
Antonio Capone
Univ. "La Sapienza"
INFN - Roma

Neutrino Telescopes status & perspectives

- KM3NeT ARCA-ORCA
- IceCube-Gen2
- Baikal-GVD

KM3NeT Collaboration

12 Countries
> 40 Institutes
> 220 Scientists



KM3NeT Collaboration

KM3NeT: originated by the common effort of European physicists (plus Morocco)

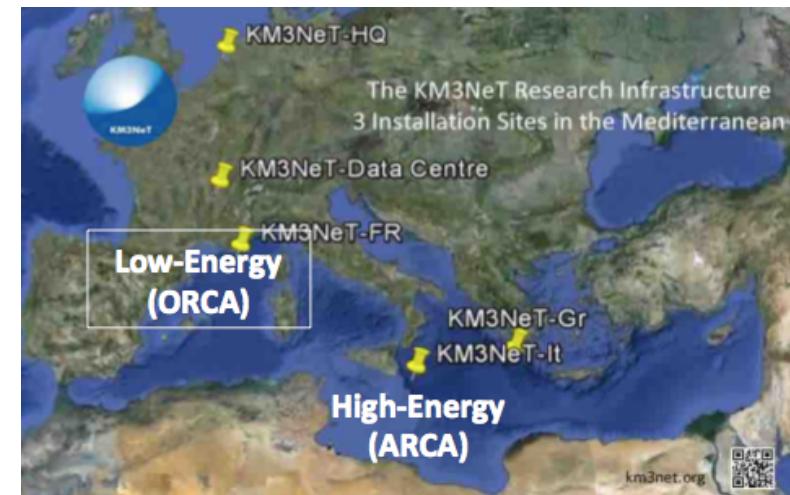
KM3NeT: a research infrastructure with 2 main physics topics

- high energy: the existence/origin of cosmic neutrinos, search for diffuse and point like sources (ARCA)
- low energy: study of the fundamental neutrino properties: oscillations and mass hierarchy (ORCA)

KM3NeT: as a research infrastructure will be a Deep Sea Observatory available for oceanography, bioacoustics, bioluminescence, seismology, ...

It will consists of:

- a single Collaboration
- a single technology
- a multisite detector
- a single managements



ARCA: Astroparticle Research with Cosmics in the Abyss

ORCA: Oscillation Research with Cosmics in the Abyss

KM3NeT – physics goals

ARCA

- Measure high-energy neutrino fluxes
 - Benchmark: the IceCube flux (isotropic and flavour symmetric)

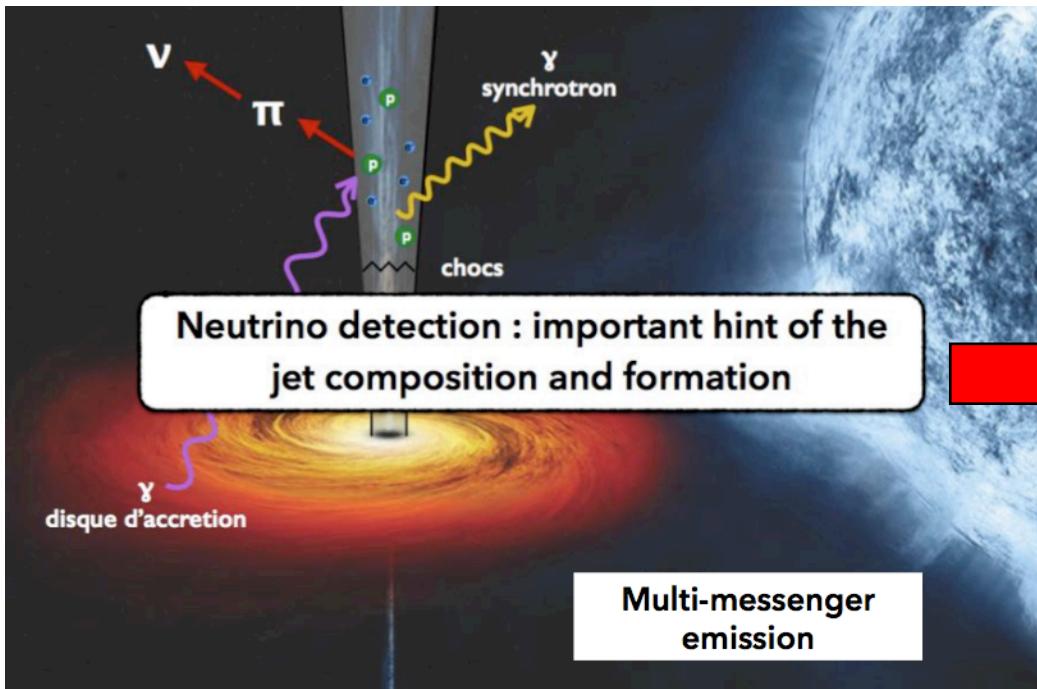
$$\Phi(E_\nu) = 1.2 \cdot 10^{-8} \left(\frac{E_\nu}{1\text{GeV}} \right)^{-2} e^{-\left(\frac{E_\nu}{3\text{PeV}}\right)} [\text{GeV}^{-1}\text{cm}^{-2}\text{s}^{-1}\text{sr}^{-1}]$$

- Search for astrophysical neutrino point-like sources
 - Neutrino fluxes from intense galactic TeV gamma sources
 - Gamma Ray Bursts, Transient sources, indirect Dark Matter search, ...
 - Multi-messenger studies

ORCA

- Determine the Neutrino Mass Hierarchy (NMH)
- Precise measurement of atmospheric neutrino parameters
- Indirect Dark Matter search

Multi-messenger astronomy: the ultimate approach



Offline

Time-dependent searches:

- GRB [Swift, Fermi, IPN]
- Micro-quasar and X-ray binaries [Fermi/LAT, Swift, RXTE]
- Gamma-ray binaries [Fermi/LAT, IACT]
- Blazars [Fermi/LAT, IACT, TANAMI...]
- Crab [Fermi/LAT]
- Supernovae Ib,c [Optical telescopes]
- Fast radio burst [radio telescopes]

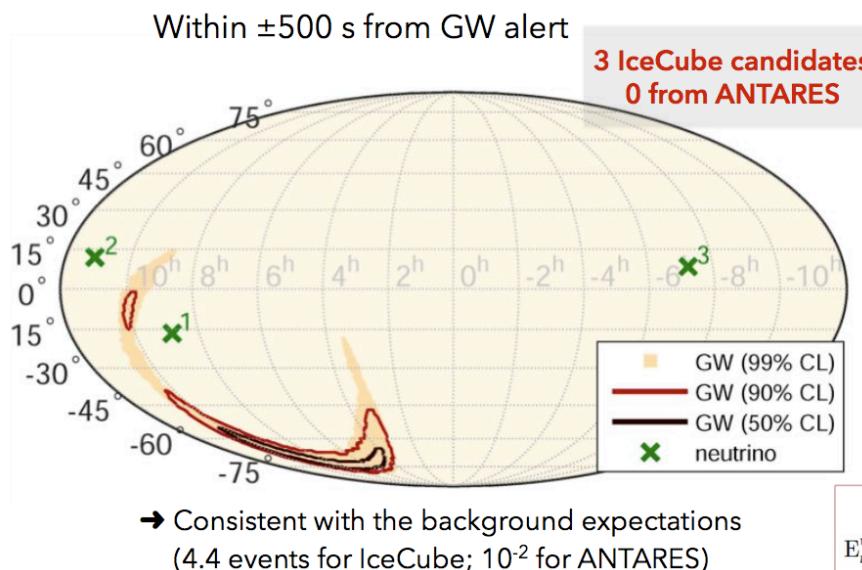
Multi-messenger correlation:

- Correlation with the UHE events [Auger]
- Correlation with the gravitational wave [Virgo/Ligo]
- 2pt-correlation with 2FGL catalogue, loc. galaxies, BH , IceCube HESE

TAToO

Real-time analysis:

- TAToO: follow-up of the neutrino alerts with optical telescopes [TAROT, ROTSE, ZADKO, MASTER], X-ray telescope [Swift/XRT], GeV-TeV γ-ray telescopes [HESS] and radio telescope [MWA]
- Online search of fast transient sources [GCN, Parkes]

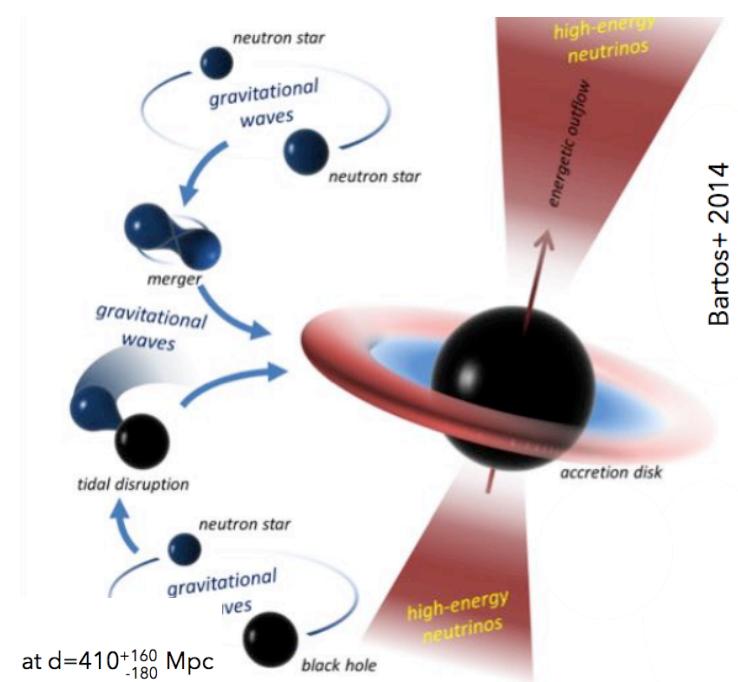


For BH/NS or NS/NS systems :

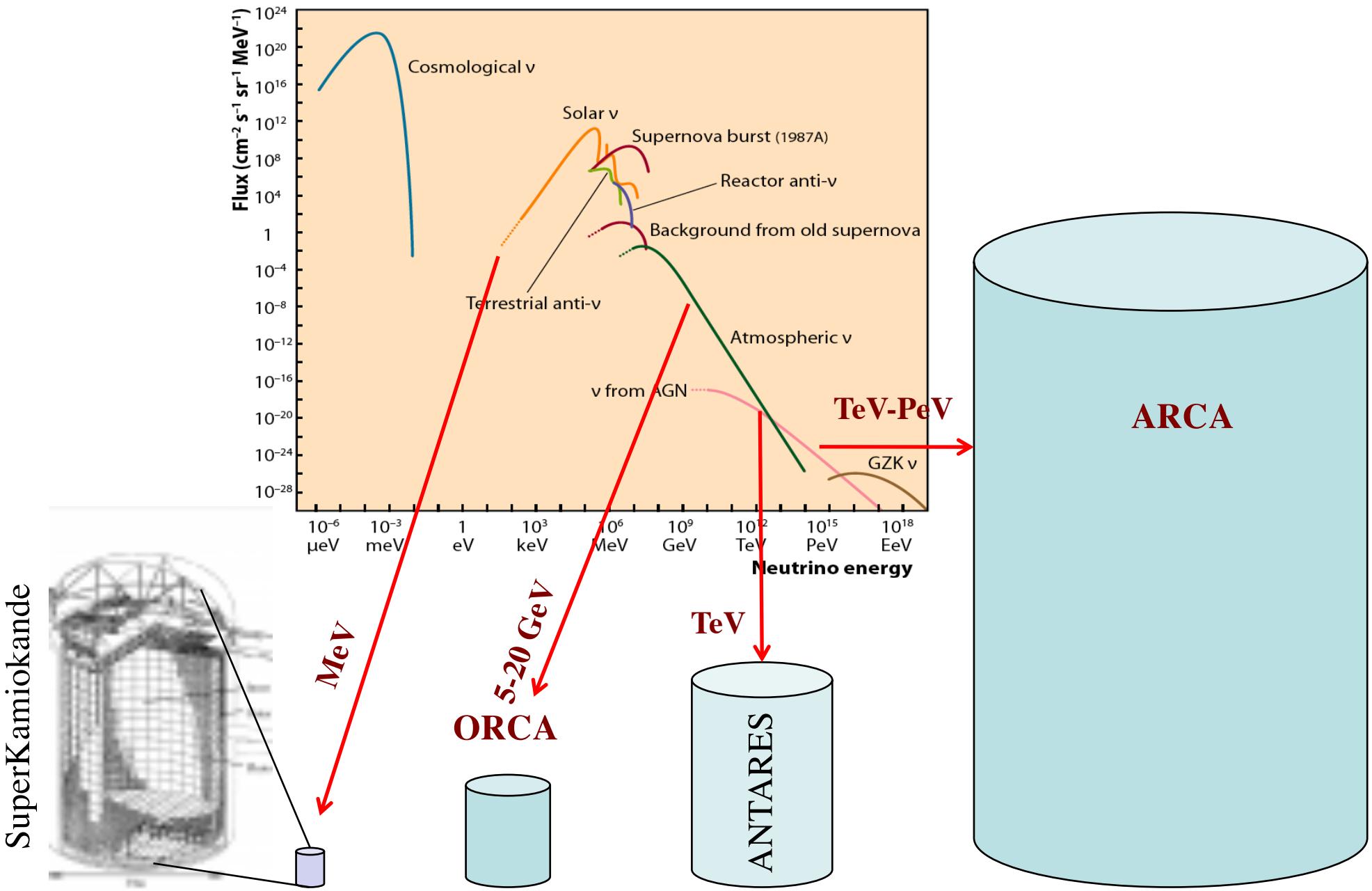
gravitational waves
+ electromagnetic
+ neutrino emission
expected if ejection
process with baryonic
component

$$E_{\nu, \text{tot}}^{\text{ul}} = 5.4 \times 10^{51} - 1.3 \times 10^{54} \text{ erg}$$

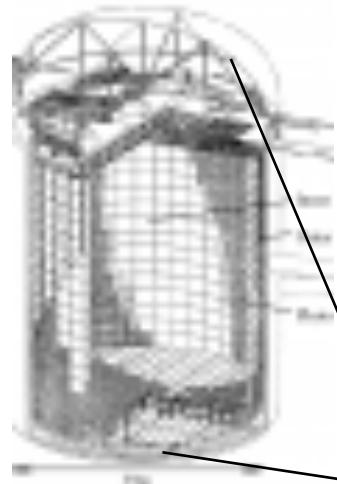
$$E_{\nu, \text{tot}}^{\text{ul(cutoff)}} = 6.6 \times 10^{51} - 3.7 \times 10^{54} \text{ erg}$$



... yesterday, today, tomorrow ...

