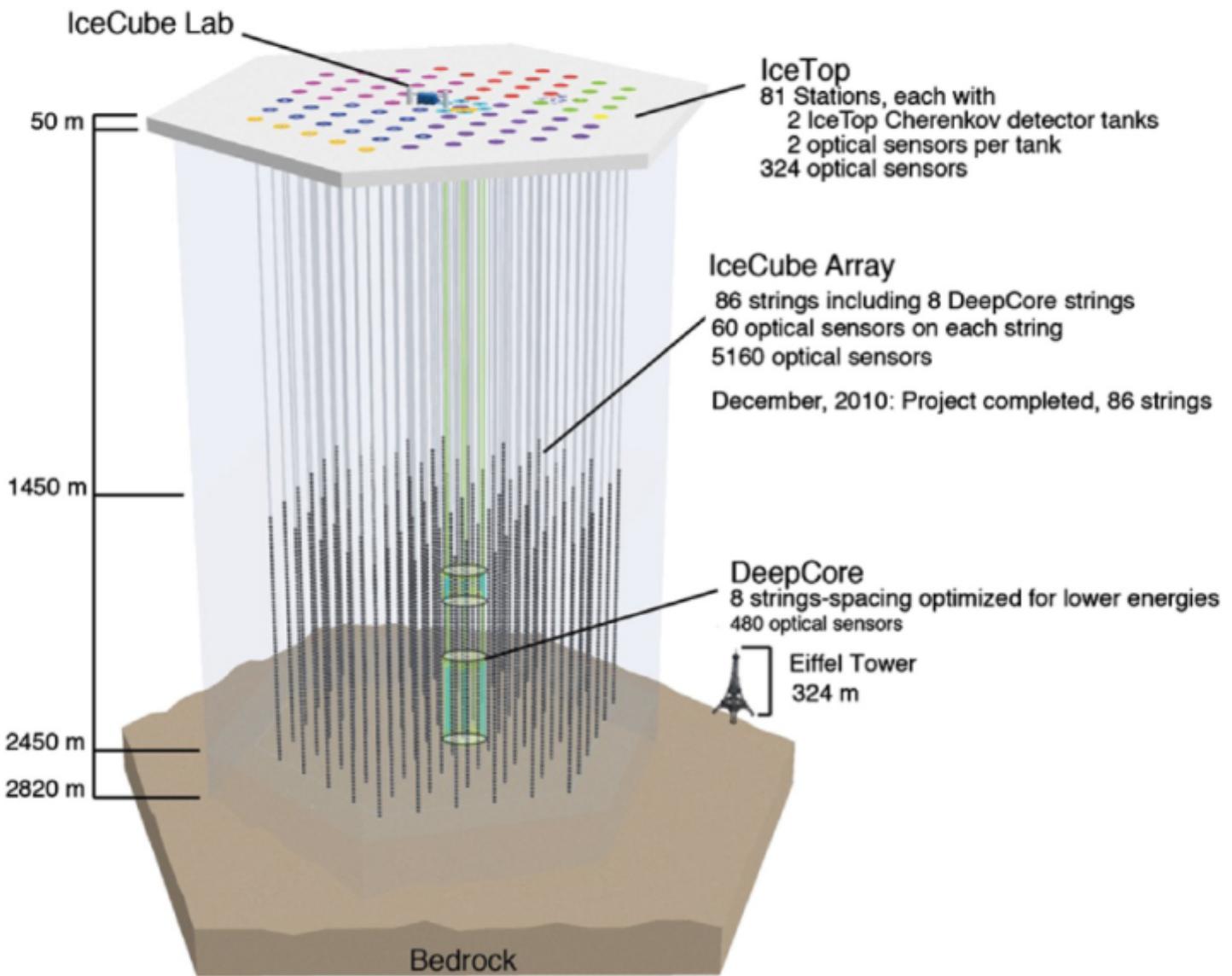
# ... and Mediterranean water

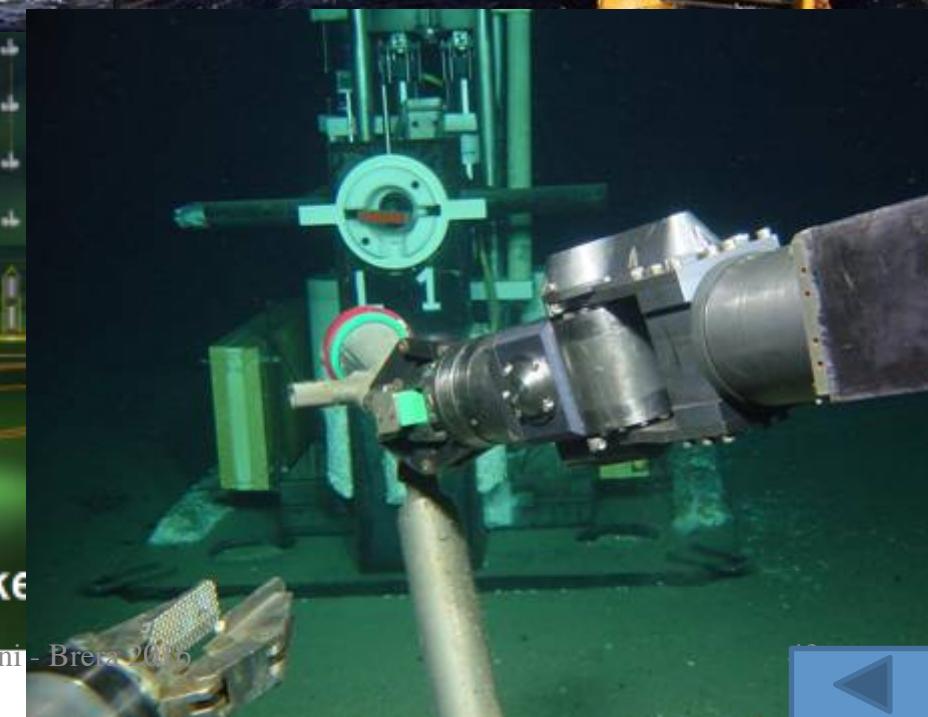


Giornata sui neutrini - Brera 2016