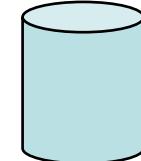


SuperKamiokande



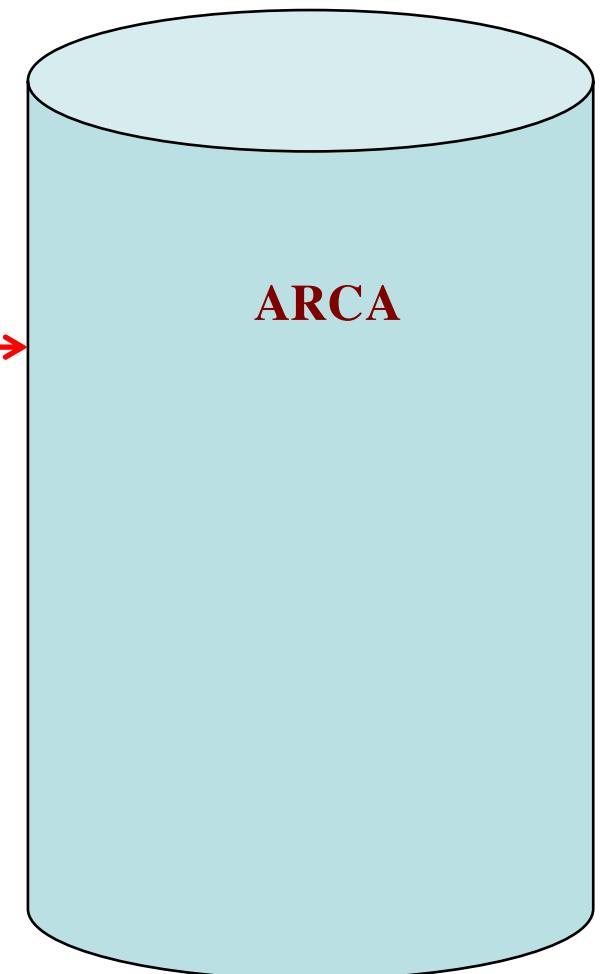
MeV

5-20 GeV
ORCA



ANTARES

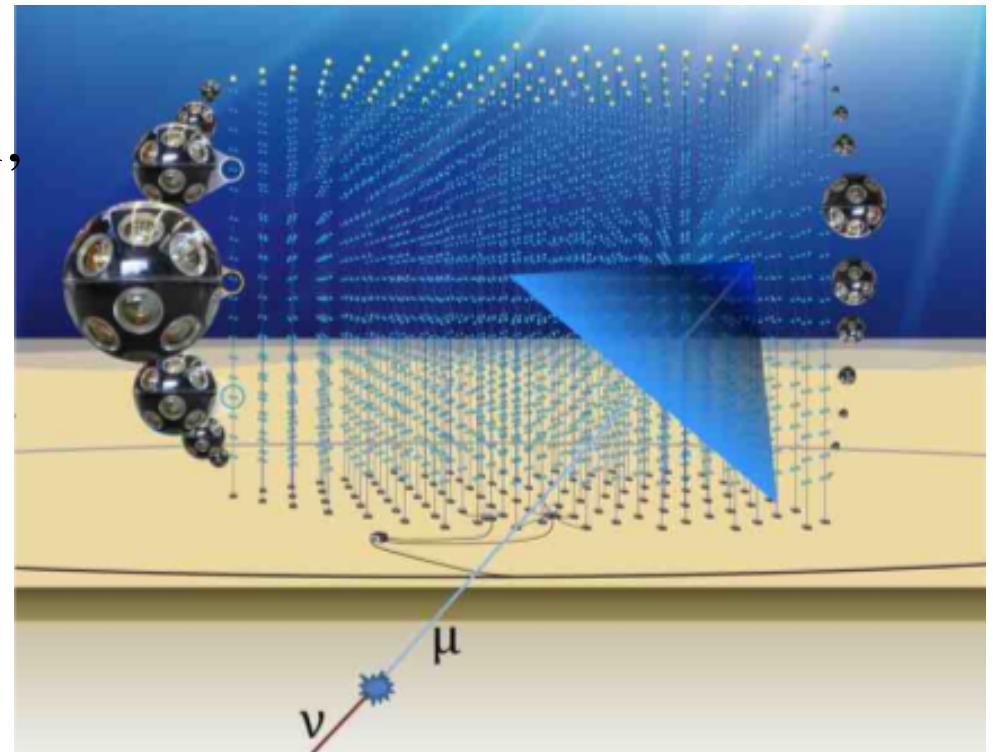
TeV



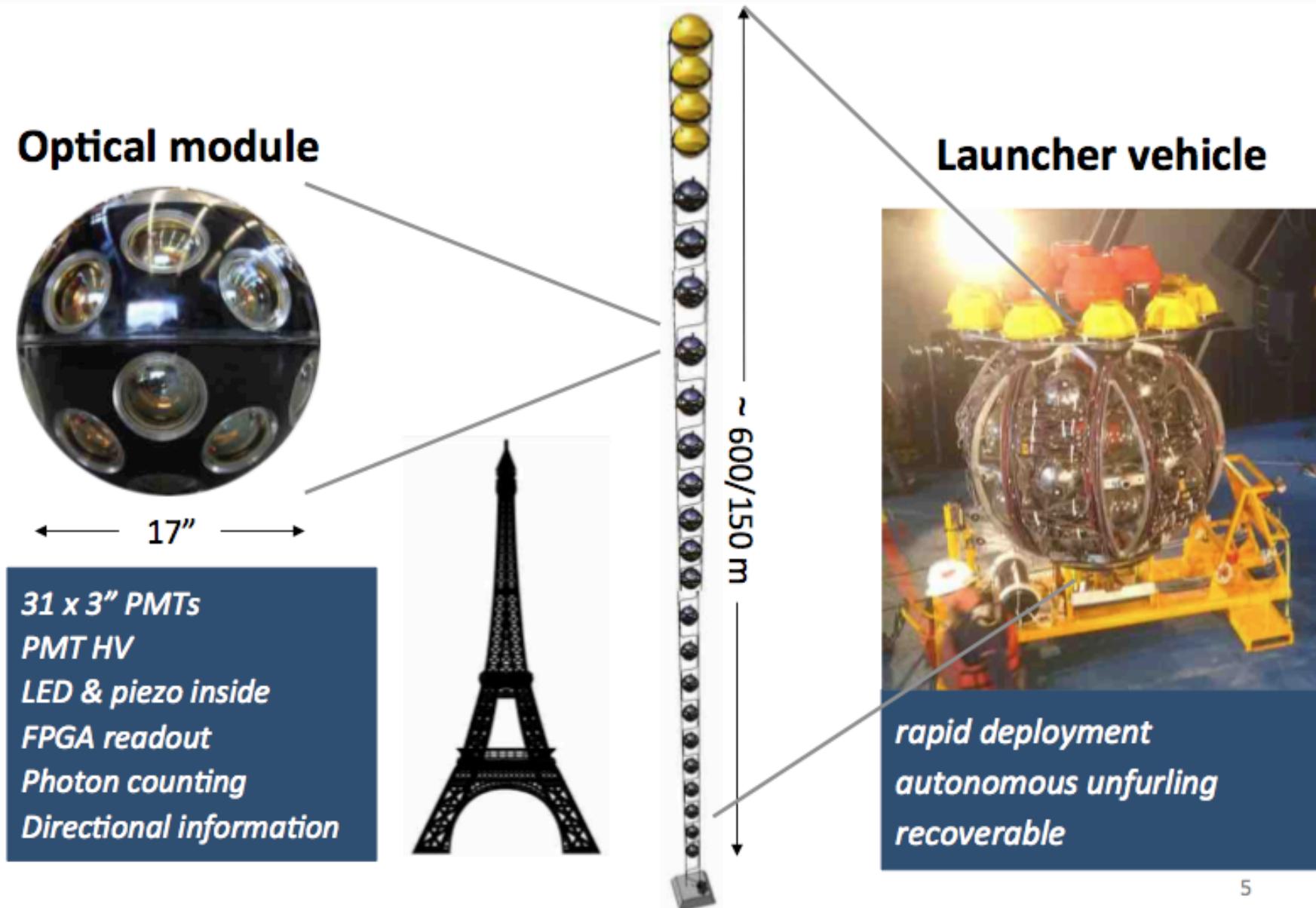
ARCA

KM3NeT design

- **Basic detector module:** a multi-PMT digital optical module: 31 3" PMTs distributed in a glass sphere, looking at different solid angles
- **Basic Detector Units (DU):** a vertical slender string with 18 DOMs. Power and data distributed by a single backbone cable with breakouts at DOMs.
- **Building Blocks** of 115 DUs each, allow for a "distributed" detector
- Multi-site infrastructure
 - ARCA at KM3NeT-It site
(2 building blocks)
 - ORCA at KM3NeT-Fr site

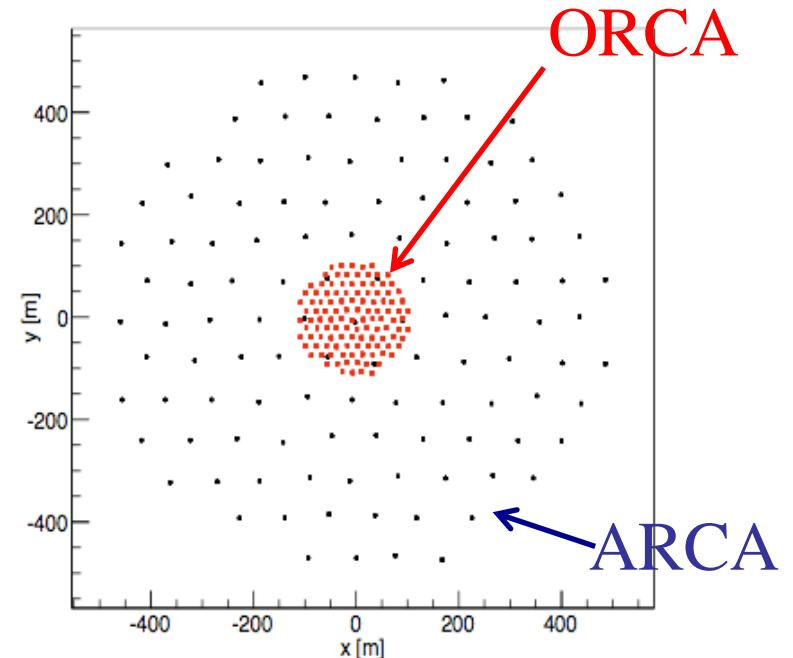
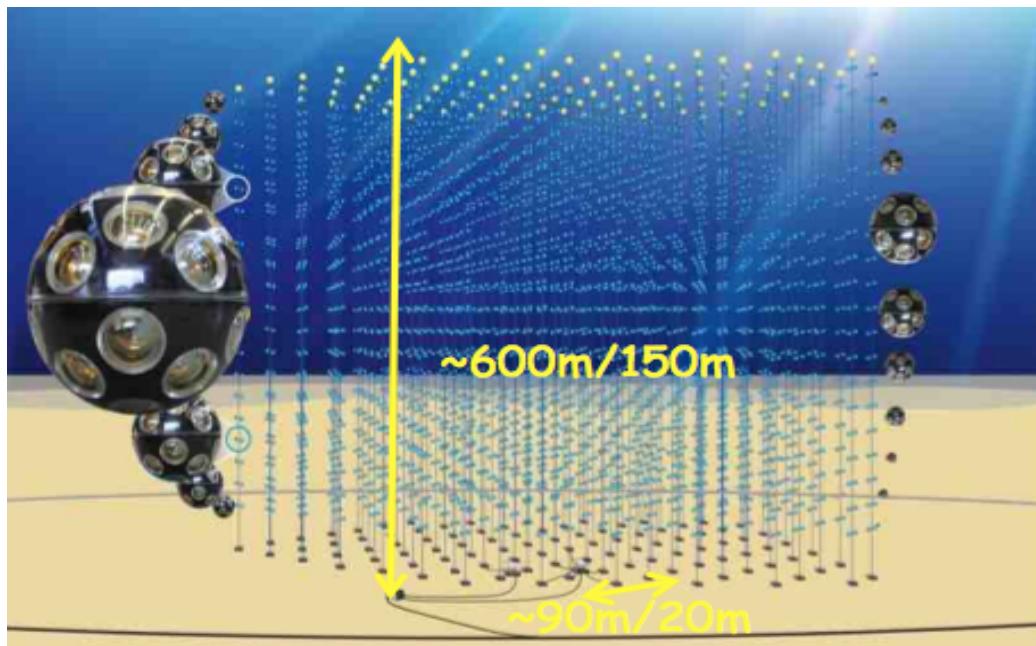


KM3NeT technology



5

KM3NeT Building Blocks



	ARCA	ORCA
Location	Italy – Capo Passero	France - Toulon
Detector Lines distance	90m	20m
DOM spacing	36m	9m
Instrumented mass	500Mton	5,7 Mton

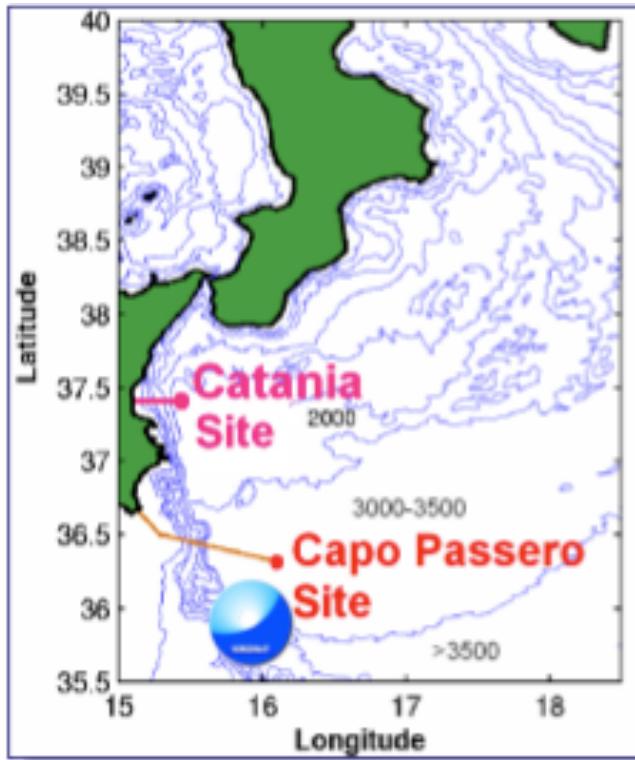
KM3NeT phased implementation

Phase	Building Blocks		Number of DUs		Physics Goals		Status	
	ARCA	ORCA	ARCA	ORCA	ARCA	ORCA	ARCA	ORCA
1	0.2	0.06	24	7	Proof of feasibility and first science results. Joined analysis with ANTARES.		Fully funded. First 2 DUs acquiring data in Capo Passero.	
2.0	2	1	230	115	Study of the IceCube signal.	Determination of neutrino mass hierarchy.	Not yet funded.	Not yet funded.
3	6	1	690	115	All flavour neutrino astronomy.			

L.O.I. KM3NeT ARCA and ORCA:

- J. Phys. G43 (2016) n. 8, 084001
- arXiv: 1601.07459

KM3NeT – ARCA infrastructure



The KM3NeT-It site:

- 80 km SE offshore Capo Passero
- 3450m depth
- excellent water optical properties
- low optical background
- negligible deep sea water current
- wide and flat abyssal plain

The KM3NeT-It site will host Earth and Sea science node

The Capo Passero Infrastructure:

- 100 km Electro-Optical cable: 20 fibres, 80 kW
- Cable Termination Frame, 3 Junction Boxes to serve 32 DUs
- 1 Junction Box to serve ESS

The Capo Passero Shore Lab.:

- Power Feeding Equipment
- DAQ centre + local Data Storage
- Guest House

KM3NeT – The Digital Optical Module

Multi-PMT Digital Optical Module

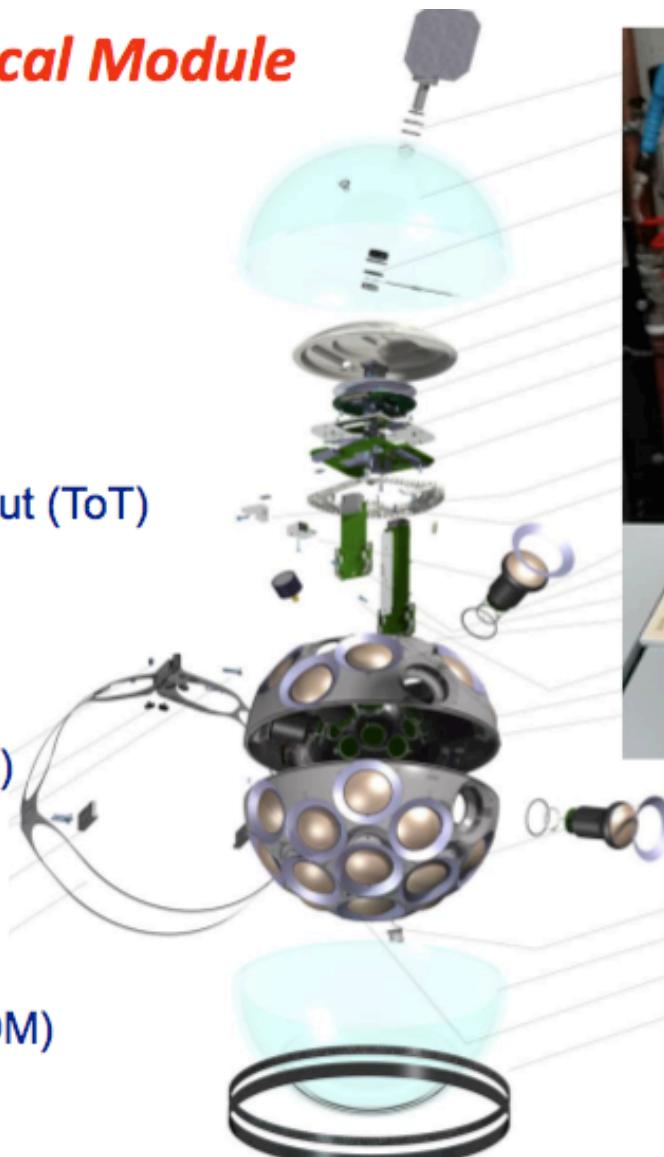
17" glass housing
e.o. penetrator
3d printed support structure
cooling structure (mushroom)

31 x 3" PMTs
light collection cone
active base & digital signal readout (ToT)
equalised PMT Gain (3×10^6)
Threshold 0.3 s.p.e.

AHRS (tilt, compass)
Digital piezo receiver (positioning)
LED emitter (time calibration)

Central logic board (CLB)
FPGA-based, white rabbit (T_{GPS})
DWDM optical comm (1 color/DOM)
power board

All data to shore



About 100 components per DOM



Photon counting
Particle direction reconstruction
Enhanced photocathode area

1DOM = 1 ANTARES Storey

KM3NeT – The Detection Unit

Detection Unit: vertical slender string with 18 DOMs

String

1 Buoy

2 Dyneema ropes

18 DOMs

Electro-optical backbone:

Flexible hose 7mm

Oil-filled

18 fibres

2 copper wires (375VDC)

36 m distance between DOMs

72 m anchor-first storey

700+ m total height from seabed

ARCA dimensions



DU Base

Anchor with electro-optical ROV mateable connector

Base Module:

CLB (white rabbit)

Power control board

Optical amplifier

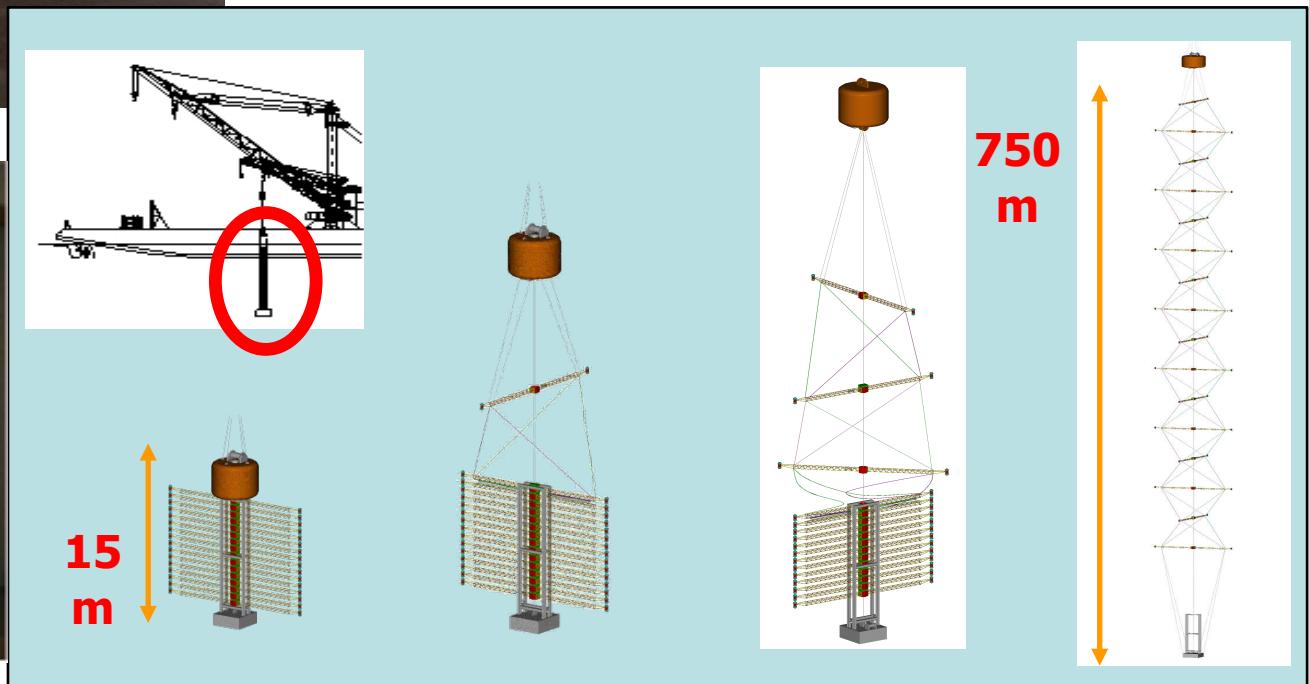
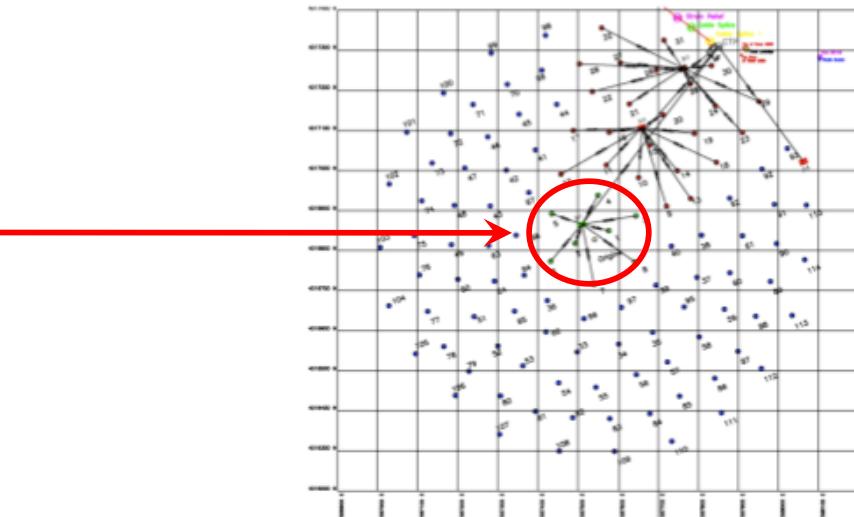
Hydrophone

LBL beacon

LOM (DU launch only)

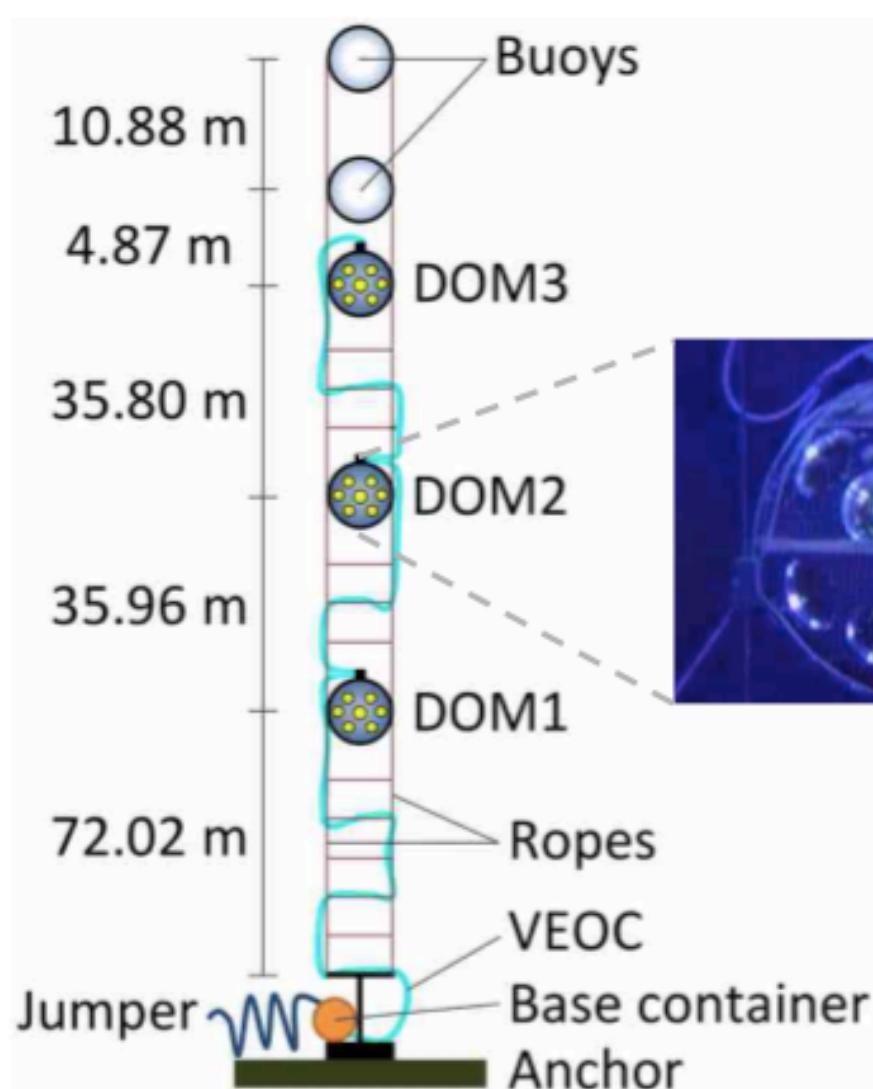


Not only strings, also 8 "Towers"



KM3NeT – prototype

A prototype DU with 3 DOMs in KM3NeT site



Prototype Line with three DOMs

Deployed at the KM3NeT-It site at 3500m depth

Followed successful operation of prototype DOM at the ANTARES site

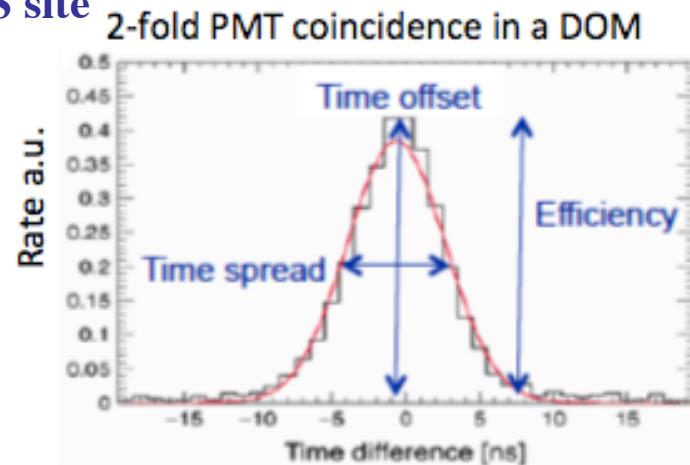
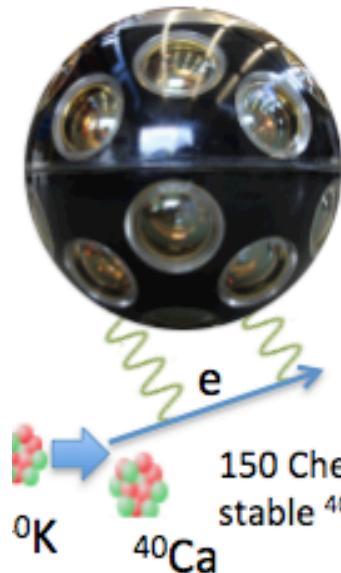
Operational from May 2014 to July 2015

Proof of concept functionality

Test of readout, DAQ, connection, intra-DOM and inter-DOM synchronization

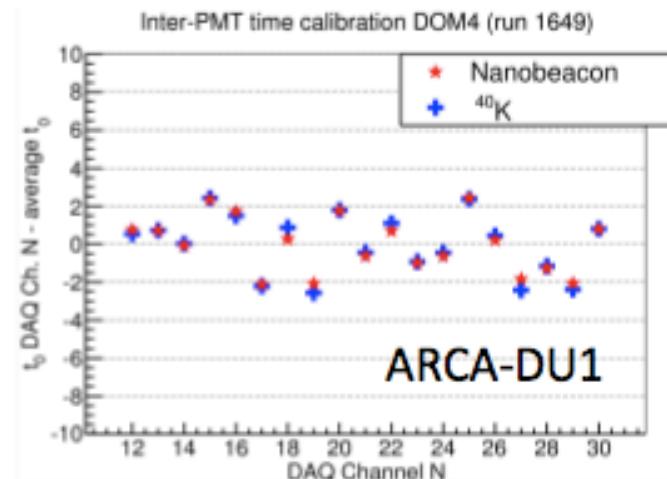
KM3NeT – results from prototypes

One DOM in ANTARES site



150 Cherenkov photons per decay
stable ^{40}K concentration

L1 trigger Intra-DOM calibration



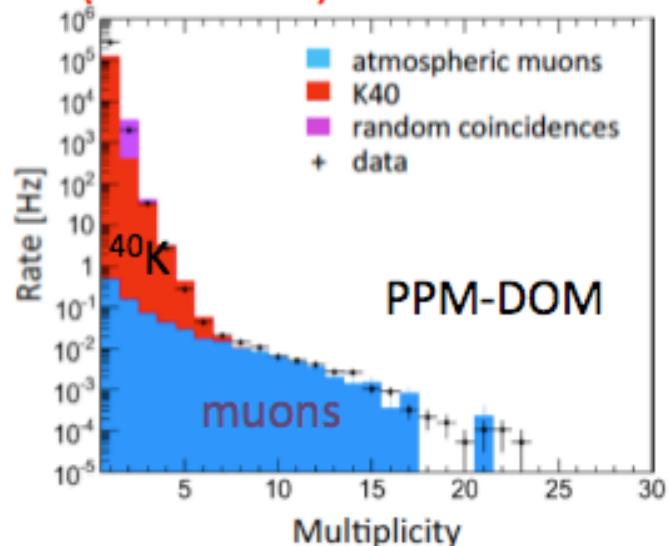
Muon/background separation with the DOM in ANTARES (PPM-DOM)



Photon counting + coincidences

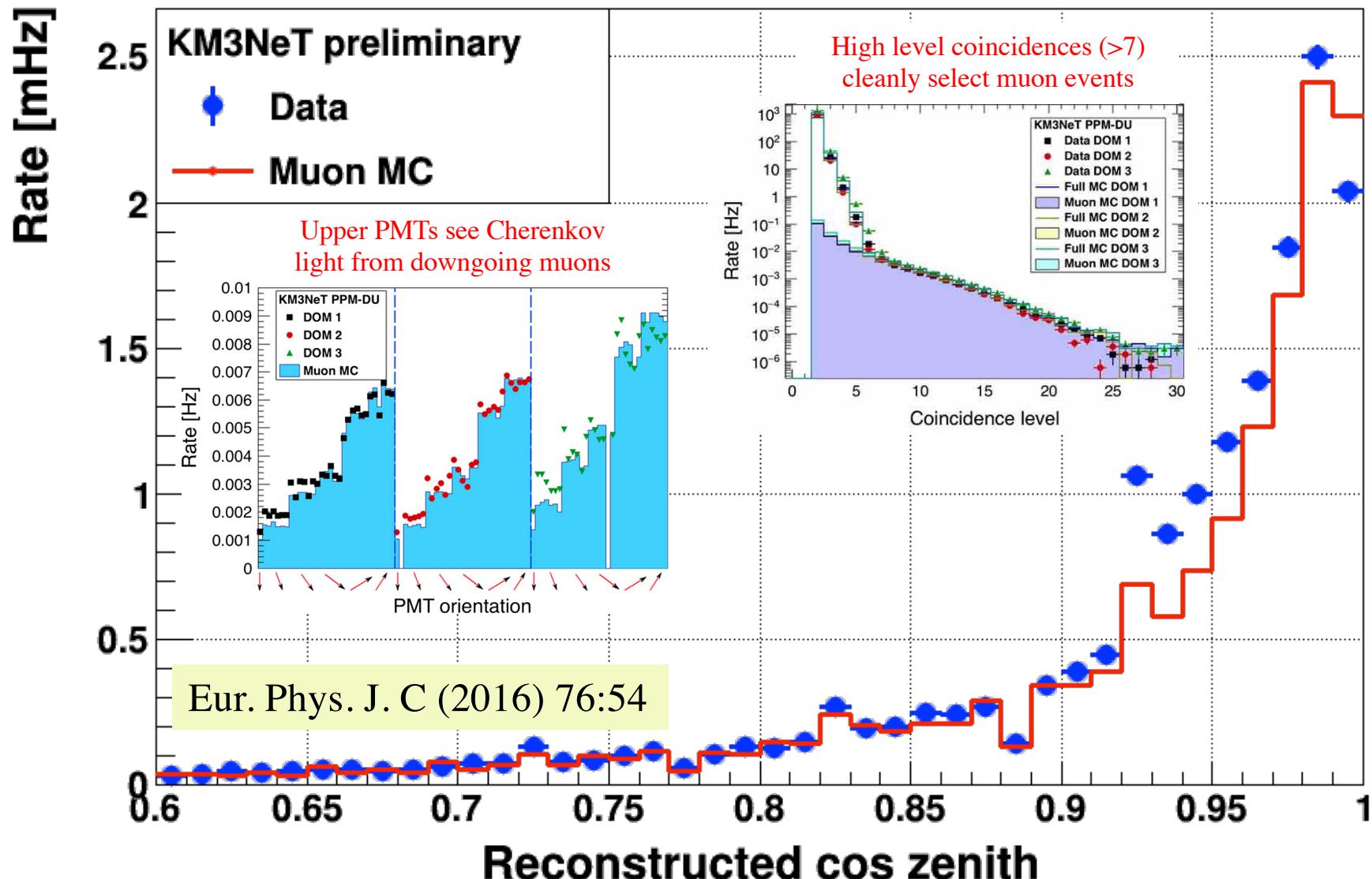
$N_{\text{coinc}} > 6$ suppress ^{40}K
 ^{40}K rate: 5 kHz

Eur. Phys. J.
C (2014) 74: 3056



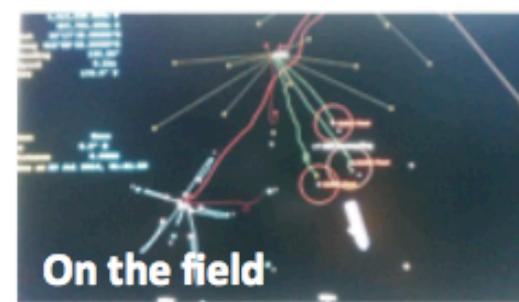
KM3NeT – results from prototypes

3 DOMs in the prototype DU in KM3NeT-It

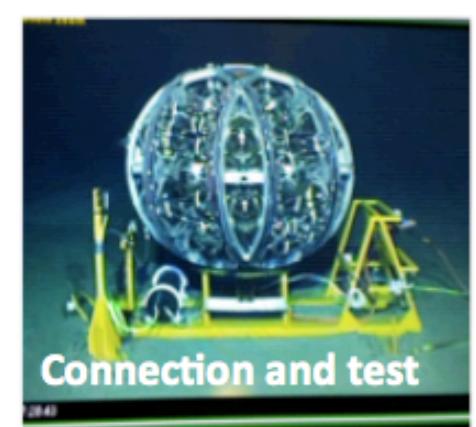


KM3NeT – DU deployment

December 2015 – Installation of the first DU in KM3NeT-It



4 DUs fits on the ship

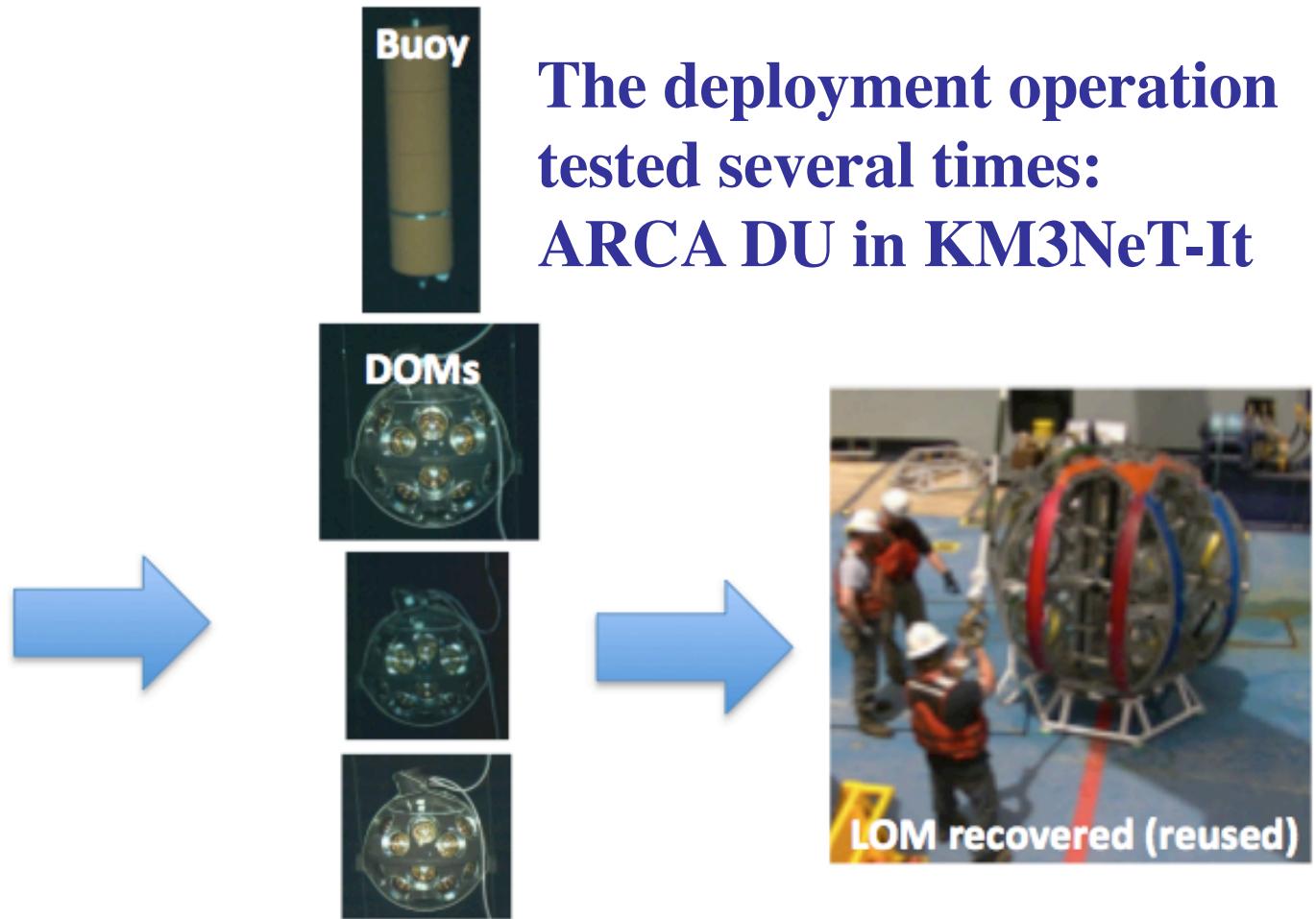


The Fugro ROV

Winch and ROV guided DU landing ± 2 m accuracy

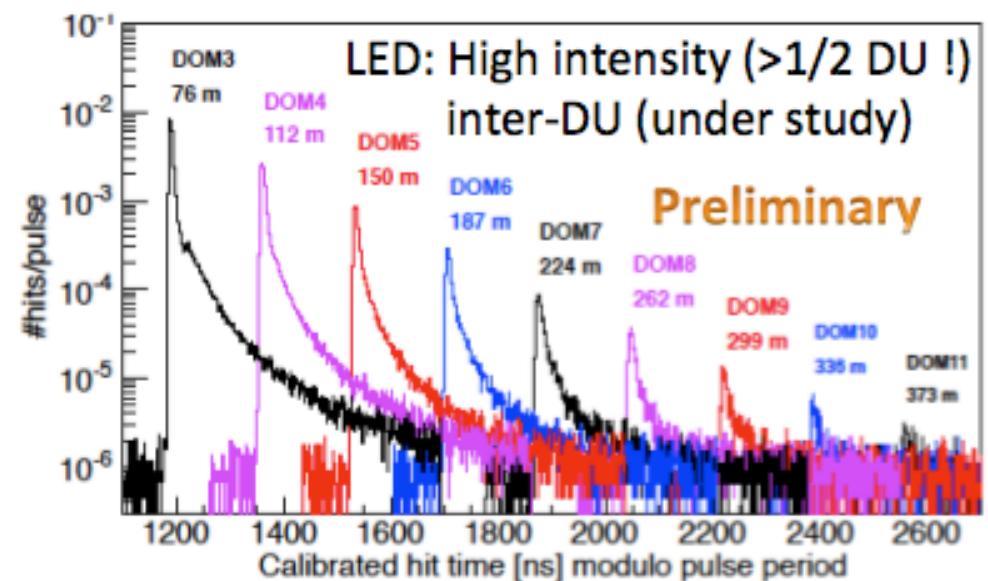
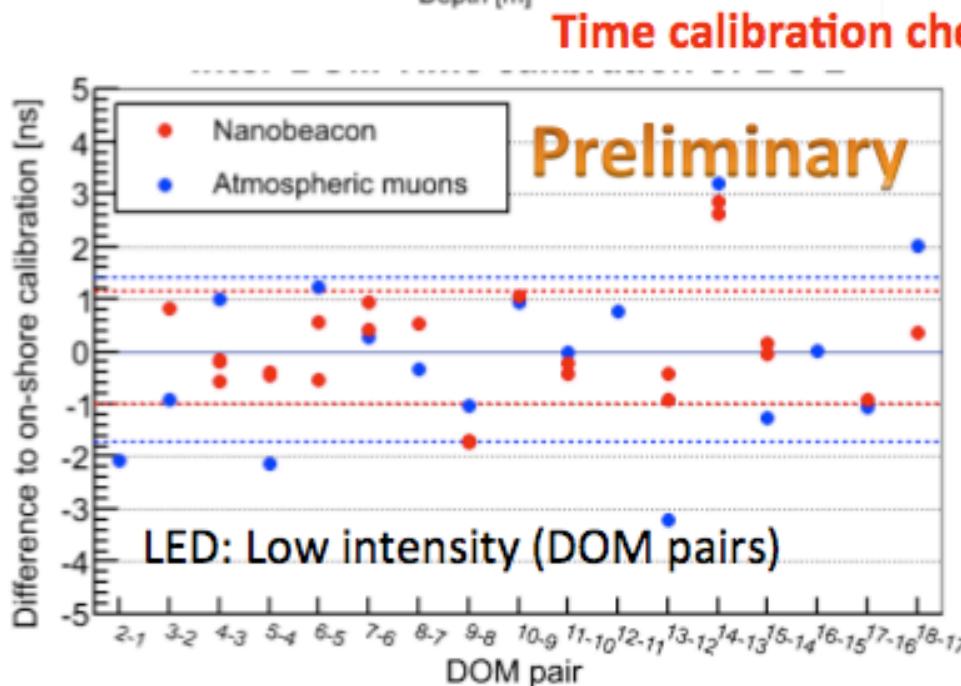
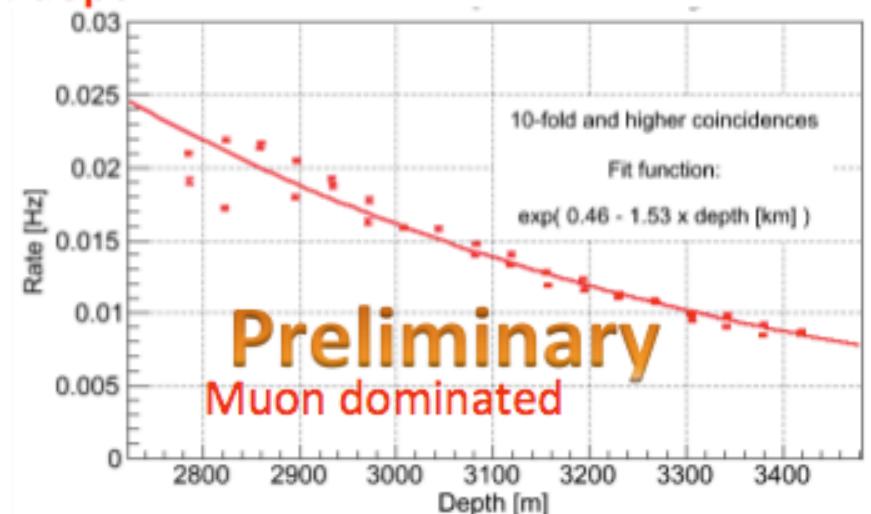
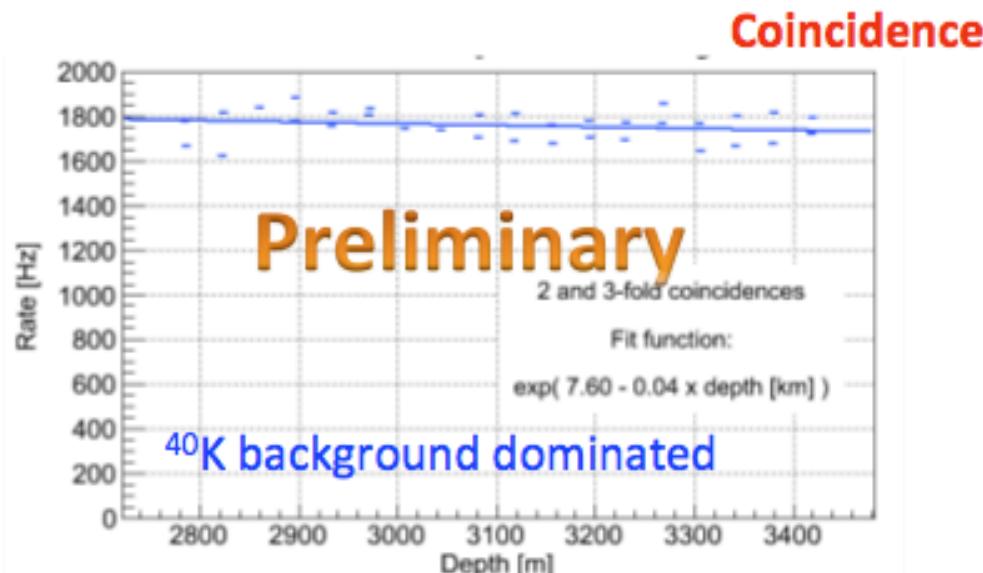
May 2016 – DU2 and DU3 installed in KM3NeT-It.
D1 and DU2 in data taking. DU3 recovered after failure