# IceCube @South Pole

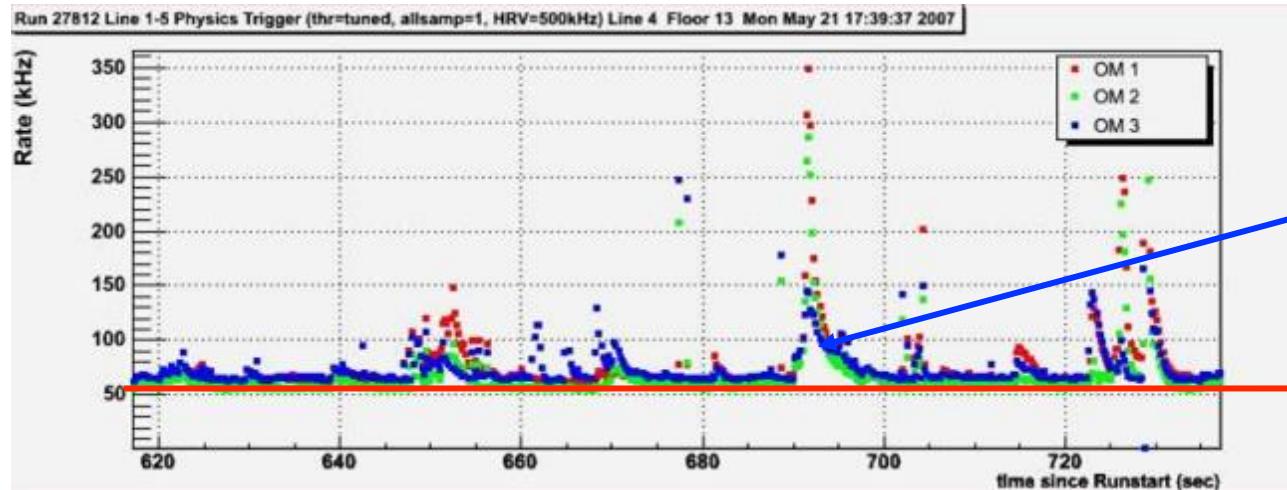




Ciornata sui neutrini - Brera 2016



# 3.9 Optical background in water



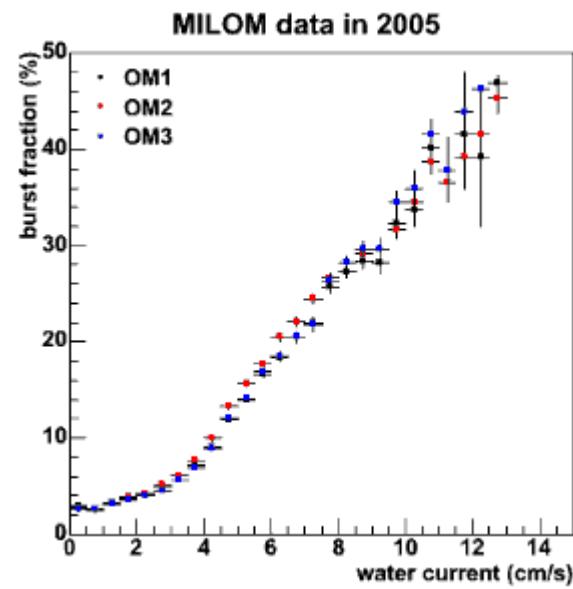
## Baseline:

$^{40}\text{K}$  decays + bacteria luminescence



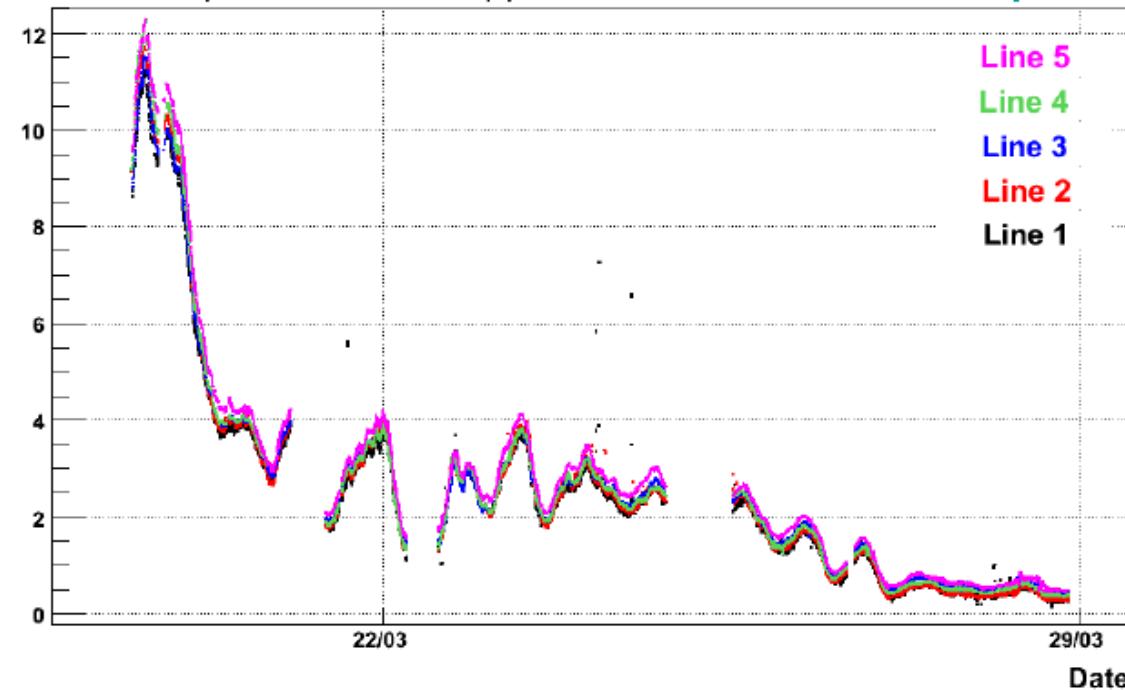
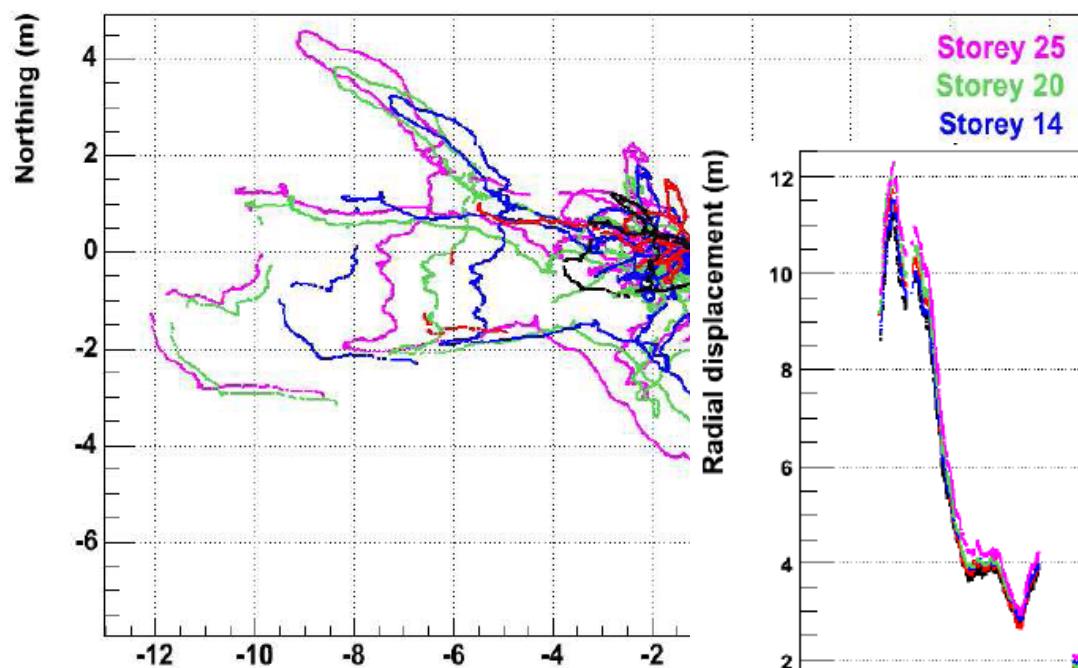
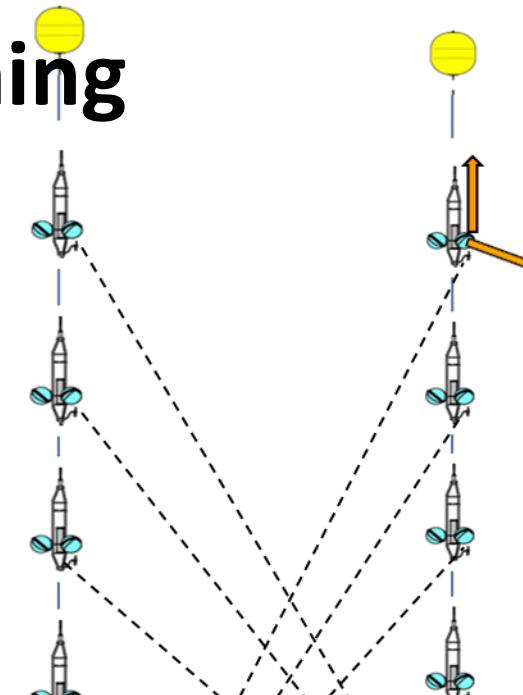
## Bioluminescence bursts:

Animal species which emit light by flashes, spontaneous or stimulated around the detector.

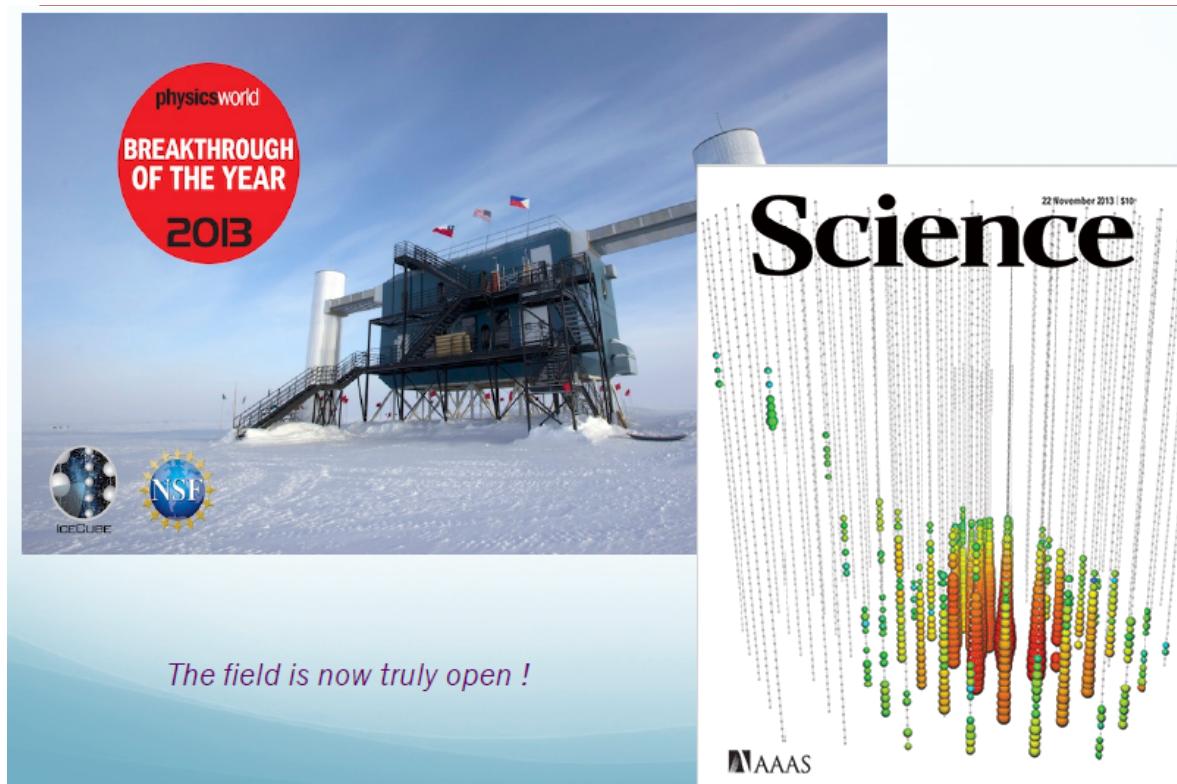


## 3.10 Detector positioning