KM3NeT – DU unfurling



2 ARCA DU already
operational in
KM3NeT-It site

ARCA: DU1 and DU2 first data

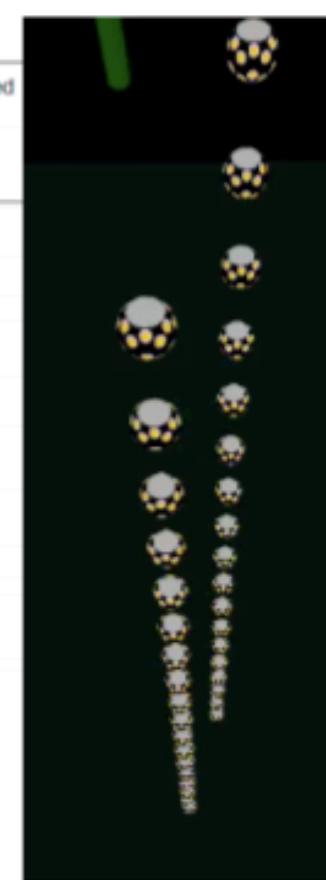
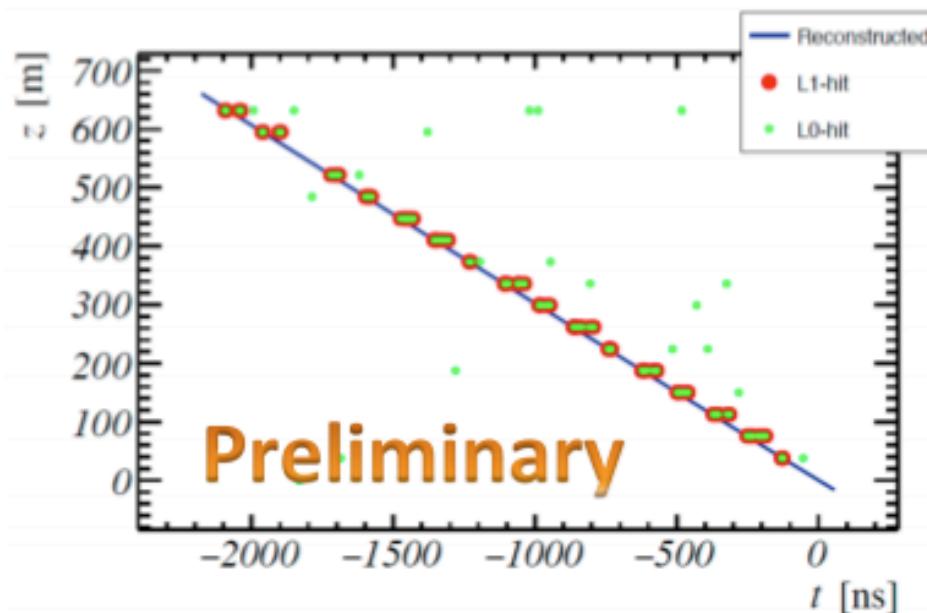


ARCA DU1 and DU2 first muon tracks

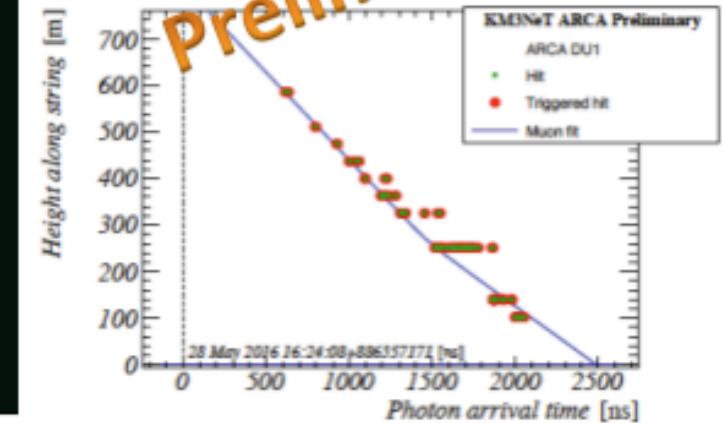
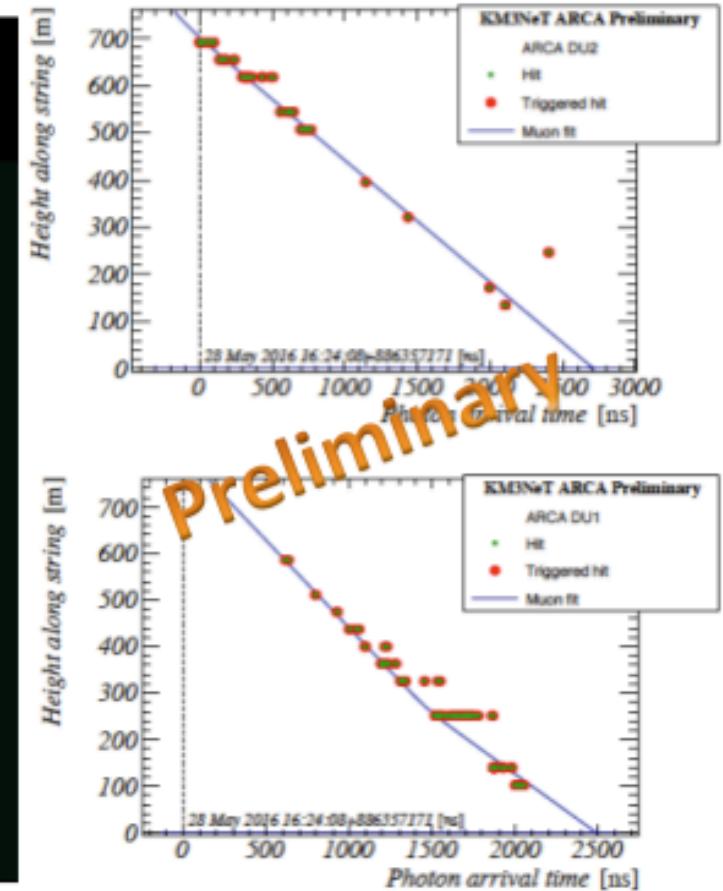
Cosmic muon (downgoing) reconstruction

$$t = \frac{(z - z_0) \cos \theta + \sqrt{n^2 - 1} \cdot \sqrt{d_0^2 + (z - z_0)^2 \sin^2 \theta}}{c} + t_0$$

1 string

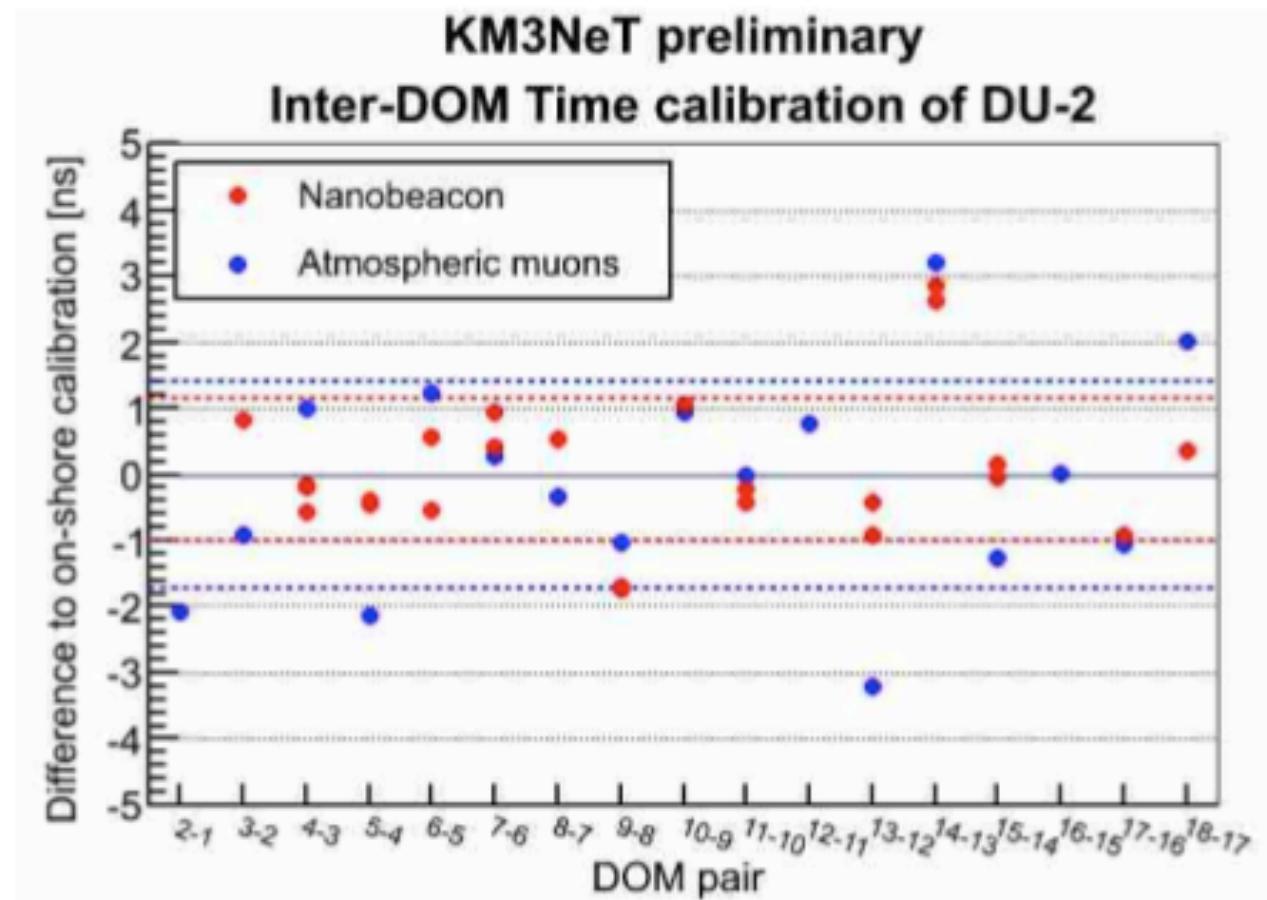
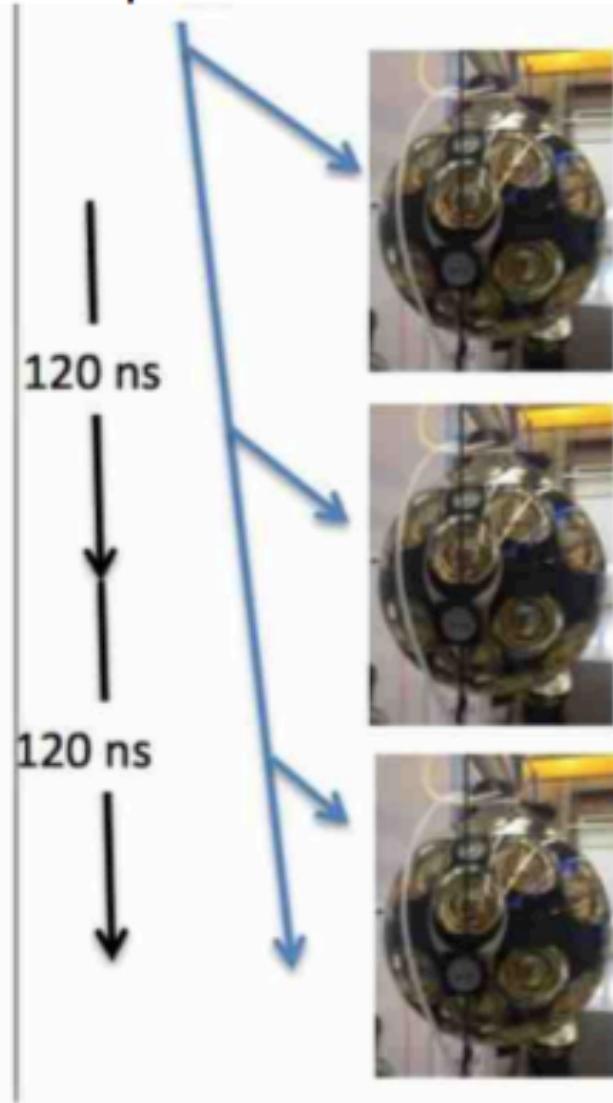