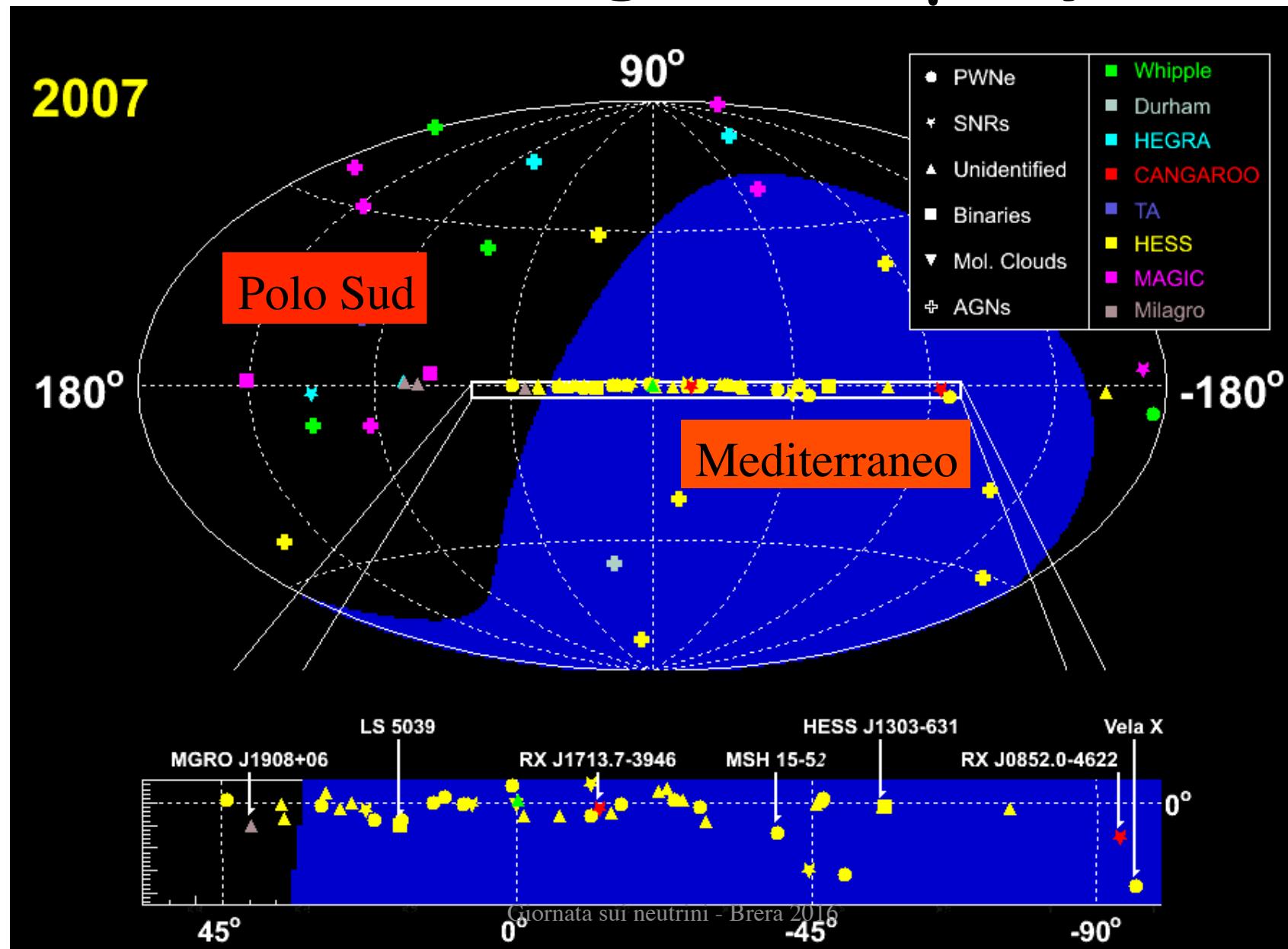
- <10 cm accuracy on individual OM position
- Acoustic system.
- Additional devices provide independent sound velocity measurements