2 strings

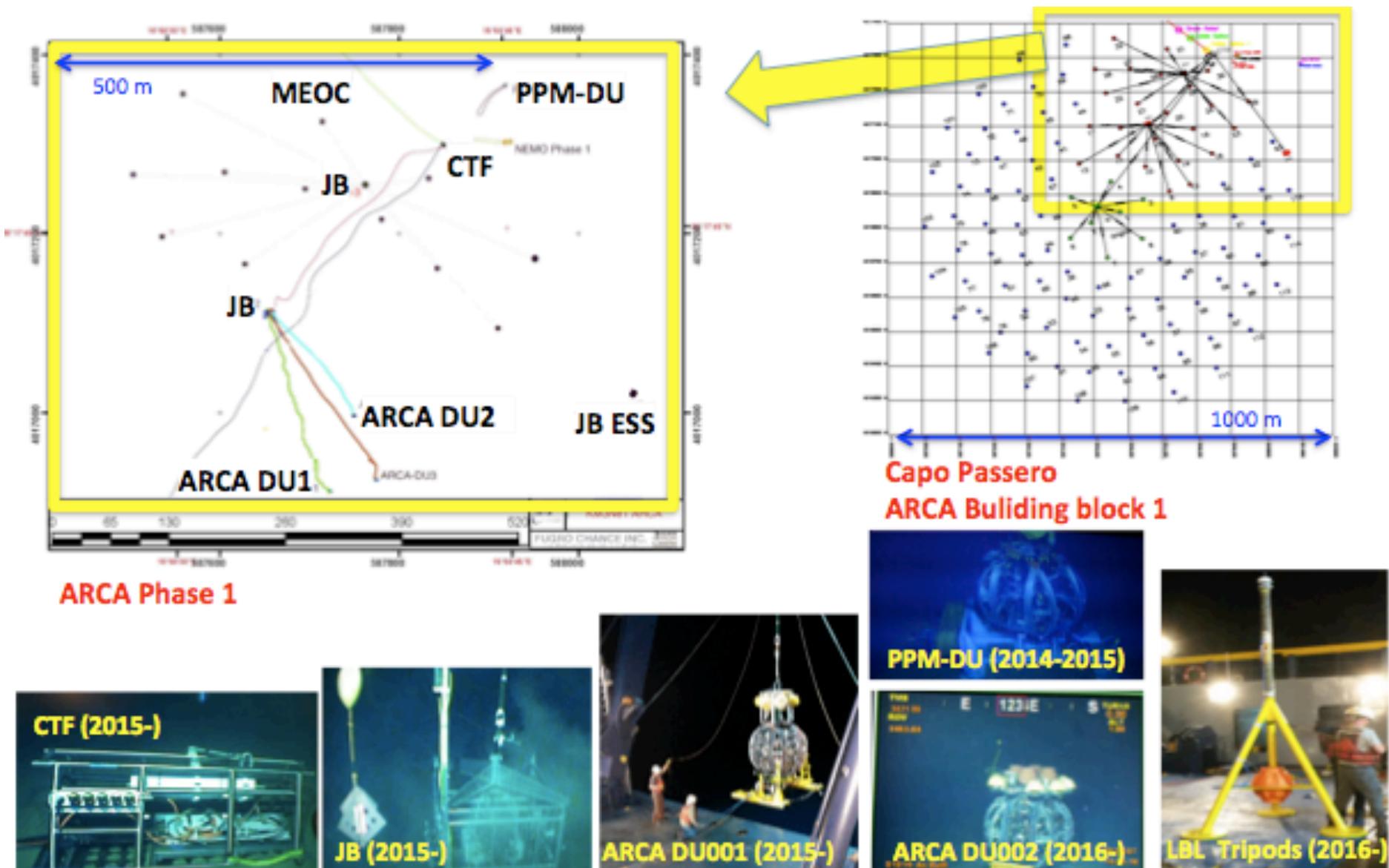


ARCA DU1 and DU2: calibration tests

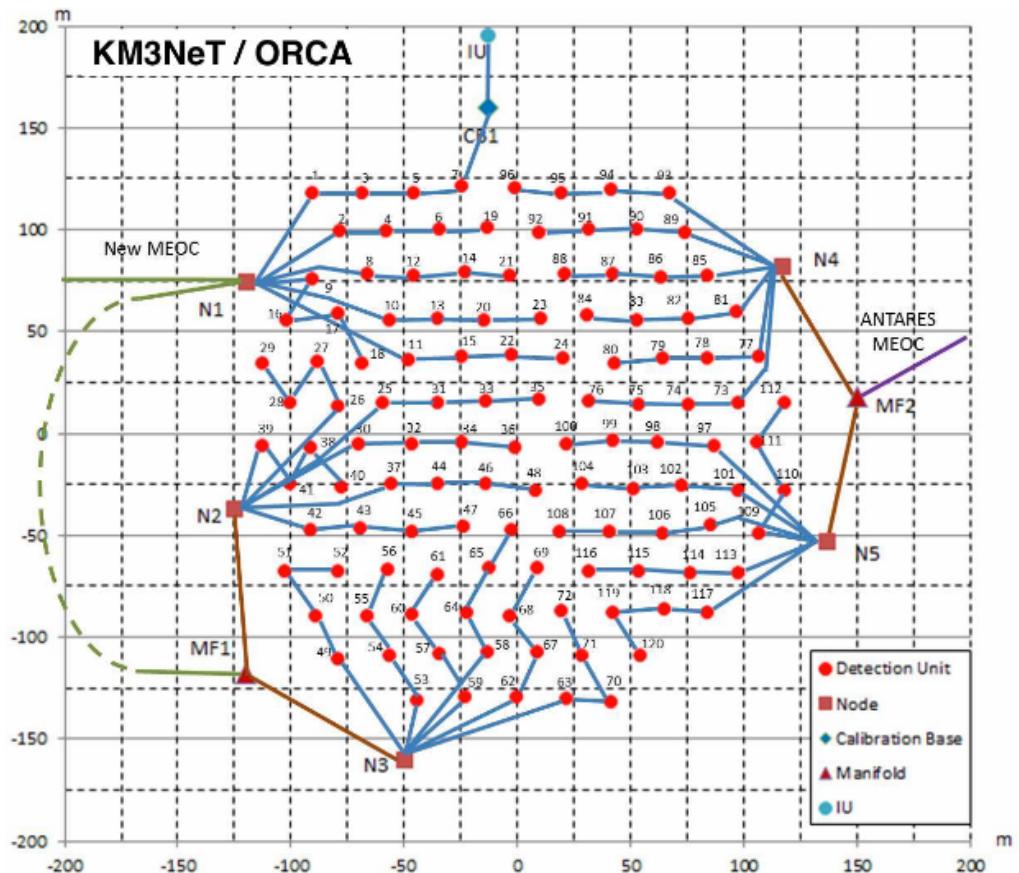
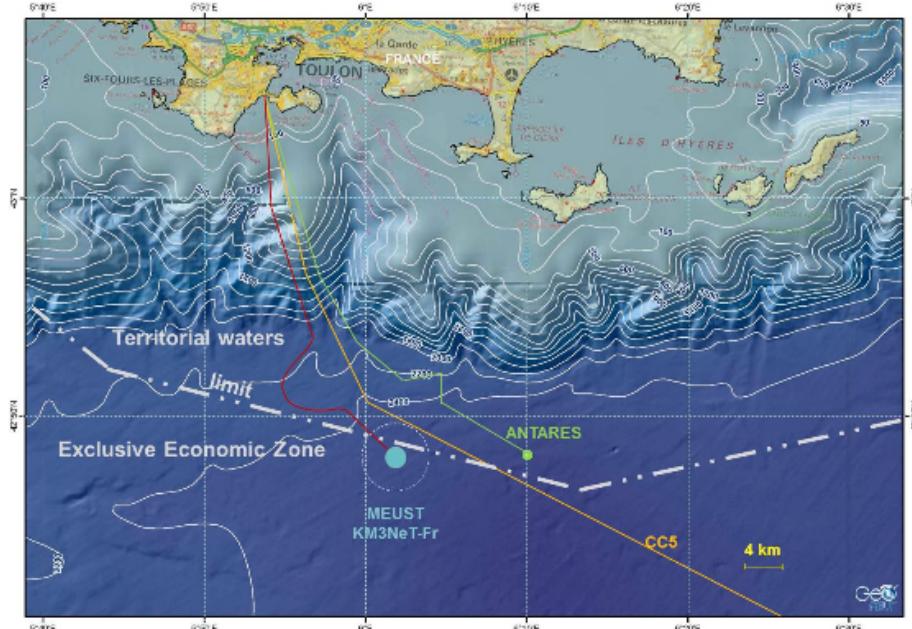
Comparison of calibration with LED nanobeacons and atmospheric muons



KM3NeT – ARCA footprint and seabed network



KM3NeT – ORCA footprint and seabed network

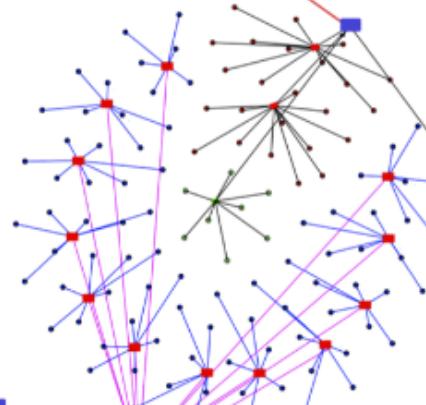


KM3NeT – ARCA Phase 2

Two Building Blocks 115 DU each

Present Main Electro-Optical Cable

Phase-1 MEOC



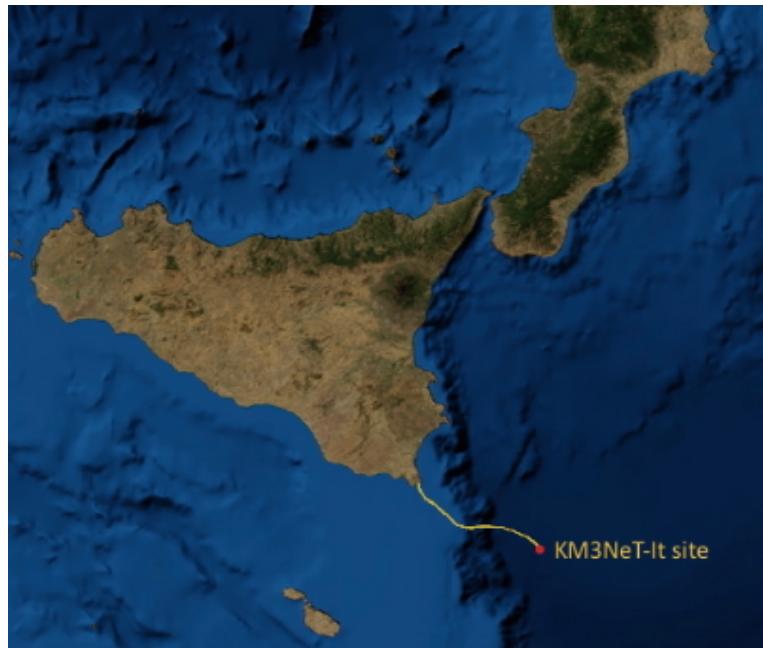
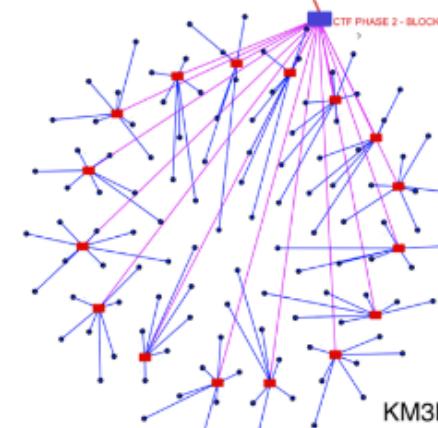
New Main Electro-Optical Cable

Phase-2 MEOC

BU

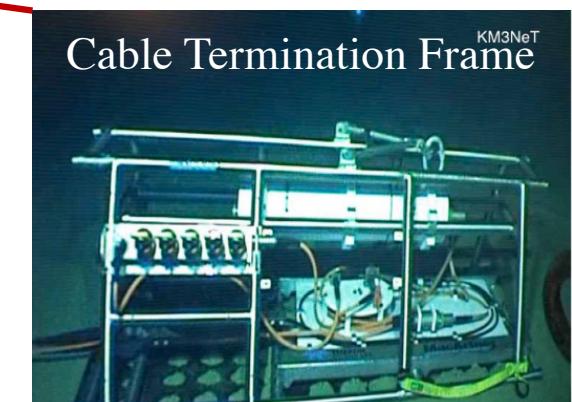
KM3NeT-ARCA block 1

500 m

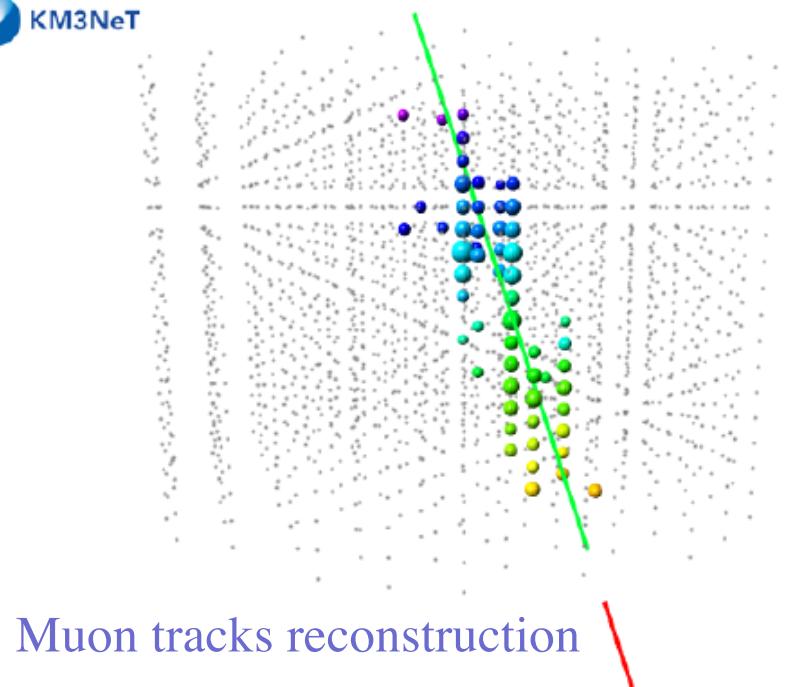


Cable Termination Frame

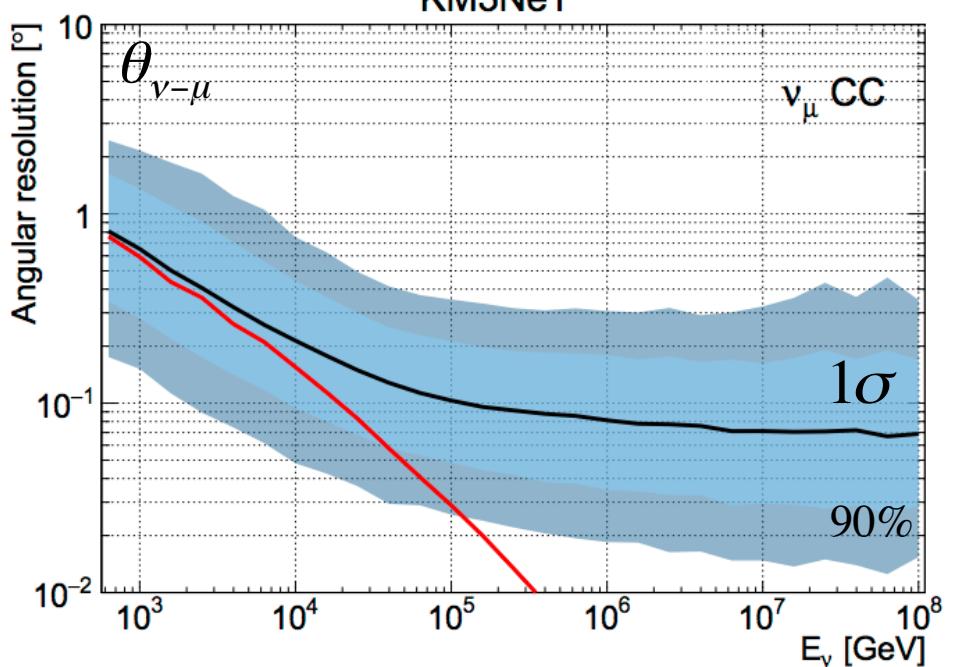
KM3NeT



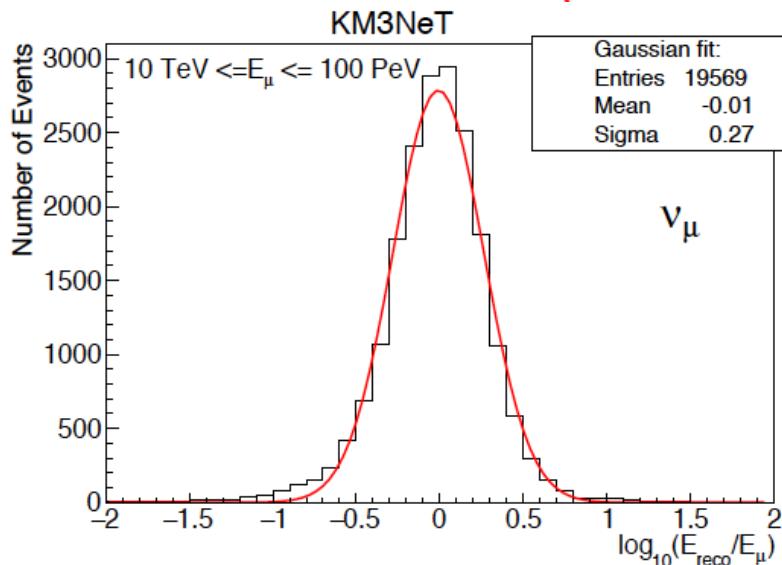
ARCA (Phase 2) performances for tracks



3000ns
2700ns
2400ns
2100ns
1800ns
1500ns
1200ns
900ns
600ns
300ns
0ns



Angular resolution $\sim 0.1^{\circ}$ for $E_{\nu} > 10$ TeV

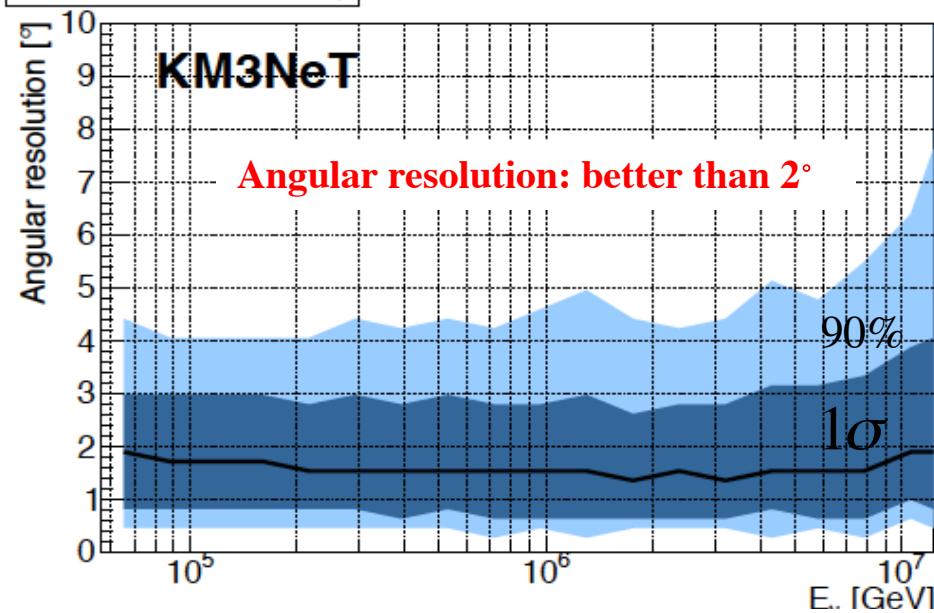


Energy resolution ~ 0.27
in $\log E_{\mu}$ $1 \text{ TeV} < E_{\nu} < 100 \text{ PeV}$

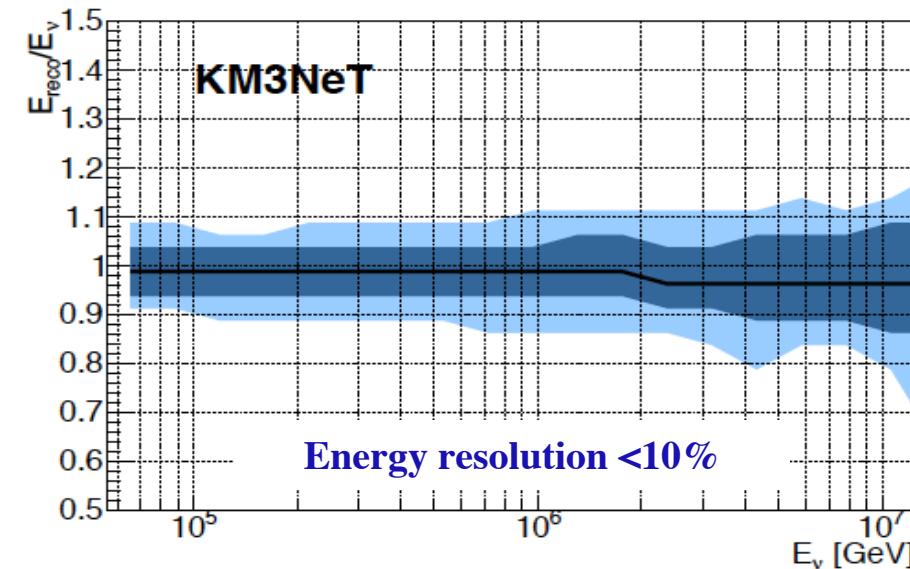
Angular and energy resolution,
after cuts, for the analysis of
diffuse ν_{μ} neutrino CC events

ARCA (Phase 2) performances for showers

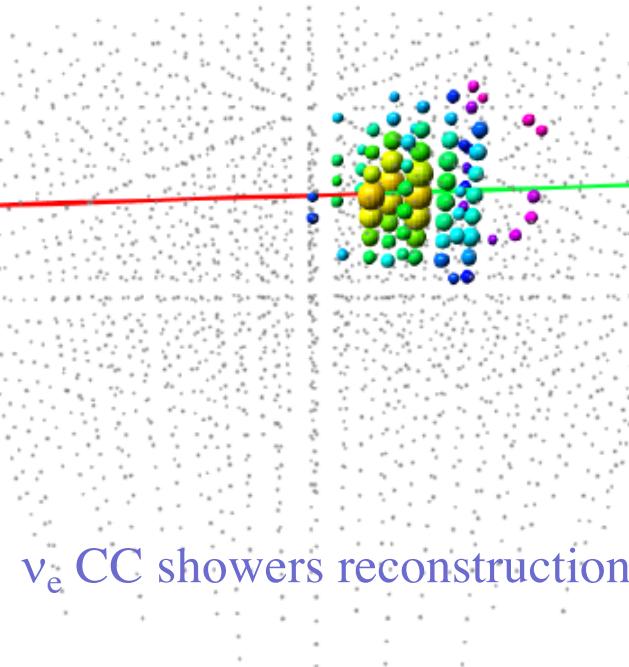
Ang. resolution vs E_ν



E_{reco}/E_ν vs E_ν



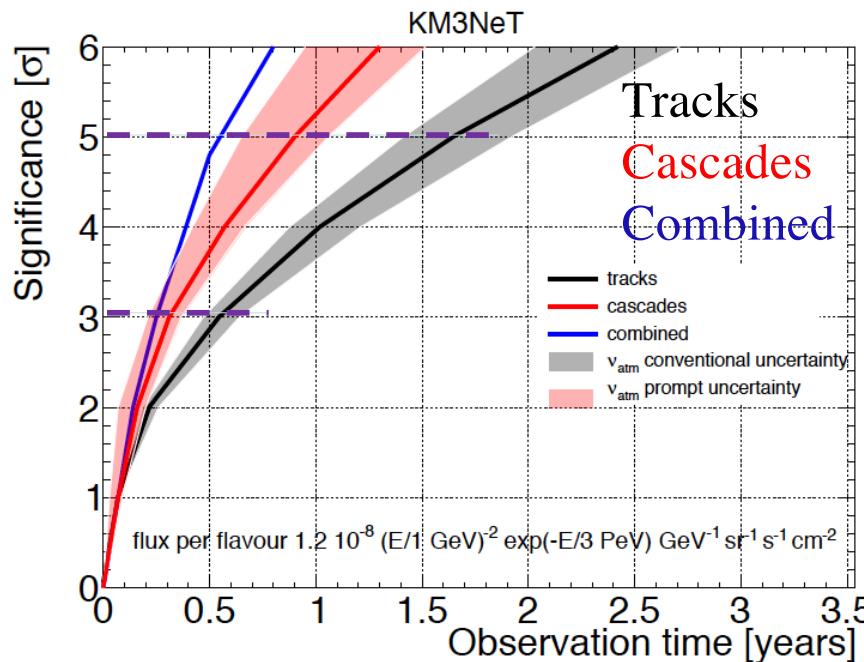
KM3NeT



ν_e CC showers reconstruction

Angular and energy resolution,
after cuts, for the analysis of
diffuse neutrino cascade events

ARCA (Phase 2) discovery potential for ν diffuse fluxes



Discovery at 5σ significance
(50% probability) in less than
one year (combined analysis)

Tracks:

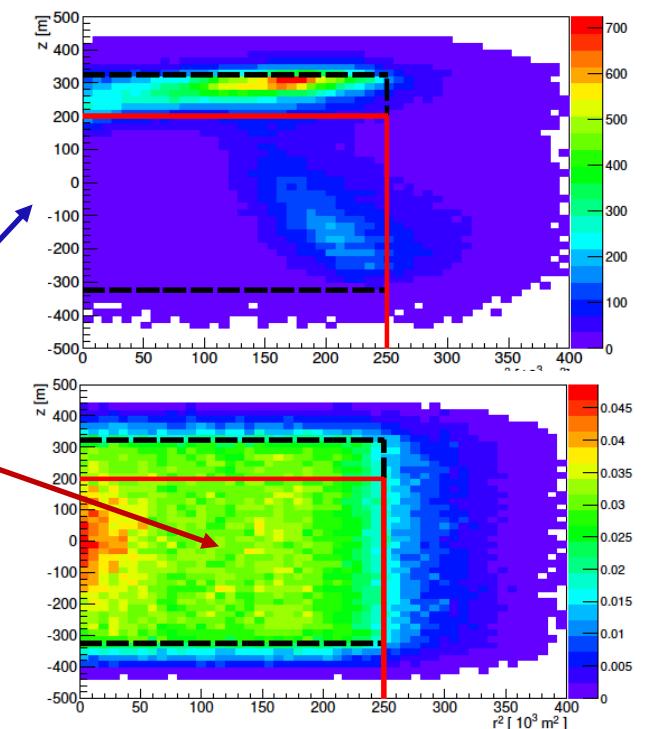
- up-going tracks $\theta_{\text{zenit}} > 80^\circ$
- analysis based on Maximum Likelihood
- cuts on reconstruction quality parameter Λ
- cuts on N_{hits} (\rightarrow muon energy)

Cascades:

- Containment cut on reconstructed vertex
to remove atmospheric muons

Reconstructed
vertex position
for atm. muons
and for ν_e CC
cascades.