# 4. Detecting cosmic neutrinos: the twofold way



# The catalog of TeV $\gamma$ -rays



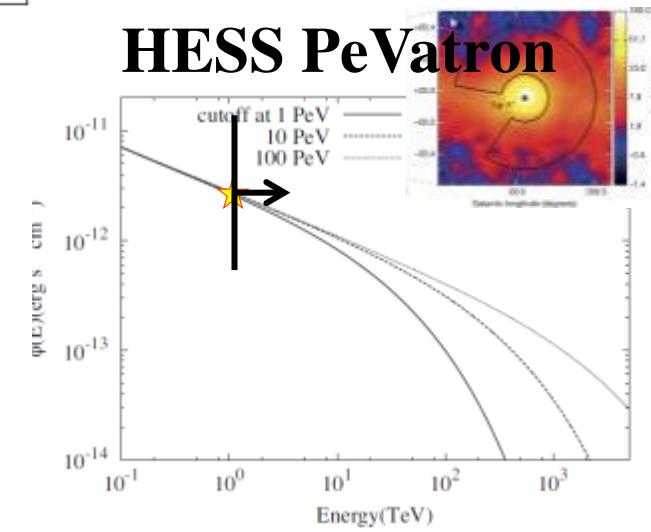
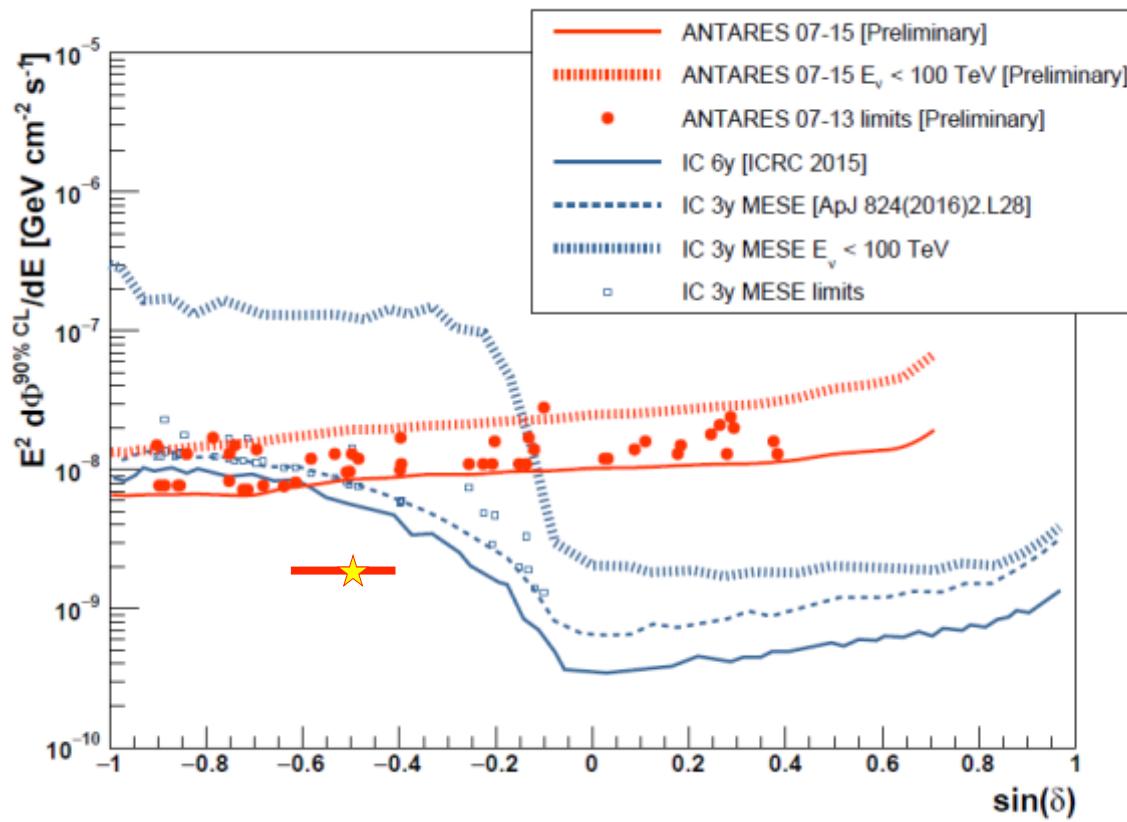
1) Measuring a significant excess of events from a given direction (**point-sources**).

- $\nu_\mu$  mainly. And only upgoing events

2) Measuring a significant excess of high-energy events with respect to the background (**diffuse**).