MUON VETO



ARCA (Phase 2) search for diffuse fluxes from Galactic Ridge

Hypothesis:

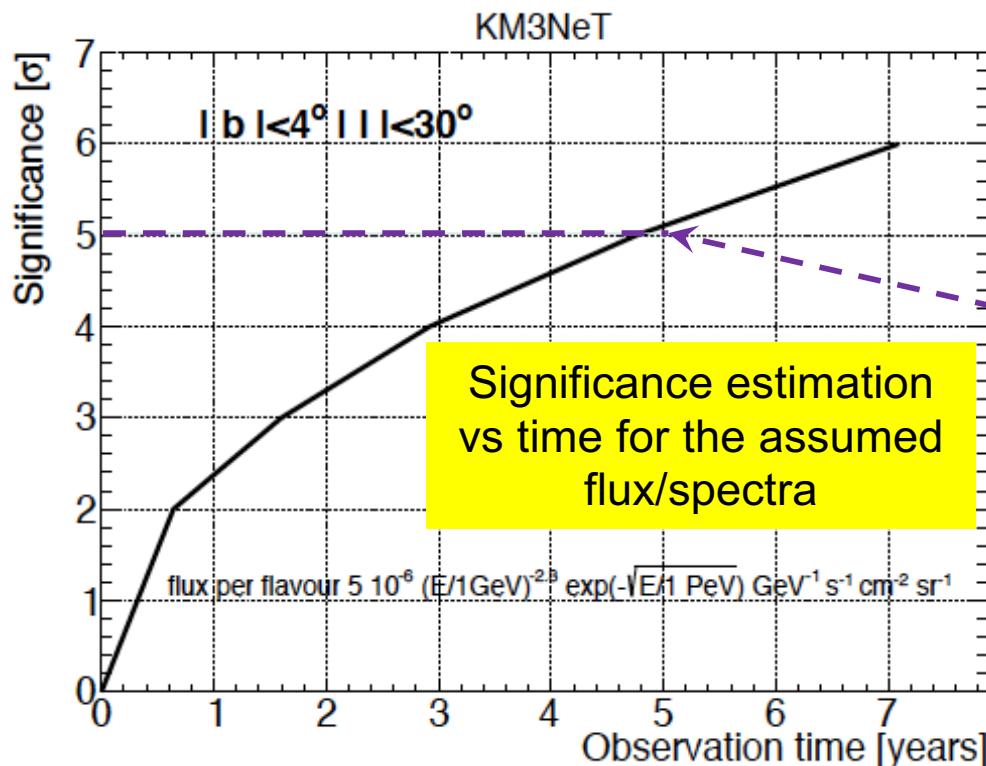
- neutrinos produced in the interactions of the galactic cosmic rays with the interstellar medium and radiation fields.
- D. Gaggero et al. arXiv 1508.03681 (2015)
- flux for each flavour:

$$\Phi(E_\nu) = 5 \cdot 10^{-6} \left(\frac{E_\nu}{1\text{GeV}} \right)^{-2.3} e^{-\sqrt{\frac{E_\nu}{1\text{PeV}}}} [\text{GeV}^{-1}\text{cm}^{-2}\text{s}^{-1}\text{sr}^{-1}]$$

Only muon tracks analysed.

Events selection:

- $-30^\circ < \text{Galactic latitude} < 30^\circ$
- $-4^\circ < \text{Galactic longitude} < 4^\circ$
- up-going tracks $\theta_{\text{zenit}} > 80^\circ$
- analysis based on Maximum Likelihood
- cuts on reconstruction quality parameter Λ
- cuts on N_{hits} (\rightarrow muon energy)

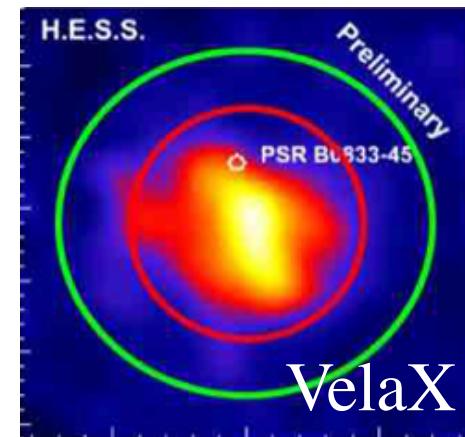
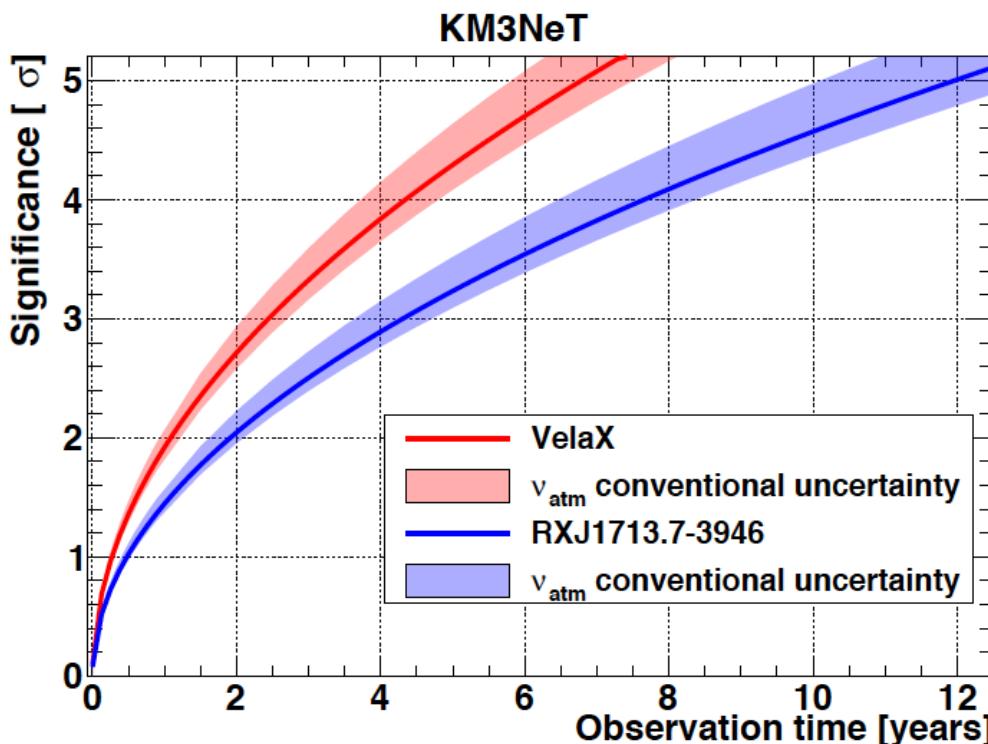
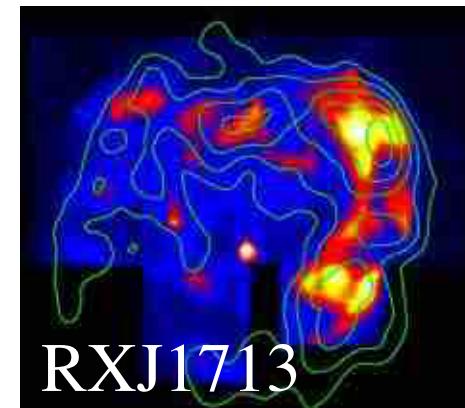


5 σ discovery to be achieved after 5 years of operation

ARCA (Phase 2) search for point-like Galactic sources

Hypothesis:

- Neutrino fluxes/spectra inferred from gamma-rays data .
- S.R. Kelner, et al. PRD 74 (2006) 034018
- F.L. Villante and F. Vissani, PRD 78 (2008) 103007
- 100% hadronic source
- transparent source

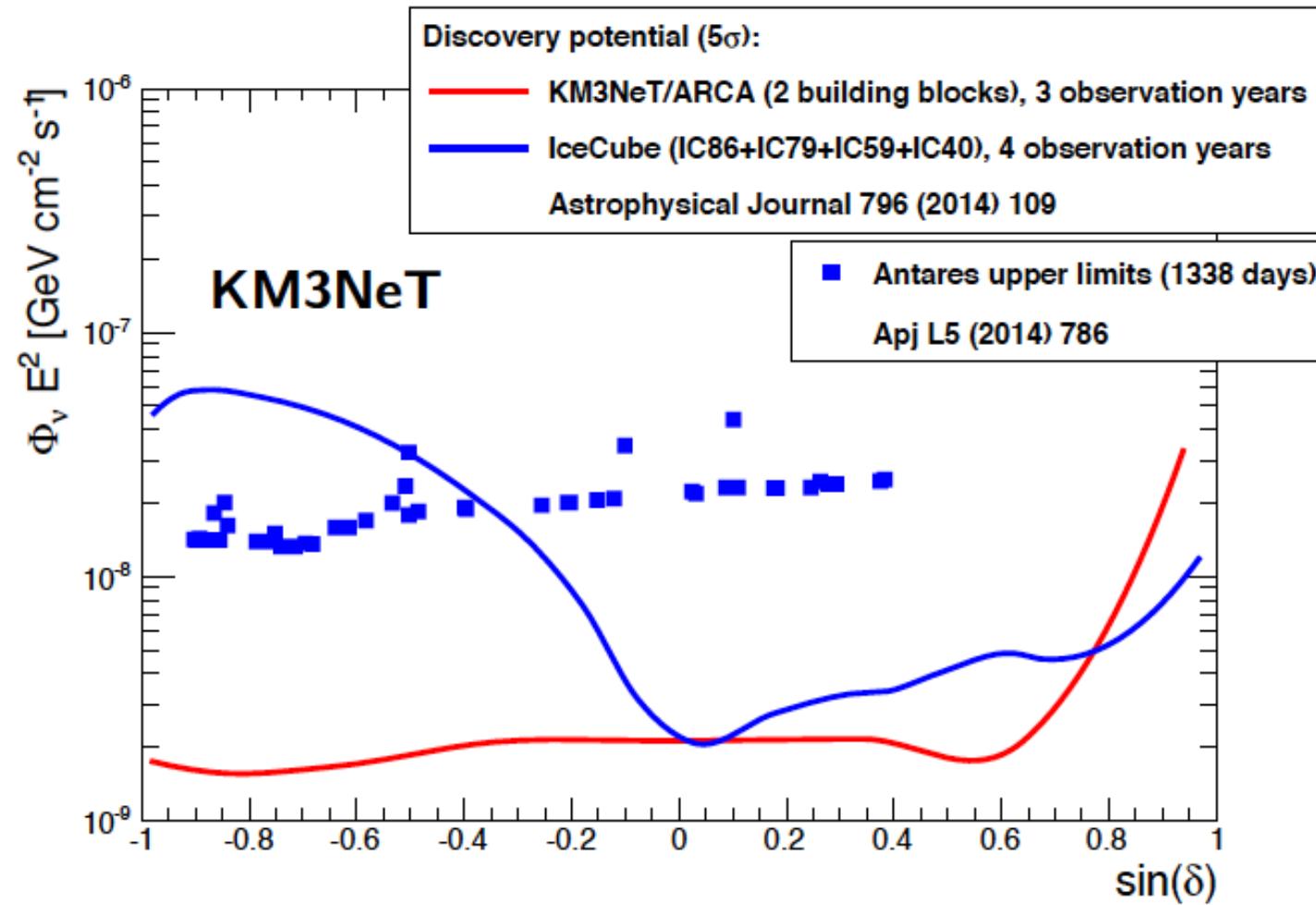


VelaX : 3σ discovery in ~ 2 years
RX1713: 3σ discovery in ~ 4 years

ARCA (Phase 2) discovery potential for point-like sources

Hypothesis:

- Neutrino spectra $\sim E_\nu^{-2}$.
- 3 years observation time

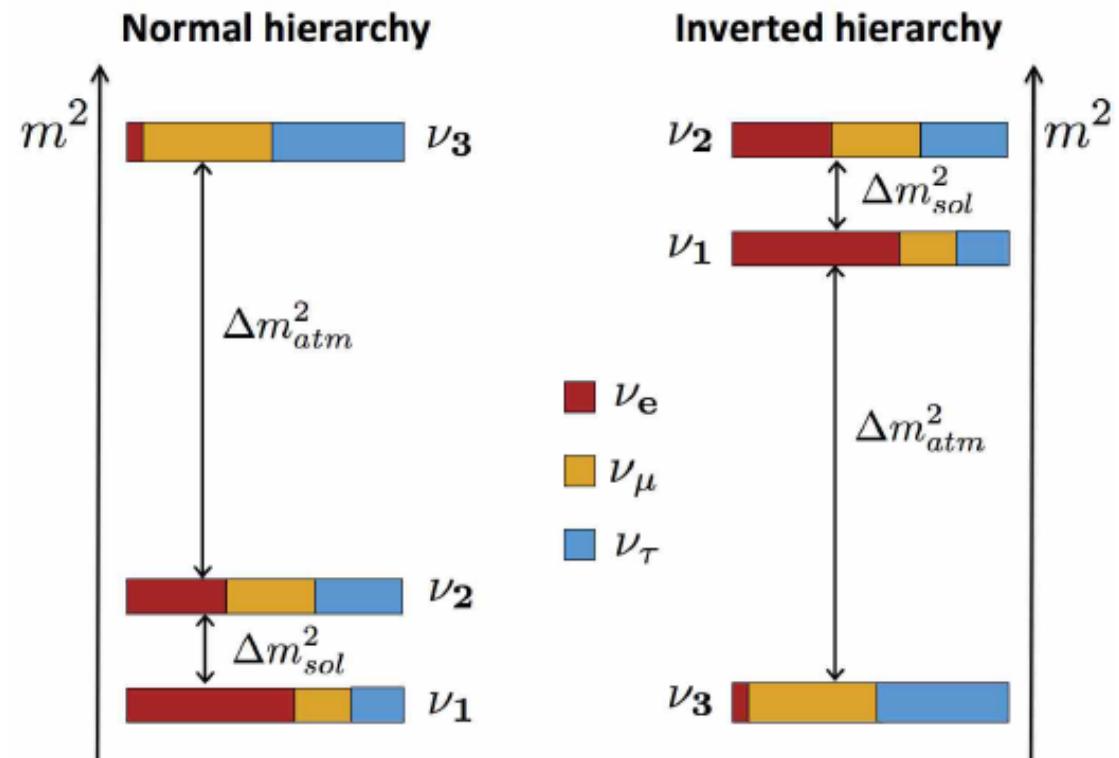


KM3NeT – ORCA physics goals

The Pontecorvo–Maki–Nakagawa–Sakata matrix

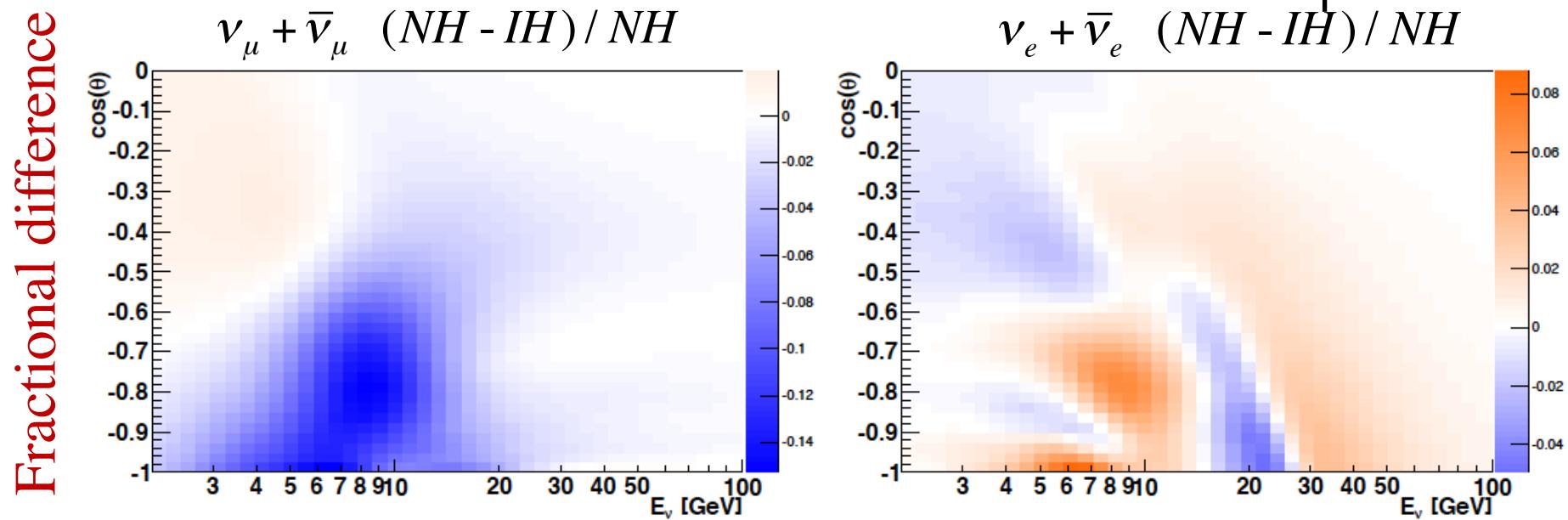
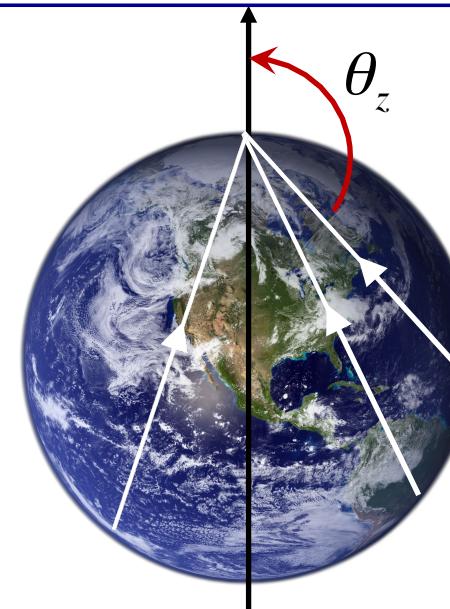
$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \times \begin{pmatrix} c_{13} & 0 & e^{-i\delta} s_{13} \\ 0 & 1 & 0 \\ -e^{i\delta} s_{13} & 0 & c_{13} \end{pmatrix} \times \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

- Determine the **Neutrino Mass Hierarchy** (NMH)
- Precise measurement of atmospheric neutrino parameters
- Indirect Dark Matter search



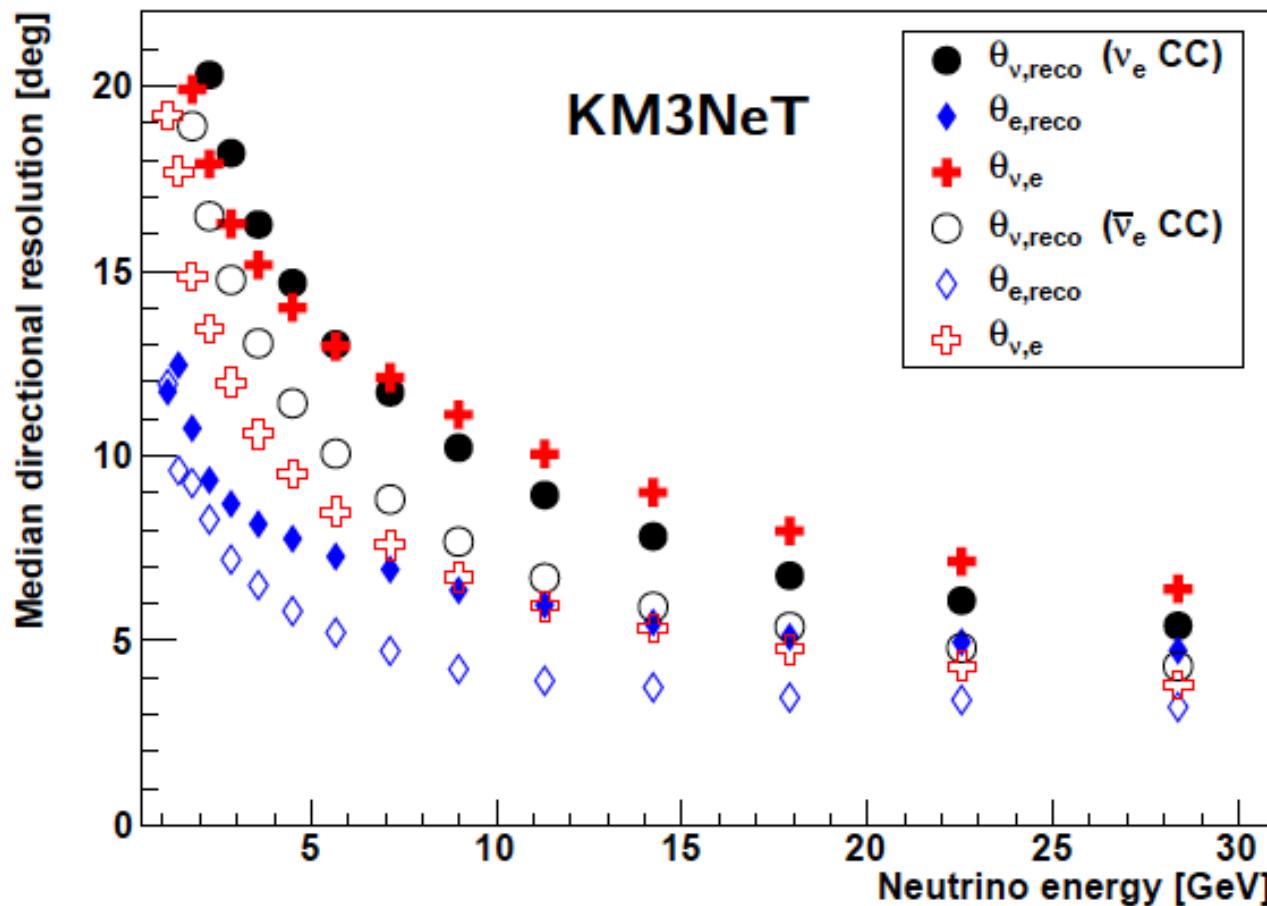
KM3NeT – ORCA observable quantities

- The propagation of neutrinos in matter is sensitive to the NMH.
- To **investigate** the **NMH** we need to know the **Energy** and the length (the **angle θ_z**) of the path into the matter of neutrinos.
 - Required **good energy resolution** ($\sim 25\%$)
 - Required **good angular resolution** ($\sigma(\theta_z) = m_p/E_\nu)^{1/2}$)
 - Required **large statistics**



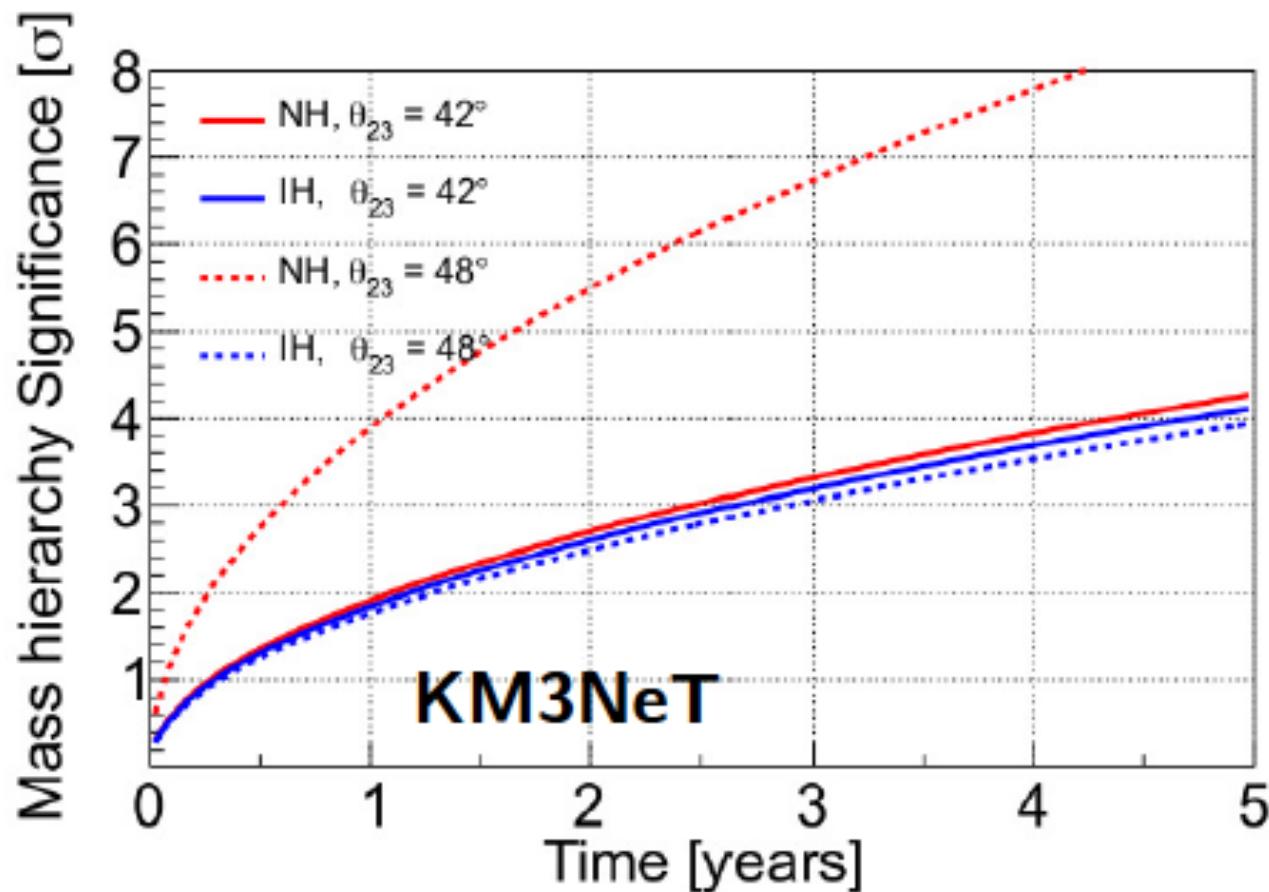
KM3NeT – ORCA resolutions

- Both for electron and muon channels the angular resolution is dominated by kinematics
- Largely independent from spacing between DOMs and DUs



KM3NeT – ORCA sensitivity to NMH

- Time dependence of the KM3NeT sensitivity
- ORCA Mass Hierarchy determination significance for $\delta_{CP} = 0^\circ$



at the South Pole

PINGU

Further in-fill
Lower the energy threshold few GeV
Neutrino Mass Hierarchy
Dark Matter + Solar Flares

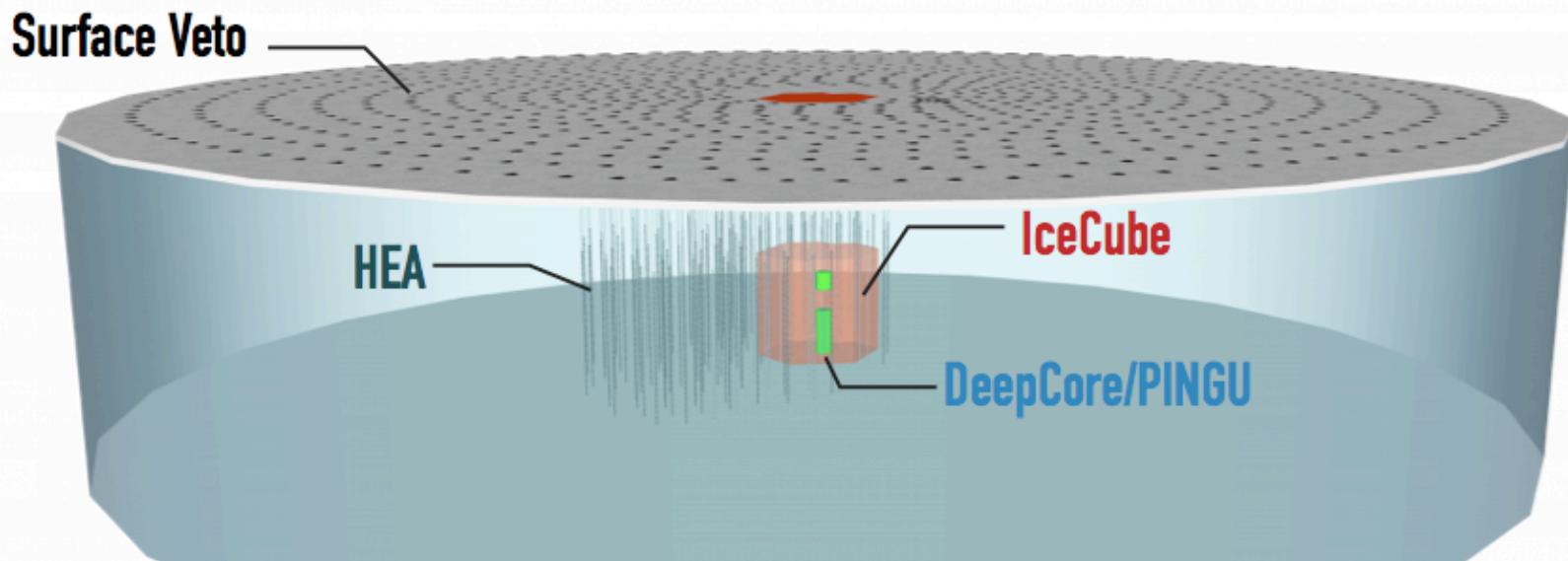
High Energy Array (HEA)

Extension of IceCube array
Look for high-energy events
GZK and astrophysical neutrinos



Radio Array: 100-300 km² for extremely high energies ($\geq 10^{18}$ eV)

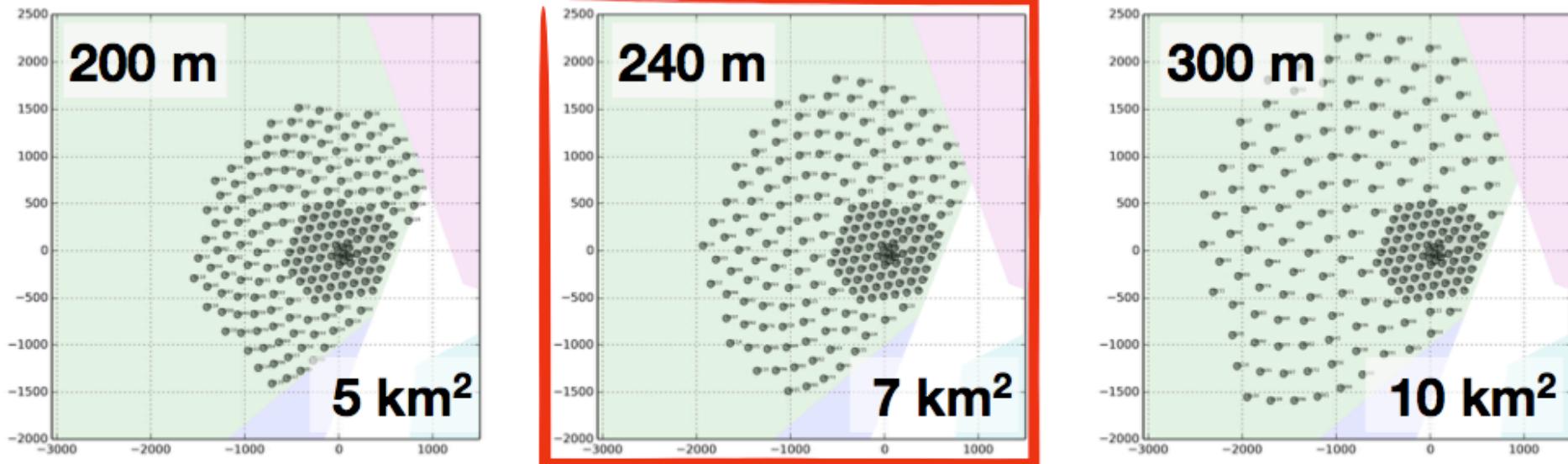
Surface Veto: Air showerdetector with 75 km² / 100 TeV threshold



White paper: submitted in Dec. 2014 [[arxiv.org:1412.5106](https://arxiv.org/abs/1412.5106)]

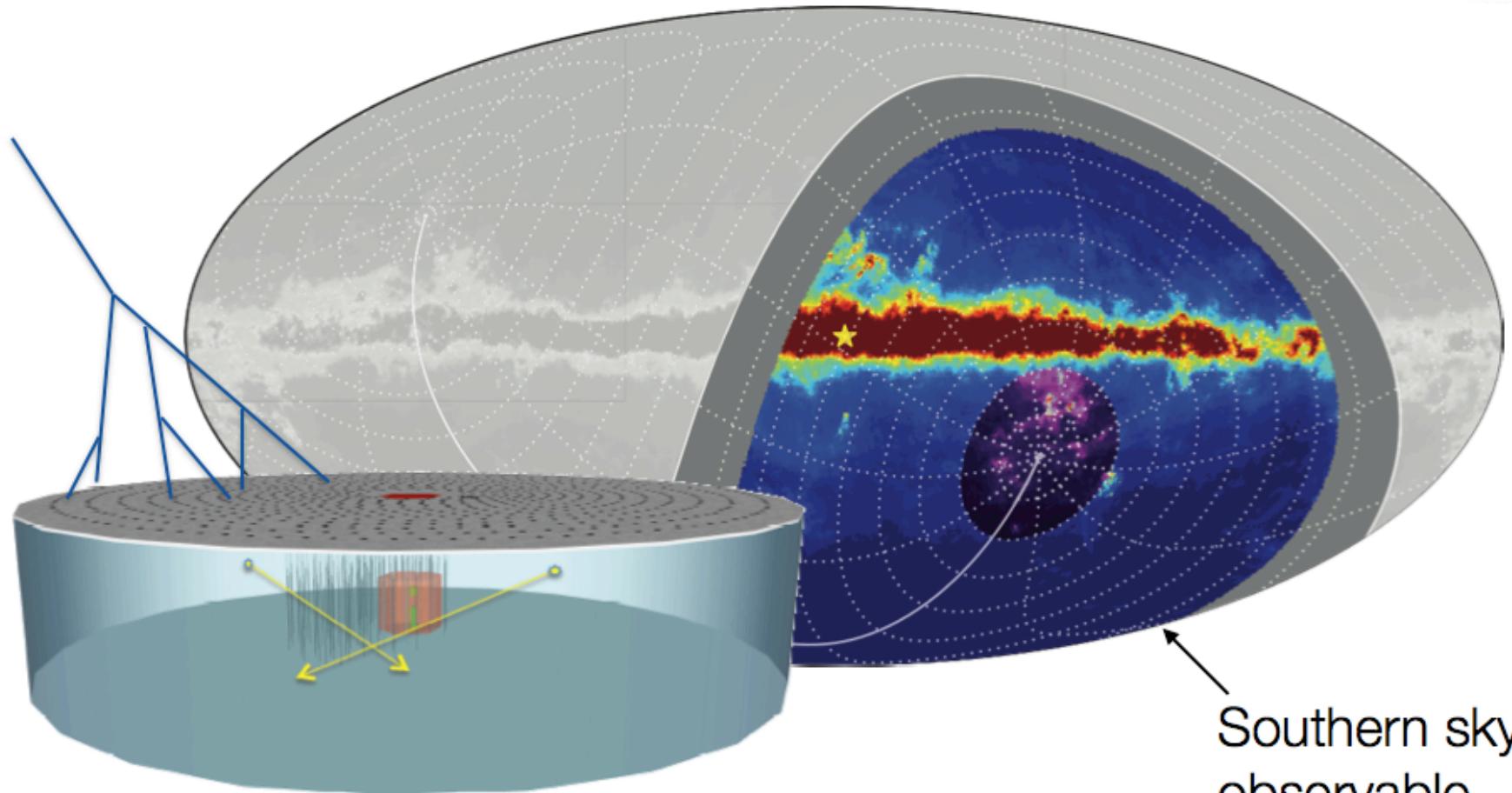
IceCube Gen2

- Several layouts under evaluation
- Example: “Sunflower” geometry with different string spacings