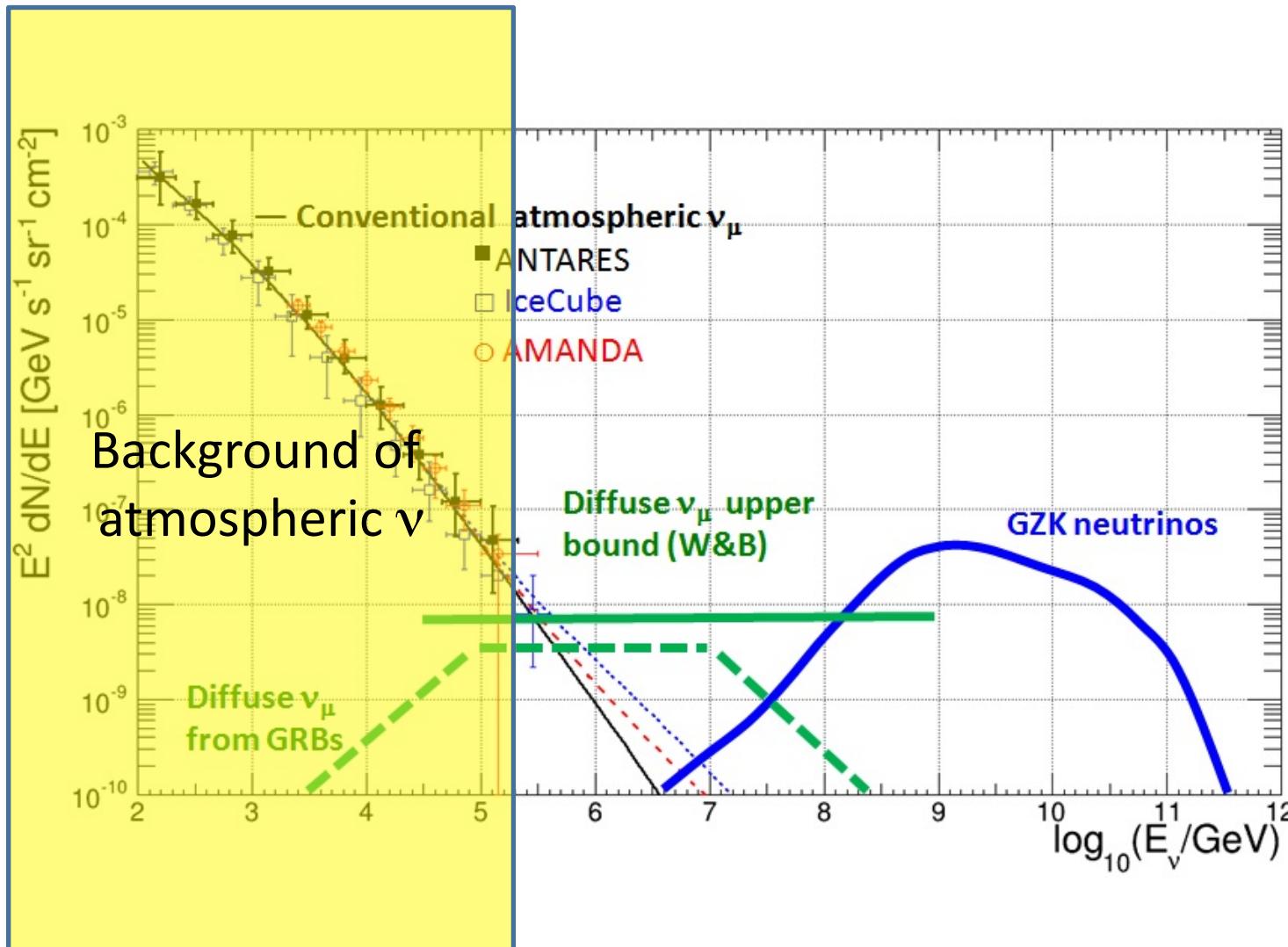
- All flavors. Tracks, showers and partially contained events.