- ~120 new strings, 80 DOMs per string, instrumented over 1.25 km
- ~10 x IC volume for contained event analysis above 200 TeV

a unique facility: vetoing downgoing events

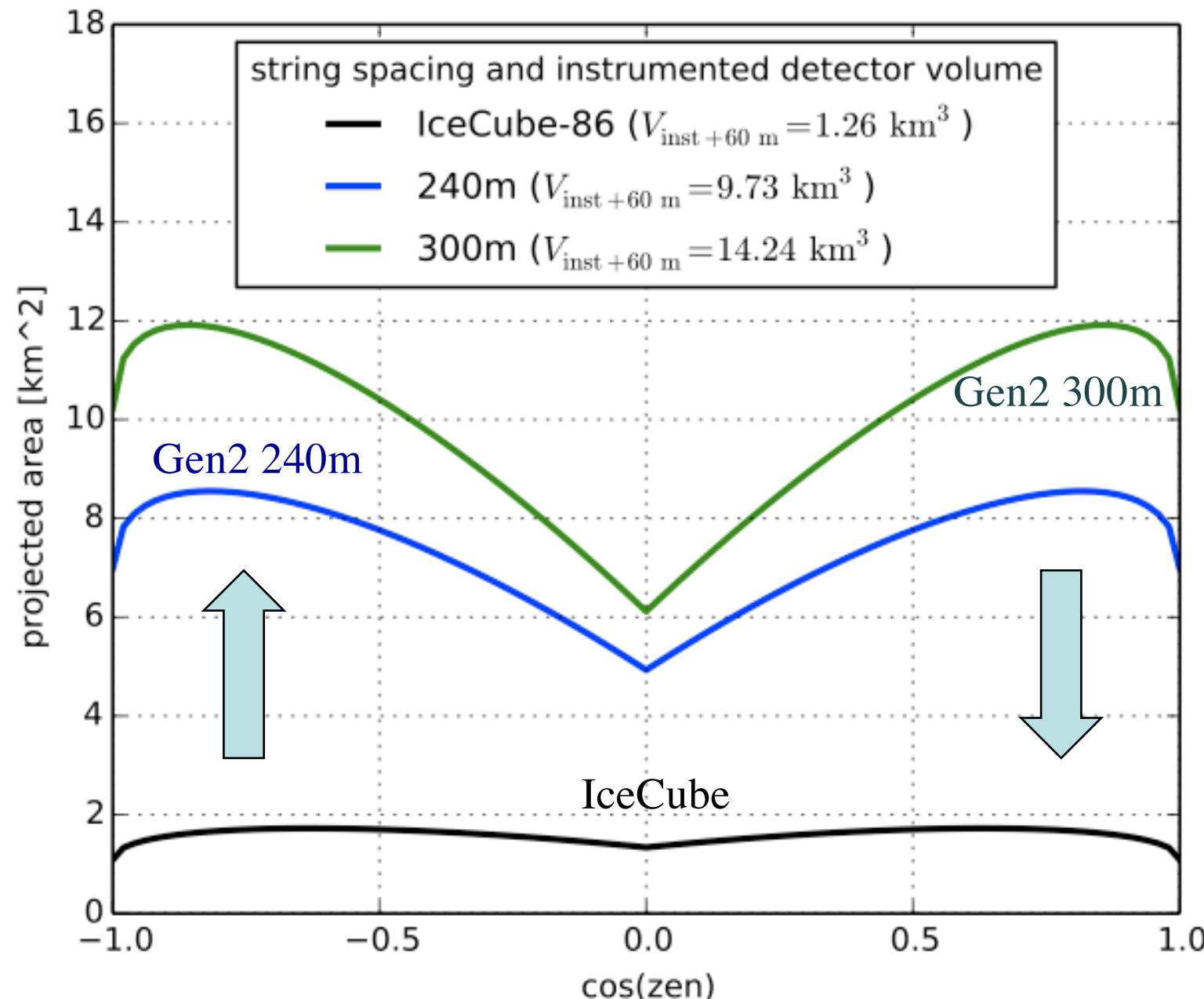


Potential gain for e.g. 75 km² veto:

- ~2x number of PeV tracks
- ~2x precision in spectral index

Southern sky
observable
via surface veto

IceCube Gen2 – instrumented area/volume

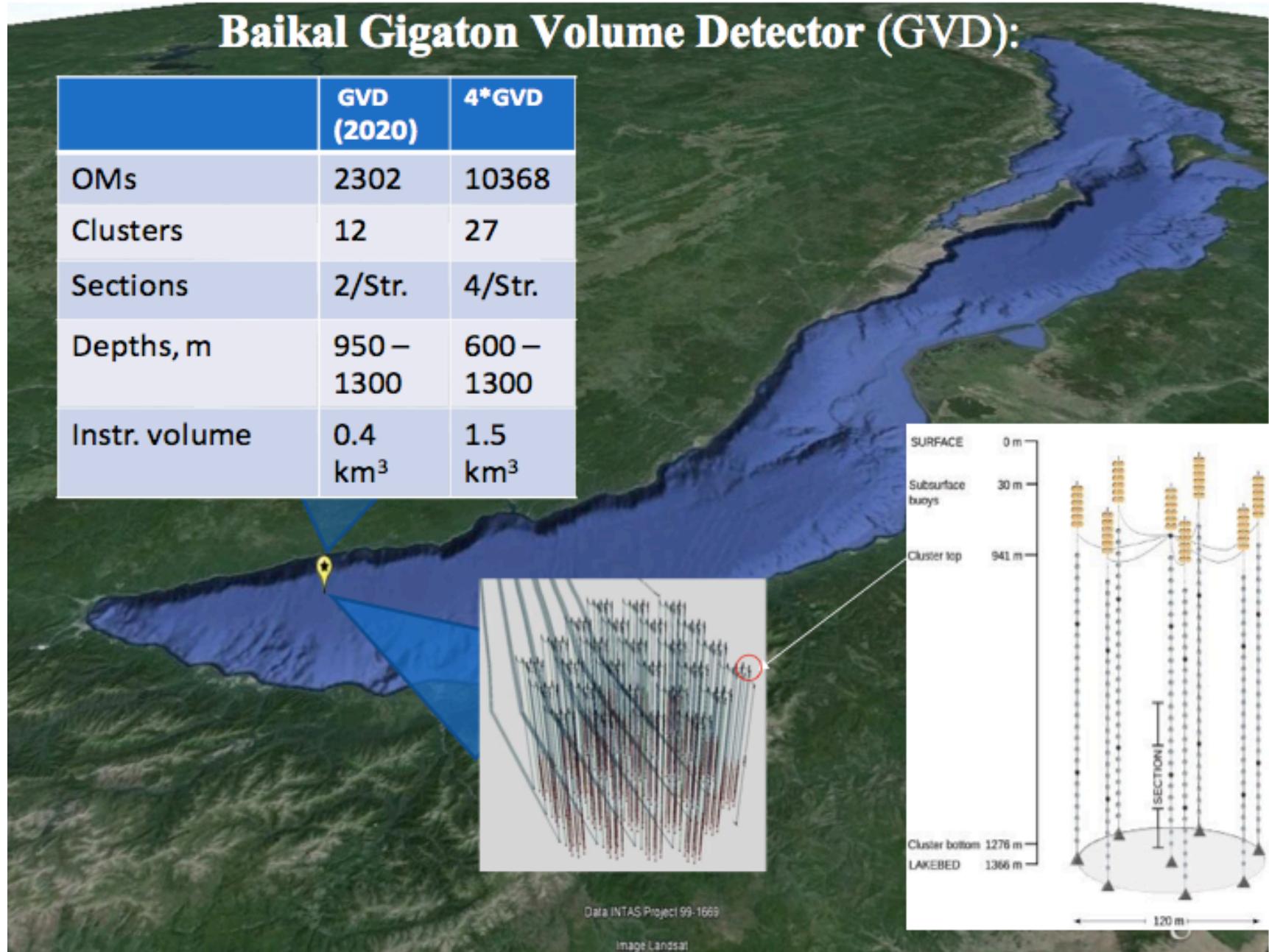


IceCube Gen2 – expected event rates

Event type	10–100 TeV	100–1000 TeV	1–10 PeV	>10 PeV
Contained cascades	0 (2.6)	20 (4.4)	15 (1.6)	2 (0.2)
Surface vetoed muons	0 (0)	9.7 (0.06)	4.8 (0.051)	1.2 (0.014)
Upgoing muons	100 (37)	55 (16)	11 (3.2)	1.6 (0.47)

Number of neutrinos per year in **Gen2** (IceCube), assuming $E^2\Phi_\nu = 0.95 \times 10^{-18} (\text{E}/100 \text{ TeV})^{-0.13} \text{ GeV cm}^{-2} \text{ sr}^{-1} \text{ s}^{-1}$ per flavor

The Baikal – GVD project



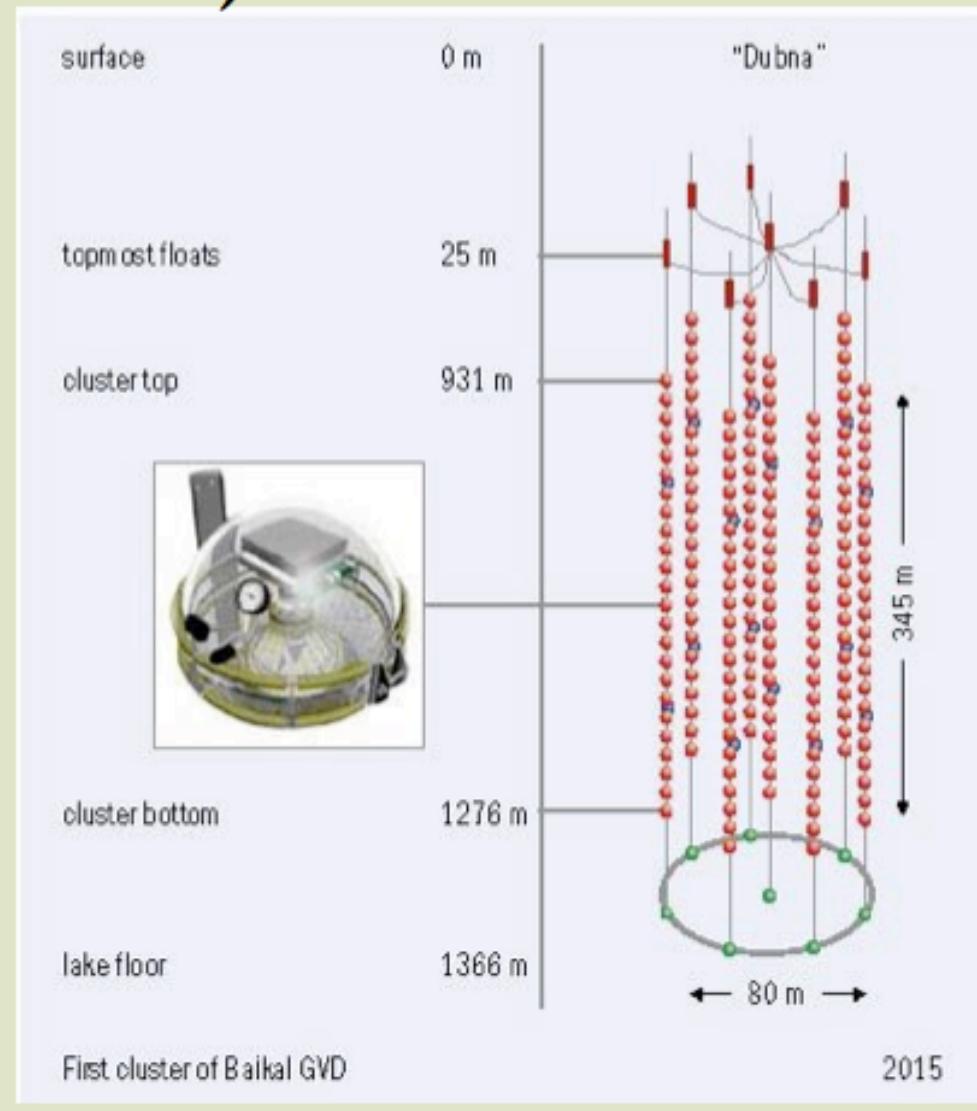
The Baikal – GVD project, the prototype

First Demonstration Cluster “DUBNA” (April 2015)

- 192 OMs at 8 Strings 2×12 OMs per String.
- Acoustic Positioning System
- Instrumentation String for environment monitoring
- LED beacon for inter-string time calibration

**Active depth 950 – 1300 m
Instrumented volume 1.7 Mt**

Vladimir Aynutdinov



The Baikal – GVD, improved detector

Upgraded cluster “DUBNA”

The diagram illustrates the layout of the Upgraded cluster "DUBNA". It features a central DAQ center (green circle) connected to eight instrumentation strings (blue circles). Each string consists of 12 OM modules (yellow circles) arranged in a vertical stack. The strings are deployed in a circular pattern around the cluster center. A cable buoy station (black circle) is located at the top right. A scale bar indicates a distance of 10 meters.

Legend:

- DAQ center
- Instrumentation string
- Cable Buoy Station

Key Features:

- 288 OMs at 8 Strings 3×12 OMs per String.
- Acoustic Positioning System on each string.
- 4 LED beacons for inter-string calibration.
- Instrumentation String for environment monitoring.

Active depth 750 – 1275 m
Instrumented volume 6.0 Mt

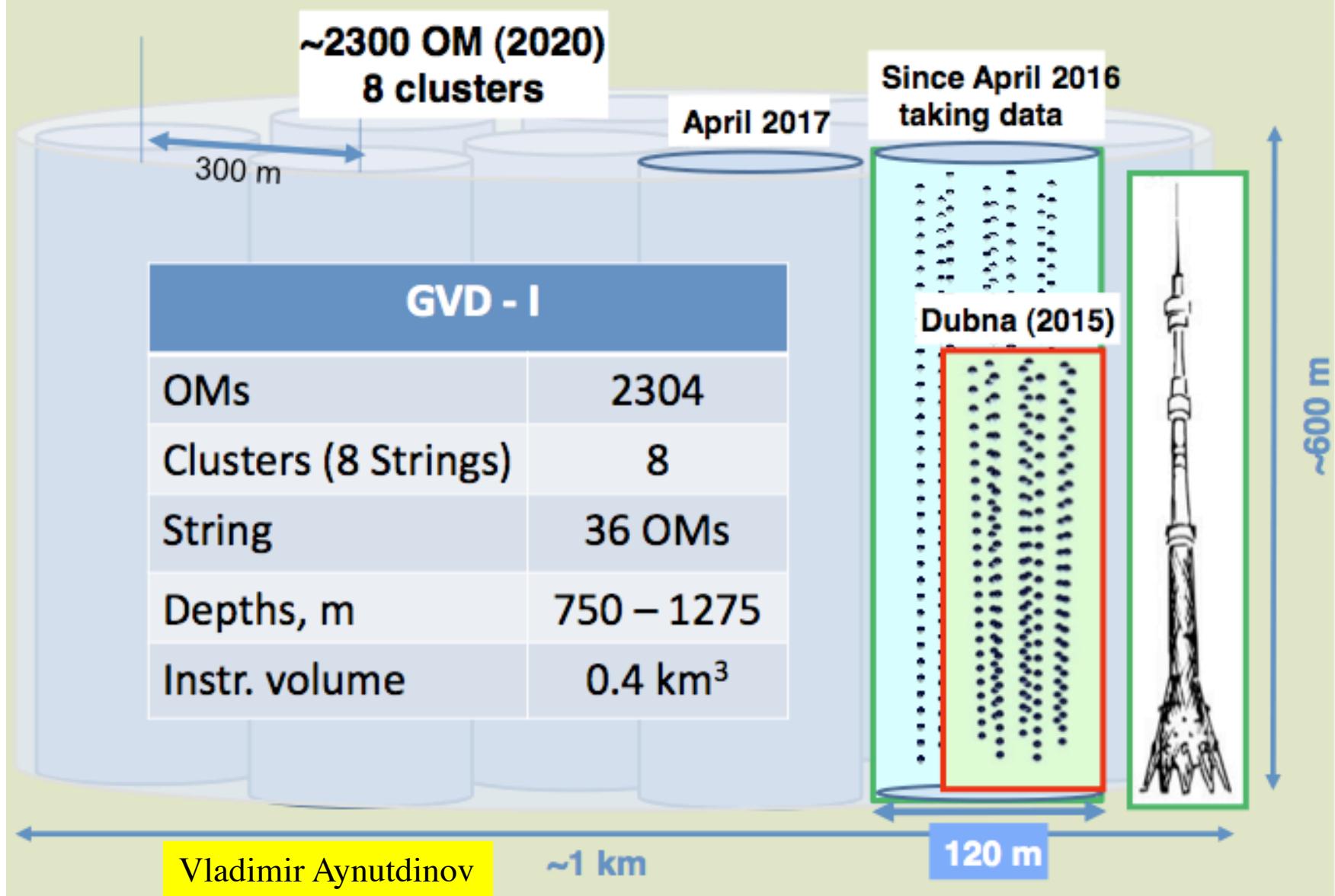
A 3D perspective view of the cluster, showing the central cluster center and the eight instrumentation strings extending downwards. The cluster has a diameter of 120 meters. The cluster center is labeled "CLUSTER CENTER".

$\varnothing 120 \text{ m}$

Vladimir Aynutdinov

The Baikal – GVD, next steps

Present and future of the BAIKAL-GVD



The Baikal – GVD, time schedule

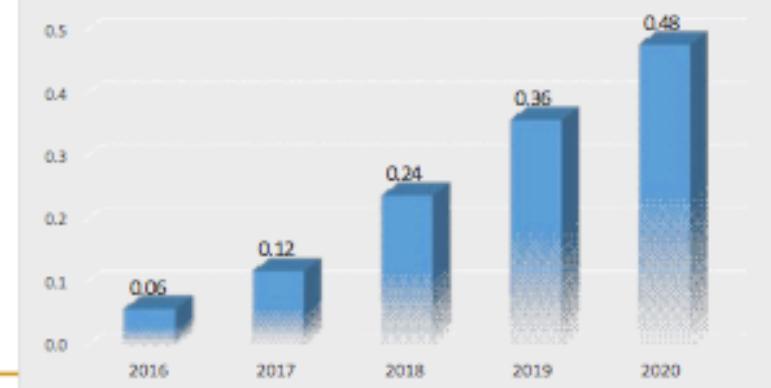
Baikal-GVD

The GVD-1 timeline

Cumulative number of clusters vs year

Year	2015	2016	2017	2018	2019	2020
Cluster	1	1	3	5	7	10
192 OM	192	192	576	960	1344	1920
Cluster-	2/3	1	2	4	6	8
288 OM	192	288	576	1152	1728	2304

Effective Volume (km^3) for cascades
with $E > 100 \text{ TeV}$



Olga Suvorova – RICAP 2016

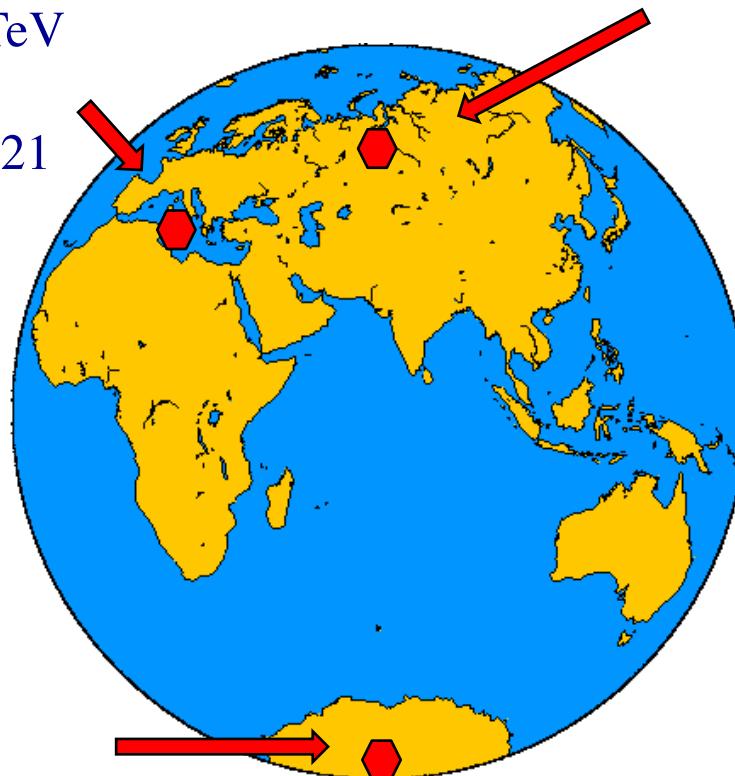
The global approach to neutrino Telescopes

ARCA

optimized $\sim 1.4 \text{ km}^3 \rightarrow >1 \text{ TeV}$
($4-5 \text{ km}^3$)

construction 2015(18) – 2021

Deep – Sea water
high angular resolution
depth 2.7 – 3.3 km
no veto available



GVD

optimized $\sim 0.4 \text{ km}^3 \rightarrow >10 \text{ TeV}$
(1.5 km^3)

construction 2015 – 2021

Lake fresh water
good angular resolution
depth 0.7 – 1.2 km
no veto available

IceCube-Gen2

optimized $\sim 1 \text{ km}^3 \rightarrow >1 \text{ TeV}$
 $\sim 10 \text{ km}^3 \rightarrow >30 \text{ TeV}$

Operating 2021 – 2031

Ice (scattering, medium homogeneity, ...)

Moderate angular resolution

Depth 1.4 – 2.7 km

Surface Veto !!!

from a collection of C.Spiering
slides at MANTS-2016