# Point Sources



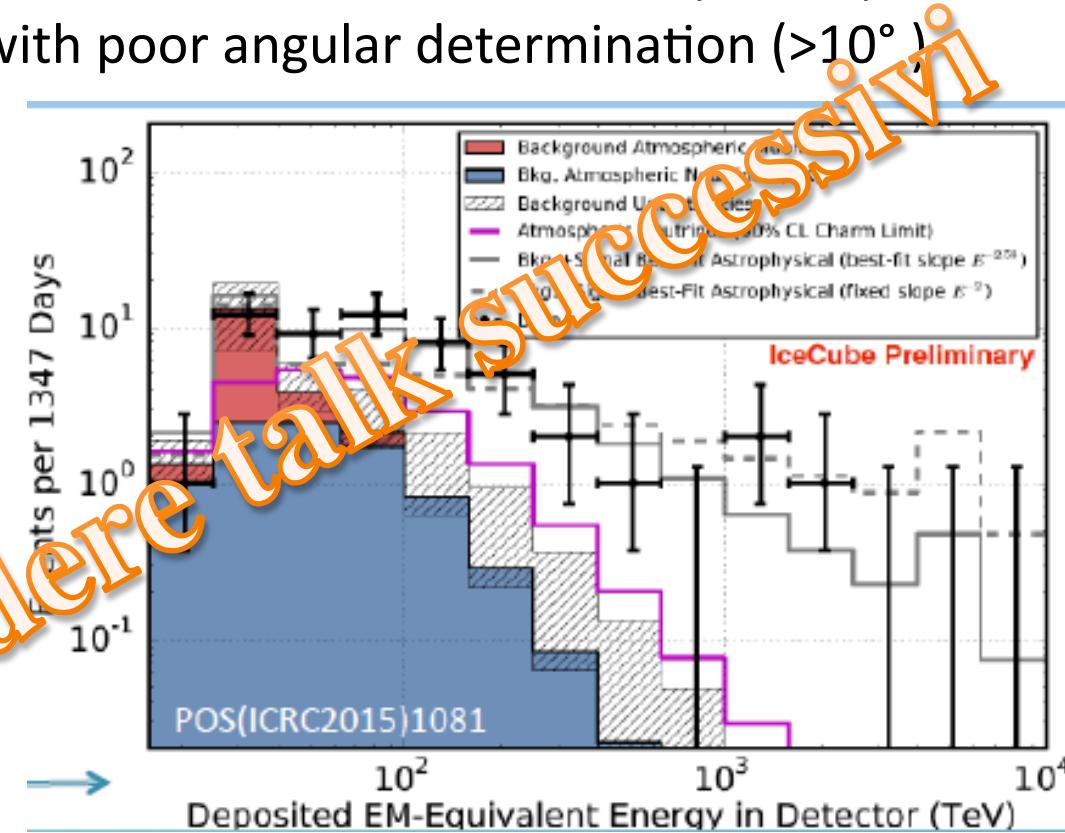
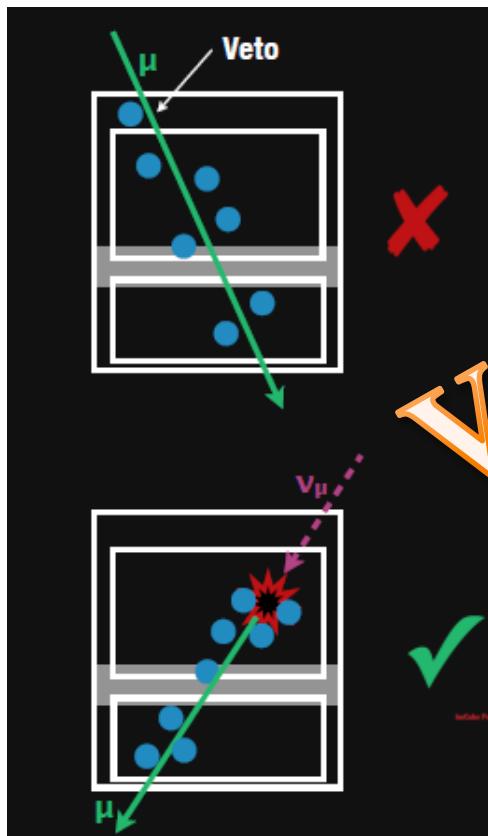
Nature 531 (2016) 476

# Diffuse flux of cosmic $\nu$



# Excess of HE events over background

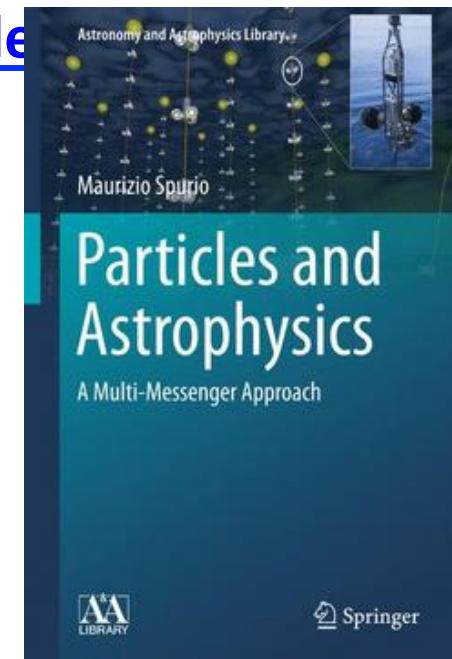
- High Energy Starting Events (HESE) in IceCube
- Events selected in a restricted fiducial volume (SK-like)
- Mostly showers with poor angular determination ( $>10^\circ$ )



- Vedere talk successivi
- Atmospheric muons
  - Atmospheric neutrinos
  - Signal= excess of HE events

# Per approfondimenti

- M. Spurio:  
[Neutrini in profondità: Vita, morte e miracoli dei neutrini rivelati sotto terra, sotto i ghiacci o in fondo al mare](#)  
[arXiv:1609.06710](#)
- T. Chiarusi, M. Spurio:  
[High-Energy Astrophysics with Neutrino Telescopes](#)  
[\(2010\) 649-701: arXiv:0906.2634](#)
- M.S. **Particles and Astrophysics** (Springer)





# Neutrini nel cosmo

*«di qua, di là, di giù, di sù li mena;  
nulla speranza li conforta mai,  
non che di posa, ma di minor pena. »*

Inferno, Canto V

*(si noti, siamo già  
«underground»)!*

